

## Appendix V

### REPORT ON CORPORATE GOVERNANCE

*(Promulgated with the Circular No 96/2020/TT-BTC on November 16, 2020 of the Minister of Finance)*

**ERECTION –  
ELECTROMECHANICS TESTING  
JOINT STOCK COMPANY**  
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**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom – Happiness**  
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No: 34./BC-HDQT

Ha Noi, July 25, 2025

### **REPORT ON CORPORATE GOVERNANCE** *( First 6 months of 2025)*

**To: - The State Securities Commission;  
- The Stock Exchange.**

- Company name: Erection – Electromechanics Testing Joint Stock Company
- Head office address: No. 434-436 Nguyen Trai Street - Dai Mo Ward - City. Hanoi
- Telephone: 024.355438339      Fax: 024.35543790      Email: lcd@emetc.com.vn
- Charter capital: VND 15,000,000,000
- Stock code: LCD
- Corporate governance model:
  - + General Meeting of Shareholders, Board of Directors, Supervisory Board and General Director.
- Regarding the implementation of the internal audit function: Not yet implemented.

#### **I. Activities of the General Meeting of Shareholders**

*Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):*

No.	Resolution/Decision No.	Date	Content
1	08/2025/NQ-DHDCD	27/02/2025	Resolution of the 2025 Extraordinary General Meeting of Shareholders
2	33/2025/NQ-DHDCD	26/06/2025	Resolution of the 2025 Annual General Meeting of Shareholders

## II. Board of Directors (Semiannual report/annual report):

### 1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr	Le Van Dinh		27/02/2025
2	Mr	Vu Hoang Tung	26/06/2024	
3	Mr	Pham Van Tuyen		27/02/2025
4	Mr	Nguyen Thanh Dai	27/02/2025	
5	Mr	Vu Anh Tuan	27/02/2025	

### 2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr Pham Van Tuyen	1	100%	
2	Mr Nguyen Thanh Dai	4	100%	
3	Mr Vu Hoang Tung	7	100%	
4	Mr Vu Anh Tuan	4	100%	

### 3. Supervisory activities of the BOD over the Board of Management:

The Board of Directors regularly monitors, closely supervises, and provides timely guidance on the management and operation of production and business activities of the General Director and the Executive Management Board.

### 4. Activities of sub-committees of the Board of Directors (if any):

No sub-committees have been established. BOD members perform tasks according to the assignment of duties among BOD members, in which there is a specific assignment of each field to each member.

### 5. Resolutions/Decisions of the Board of Directors (Report for the first 6 months of 2025):

No.	Resolution/Decision No.	Date	Content	Approval rate
1	04/2025/NQ-HDQT	06/02/2025	- Approval of the agenda for the 1st Extraordinary General Meeting of Shareholders in 2025	100%
2	10/2025/NQ-BOD	27/02/2025	<ul style="list-style-type: none"> <li>- Election of Mr. Nguyen Thanh Dai as Chairman of the BOD, effective from February 27, 2025</li> <li>- Dismissal of Mr. Le Bien Cuong from the position of person in charge of corporate governance cum secretary, effective from February 27, 2025</li> <li>- Appointment of Ms. Vu Thi Thu Hoai to the position of Person in charge of corporate governance cum company secretary, effective from February 27, 2025</li> <li>- Dismissal of Mr. Le Van Dinh from the position of General Director</li> <li>- Appointment of Mr. Vu Hoang Tung to the position of General Director of the company, effective from February 27, 2025</li> <li>- Dismissal of Mr. Nguyen Thanh Dai from the position of Deputy General Director, effective from February 27, 2025</li> <li>- Appointment of Mr. Tran Thanh Dien to the position of Deputy General Director of the company, effective from February 27, 2025</li> <li>- Dismissal of Mr. Vu Hoang Tung from the position of Chief Accountant, effective from February 27, 2025</li> <li>- Assignment to the General Director to appoint Ms. Le Thi Chi to the position of Deputy Head in charge of the company's Finance and Accounting Department</li> </ul>	100%
3	24/2025/NQ-HDQT	09/04/2025	- Approval of the extension of the time for holding the 2025 Annual General Meeting of Shareholders	100%
4	27/2025/NQ-HDQT	10/04/2025	- Agreement on the final registration date of May 