

No. 01/2025/BBH- DHDCD-BOS

Hanoi, April 22nd, 2025

**MINUTES OF THE 2025 ANNUAL GENERAL MEETING OF SHAREHOLDERS
(3rd Meeting)**

A. MEETING TIME AND PLACE

BOS SECURITIES JOINT STOCK COMPANY

Head office: 25th Floor, 265 Cau Giay Office Building, Dich Vong Ward, Cau Giay District, Hanoi City, Vietnam.

Business Registration Certificate No. 0102669368 issued by the Business Registration Office - Department of Planning and Investment of Hanoi City for the first time on 03/03/2008.

Establishment and operation license No. 85/UBCK-GP issued by the State Securities Commission on 03/03/2008; Adjustment License No. 99/GPDC-UBCK issued by the State Securities Commission on 08/12/2023.

At 14:30 on 22/04/2025, at the 5th Floor Hall, FLC Landmark Tower, No. 5 Le Duc Tho, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City, BOS Securities Joint Stock Company ("**Company**") held the 2025 Annual General Meeting of Shareholders (the third time) (hereinafter referred to as "**General Meeting/General Meeting of Shareholders**").

The 2025 Annual General Meeting of Shareholders (1st meeting) on 07/03/2025 are eligible to conduct as prescribed in Article 145 Law on Enterprises 2020 and Clause 1 Article 23 of the Company's Charter because the number of shareholders attending the representative meeting is not enough for more than 50% of the total number of votes of the Company according to the list of shareholders entitled to attend the 2025 Annual General Meeting of Shareholders made by the Vietnam Securities Depository and Clearing Corporation on February 6, 2025.

The 2025 Annual General Meeting of Shareholders (2nd meeting) on 31/03/2025 is not eligible to proceed according to the provisions of Article 145 of the Law on Enterprises 2020 and Clause 2 Article 23 of the Company's Charter due to the insufficient number of shareholders attending the representative meeting is more than 33% of the total number of voting votes of the Company according to the list of shareholders entitled to attend the 2025 Annual General Meeting of Shareholders made by the Vietnam Securities Depository and Clearing Corporation on February 6, 2025.

Pursuant to Clause 3 Article 145 of the Law on Enterprises 2020 and Clause 3 Article 23 Company Charter, Meeting Ordinary General Meeting of Shareholders year 2025 (3rd organization) to be held on 22/04/2025.

B. REPORT ON INSPECTION OF SHAREHOLDERS' ELIGIBILITY TO ATTEND THE GENERAL MEETING

Mr. Lai Phuoc Thuan – Head of the Shareholder Verification Committee read the Shareholder Verification Report to attend the General Meeting right before the opening time (*Shareholder Verification Report made on 22/04/2025*):

The results are as follows:

- Total number of shareholders of the Company: **12.545** shareholders (according to the List of Shareholders of the Company made by the Vietnam Securities Depository and Clearing Corporation on 06/02/2025).
- Total number of shareholders attending the General Meeting: **47** shareholders, representing **10.408.088** corresponding **10.408.088** sharesvoting votes, accounting for **10,74%** of the total voting shares of the Company.

In which:

- Total number of shareholders directly attending: **10** shareholder
- Total number of authorized shareholders: **37** shareholder

(By the time of voting, the number of shareholders directly attending and authorized to attend the General Meeting is: **51** shareholders, representing **10.422.608** corresponding **10.422.608** sharesvoting votes, accounting for **10.75%** of the total number of voting shares of the Company).

Thus, according to the provisions of Clause 3, Article 145 of the Law on Enterprises 2020, the 2025 Annual General Meeting of Shareholders (the third time) of the Company is eligible to be conducted.

C. PROGRAM AND CONTENT OF THE CONGRESS

I. Introduction of the Chairman and the Presidium

The Organizing Committee of the Congress introduces the Chairman and the Presidium of the Congress, including the following names:

- Mr. Nguyen Thanh Le - Member of the Board of Directors ("**Board of Directors**") General Director of the Company - Chairman of the General Meeting
- Ms. Le Thi Thuong - Chief Accountant of the Company - Member of the Presidium

The Chairman and the Presidium of the Congress receive tasks and exercise the right to administer the Congress.

II. Introduction of the Company's Representative to Audit the Financial Statements in 2024

Mr. Pham Gia Dat - Representative of UHY Auditing and Consulting Co., Ltd. – the auditing unit of the 2024 Financial Statements of BOS Securities Joint Stock Company (Pursuant to Clause 4, Article 273 of Decree 155/2020/ND-CP dated 31/12/2020)

III. Appointment of the General Conference Secretariat

Mr. Nguyen Thanh Le - The Chairman of the Congress shall appoint the Secretariat of the Congress, including the following persons:

- Ms. Le Thi Huyen Trang - Head of the Secretariat
- Ms. Nguyen Thuy Linh - Members of the Secretariat

The Secretariat receives and performs tasks at the Congress.

IV. Approved the Congress Program; Regulation on organization of the Congress; Voting rules; Regulation on election of members of the Board of Directors and members of the Control Board; List of candidates for election of members of the Board of Directors, members of the Supervisory Board and members of the Vote Counting Committee

1. Approval of the General Assembly Program

The vote to approve the General Assembly Program is carried out in the form of holding up voting cards.

Result: 100% of the total votes of the shares Attending the meeting The Congress agreed to approve the Congress Program.

2. Approval of the Regulation on Organization of the Congress

The vote to approve the Regulation on organization of the Congress shall be carried out in the form of holding up the voting card.

Result: 100% of the total votes of the stock a large number of people attending the General Assembly agreed to approve the Regulation on the organization of the Congress.

3. Approval of the Voting Rules at the General Meeting

The vote to approve the voting rules at the General Meeting shall be carried out in the form of holding up voting cards.

Result: 100% of the total votes of the stock a large number of people attending the General Assembly agreed to approve the voting rules.

4. Approving the Regulation on Election of Members of the Board of Directors and Members of the Supervisory Board

The vote to approve the Regulation on election of members of the Board of Directors and members of the Supervisory Board at the General Meeting shall be carried out in the form of holding up voting cards.

Result: 100% of the total votes of the stock a large number of people attending the General Assembly agreed to approve the Regulation on election of members of the Board of Directors and members of the Supervisory Board.

5. Approving the list of candidates for the election of additional members of the Board of Directors for the term 2024 - 2029

The list of candidates for the election of additional members of the Board of Directors for the term 2024 – 2029 for the General Meeting to vote for approval includes the following candidates:

- 1 Mr. Trinh Thanh Long
- 2 Mr. Trinh Huy Linh
- 3 Ms. Phung Thi Thu Thao
- 4 Ms. Pham Tu Anh

The General Meeting approved the List of candidates to elect additional members of the Board of Directors for the term 2024 – 2029 in the form of holding up voting cards.

Result: 100% of the total votes of the stock a large number of people attending the General Assembly agreed to approve the List of candidates for the election of additional members of the Board of Directors for the term 2024 – 2029.

6. Approving the list of candidates for the election of additional members of the Supervisory Board for the term 2024 – 2029

The list of candidates for the election of additional members of the Supervisory Board for the term 2024 – 2029 for the General Assembly to vote on includes the following candidates:

- 1 Mr. Nguyen Quang Trung
- 2 Mr. Le Trong Quang

The Congress approved the List of candidates to elect additional members of the Supervisory Board for the term 2024 – 2029 in the form of holding up voting cards.

Result: 100% of the total votes of the stock a large number of people attending the General Assembly agreed to approve the List of candidates for the election of additional members of the Supervisory Board for the term 2024 – 2029.

7. Approved the composition of the Vote Counting Committee

The Chairman introduced the members of the Vote Counting Committee for the Congress to vote and approve, including the following names:

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|---|----------------------|----------------------------------------|
| 1 | Mr. Dinh Hong Ha | Head of the Vote Counting Committee |
| 2 | Mr. Trieu Editorial | Members of the Vote Counting Committee |
| 3 | Mr. Nguyen Hai Trieu | Members of the Vote Counting Committee |

The congress approved the composition of the Vote Counting Committee in the form of holding up voting cards.

Result: 100% of the total votes of shareholders attending the General Meeting unanimously approved the composition of the Vote Counting Committee.

V. Contents of the Reports and Submissions of the Congress

Because the reports and proposals to the General Meeting of the Board of Directors have been publicly posted on the Company's website and included in the General Meeting documents sent to shareholders attending the General Meeting, these reports and proposals have not been re-read at the General Meeting.

(Detailed contents of the reports and submissions attached to this record.)

VI. Opinions discussed at the Congress

Shareholders directly attend the General Meeting and authorized persons to attend the General Meeting to discuss the reports of the Board of Directors. The contents of the discussion at the Congress have been recorded by the Secretariat in the Appendix attached to the Minutes of this meeting.

VII. Vote to approve the contents of the General Meeting and elect additional members of the Board of Directors and members of the Company's Supervisory Board for the term 2024 – 2029

Grandfather Dinh Hong Ha – The Head of the Vote Counting Committee instructed shareholders to vote to approve the Reports and Submissions at the General Meeting and elect additional members of the Board of Directors and members of the Company's Supervisory Board for the term 2024 - 2029. Shareholders vote under the guidance of the Head of the Vote Counting Committee.

1. Voting results on issues discussed at the Congress

Voting method: Secret ballot

- Number of votes issued: 51 voting papers, equivalent to 10,422,608 votes
- Number of receipts: 47 voting papers, equivalent to 10.126.000 votes
- Number of valid votes: 46. voting papers, equivalent to 10.125.900 votes
- Number of invalid votes: 01 voting papers, equivalent to 100 votes

The voting results for each specific issue are as follows:

1.1. Approval of the Operating Report in 2024 of the Board of Directors, the Supervisory Board, CEO

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.2. Approving the Business Results Report, Audited Financial Statements for 2024

(According to the Report No. 02/2025/TTr-HDQT-BOS of the Board of Directors of the Company dated 28/02/2025):

Vote	Number of Voting Shares (Shares)	Rate (%)
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Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.3. Approving the 2025 Business Plan *(According to the Report No. 02/2025/TTr-HDQT-BOS of the Board of Directors of the Company dated 28/02/2025):*

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.4. Approving the Remuneration for the Board of Directors and the Supervisory Board *(According to the Report No. 02/2025/TTr-HDQT-BOS of the Board of Directors of the Company dated 28/02/2025):*

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.5. Approval of the selection of the auditor of the Company's financial statements in 2025 *(According to the Report No. 02/2025/TTr-HDQT-BOS of the Board of Directors of the Company dated 28/02/2025):*

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.6. Approval of the cancellation of the private placement plan approved by the General Meeting of Shareholders *(According to the Report No. 02/2025/TTr-HDQT-BOS of the Board of Directors of the Company dated 28/02/2025):*

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.7. Approving the plan to issue individual shares to increase the Company's charter capital *(According to the Report No. 03/2025/TTr-HDQT of the Board of Directors of the Company dated 28/02/2025):*

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.8. Approving the dismissal of Ms. Le Thi Truc Quynh from the position of Member of the Board of Directors of the Company from 22/04/2025:

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.9. Approving the dismissal of Mr. Nguyen Thanh Le from the position of Member of the Board of Directors of the Company from 22/04/2025:

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.10. Approving the dismissal of Mr. Nguyen Thanh Cam from the position of Member of the Board of Directors of the Company from 22/04/2025:

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.11. To approve the dismissal of Mr. Vu Minh Tuan from the position of member of the Company's Supervisory Board from 22/04/2025:

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.12. Approving the dismissal of Ms. Bui Thi Quynh Trang as a member of the Company's Supervisory Board from 22/04/2025:

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.13. Approving the change of the Company's name and amending the Company's Charter corresponding to the approved changes (According to the Report No. 05/2025/TTr-HDQT-BOS of the Board of Directors of the Company dated 16/04/2025):

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%

No Comments	0	0%
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1.14. Approve the change of the address of the Company's head office and amend the Company's Charter corresponding to the approved changes (*According to the Report No. 04/2025/TTr-HDQT-BOS of the Board of Directors of the Company dated 16/04/2025*):

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

1.15. Approving the authorization of the Board of Directors of the Company (*According to the Reports of the Board of Directors of the Company: No. 02/2025/TTr-HDQT-BOS dated 28/02/2025, No. 03/2025/TTr-HDQT dated 28/02/2025, No. 04/2025/TTr-HDQT-BOS dated 16/04/2025, No. 05/2025/TTr-HDQT-BOS dated 16/04/2025*):

Vote	Number of Voting Shares (Shares)	Rate (%)
Approve	10.125.900	100%
Disapprove	0	0%
No Comments	0	0%

2. Results of counting votes for additional members of the Board of Directors of the Company for the term 2024 – 2029

- Number of votes issued: 51 voting papers, equivalent to 10,422,608 votes
- Number of votes issued: 47 voting papers, equivalent to 10,126,000 votes
- Number of valid votes: 44 voting papers, equivalent to 10,116,080 votes
- Number of invalid votes: 03 voting papers, equivalent to 9,920 votes

The number of votes of each candidate is as follows:

STT	Full name	Vote count	Rate (%)
1	Mr. Trinh Thanh Long	10.134.380	100,08%
2	Mr. Trinh Huy Linh	10.109.980	99,84%
3	Ms. Pham Tu Anh	10.109.980	99,84%
4	Ms. Phung Thi Thu Thao	10.109.580	97%

3. Results of vote counting for additional members of the Company's Supervisory Board for the term 2024 – 2029

- Number of votes issued: 51 voting papers, equivalent to 10,422,608 votes
- Number of votes issued: 47 voting papers, equivalent to 10,126,000 votes
- Number of valid votes: 47 voting papers, equivalent to 10,126,000 votes
- Number of invalid votes: 0 voting papers, equivalent to 0 votes

The number of votes of each candidate is as follows:

STT	Full name	Vote count	Rate (%)
1	Mr. Nguyen Quang Trung	10.132.200	100,06%
2	Mr. Le Trong Quang	10.119.580	99,94%

VIII. Issues approved by the Congress

1. On the basis of the above-mentioned voting results, based on the Regulation on organization of the Congress, the following issues have been approved with the following approval rate:

STT	Approved content	Approval Rate (%)
1.	Approval of the 2024 Operating Report of the Board of Directors, the Supervisory Board, the Board of Directors	100
2.	Approved the Business Results Report, Audited Financial Statements for 2024	100
3.	Adoption of the 2025 Business Plan	100
4.	Approval of the selection of the auditor of the Company's financial statements in 2025	100
5.	Approval of Remuneration for the Board of Directors and the Supervisory Board	100
6.	Approval of the cancellation of the private placement plan approved by the General Meeting of Shareholders	100
7.	Approved the plan to issue private placement shares to increase the Company's charter capital	100
8.	Approving the change of the Company's name and amending the Company's Charter corresponding to the approved changes	100
9.	Approve the change of the address of the Company's head office and amend the Company's Charter corresponding to the approved changes	100
10.	Approving the dismissal of Ms. Le Thi Truc Quynh from the position of Member of the Board of Directors of the Company from 22/04/2025	100
11.	Approving the dismissal of Mr. Nguyen Thanh Le from the position of Member of the Board of Directors of the Company from 22/04/2025	100

STT	Approved content	Approval Rate (%)
12.	Approving the dismissal of Mr. Nguyen Thanh Cam from the position of Member of the Board of Directors of the Company from 22/04/2025	100
13.	Approving the dismissal of Mr. Vu Minh Tuan as a member of the Company's Supervisory Board from 22/04/2025.	100
14.	Approving the dismissal of Ms. Bui Thi Quynh Trang as a member of the Company's Supervisory Board from 22/04/2025	100
15.	Approving the authorization of the Board of Directors of the Company	100

2. Election results for additional members of the Board of Directors, members of the Supervisory Board for the term 2024 – 2029

Pursuant to the provisions of law, the Company's Charter and the Election Regulations, the election of additional members of the Board of Directors and members of the Supervisory Board for the term 2024 - 2029 was approved at the General Meeting with the above-mentioned vote counting results, the specific election results are as follows:

2.1. Election results for additional members of the Board of Directors of the Company for the term 2024 – 2029

Election of additional members of the Association co-directors of the Company for the term 2024 – 2029 including the following names:

- Mr. Trinh Thanh Long
- Mr. Trinh Huy Linh
- Ms. Pham Tu Anh
- Ms. Phung Thi Thu Thao

After being approved for supplementary voting, The Board of Directors of the Company for the term 2024 – 2029 consists of the following names:

- Mr. Trinh Thanh Long
- Mr. Trinh Huy Linh
- Ms. Pham Tu Anh
- Ms. Phung Thi Thu Thao

2.2. Results of the election of additional members of the Company's Supervisory Board for the term 2024 – 2029

Election of additional members of the Company's Supervisory Board for the term 2024 – 2029 includes the following names:

- Mr. Nguyen Quang Trung
- Mr. Le Trong Quang

After additional pregnancy, The Company's Supervisory Board for the term 2024 – 2029 consists of the following names:

- Mr. Nguyen Dang Vu
- Mr. Nguyen Quang Trung
- Mr. Le Trong Quang

D. APPROVING THE MINUTES OF THE GENERAL MEETING OF SHAREHOLDERS

This record was fully and honestly recorded by the Secretariat of the General Meeting, read back in front of the whole General Meeting and unanimously approved by the shareholders attending the General Meeting.

The minutes of this meeting shall be made in 03 (*three*) copies in Vietnamese with the same legal validity.

The meeting ended on 16 hour 45 minutes on the same day.

SECRETARIAT



Le Thi Huyen Trang



Nguyen Thuy Linh



CHAIRMAN

Nguyen Thanh Le



ADDENDUM

DISCUSSION AT THE 2025 ANNUAL GENERAL MEETING OF SHAREHOLDERS

*(Attached is the Minutes of the 2025 Annual General Meeting of Shareholders
of BOS Securities Joint Stock Company)*

STT	Question	Chairman's Answer
1.	Currently, the Company's ART shares are still suspended from trading. Why has the Company's Board of Directors not yet handled this issue?	<p>Up to now, the Company has overcome violations in the issuance of reports and has fulfilled the obligation to disclose information in accordance with the regulations of the Securities Commission and the Stock Exchange;</p> <p>In 2025, the Board of Directors of the Company will work with the SSC and relevant agencies to complete the documents in accordance with the law and will bring the ART code back to normal trading.</p>
2.	Please ask the Board of Directors why the Company did not select Big4 auditing firms to audit in the report at this General Meeting. Because the quality of service provision of these auditing firms is very good?	<p>Auditing firms in the market that are eligible to audit units with public interest must undergo a very careful process of control, inspection and approval by the State Securities Commission and the Accounting and Auditing Management Department – Ministry of Finance. Every year, there will be a list published by the Ministry of Finance and in this list, in addition to Big4 companies, there are many other independent auditing units that are qualified. Therefore, in terms of audit quality of companies in the List of Companies approved by the State Securities Commission, the audit of listed units is the same. We recommend choosing the entities in the attached list because of the factors related to audit costs. If you choose an auditing firm in the Big4, the cost will be much higher, while in terms of quality, other auditing firms are still guaranteed.</p>

3.	Does the company have the ability to merge into large corporations or large banks?	The chairman does not have the authority to answer this question, so he asks for permission not to answer.
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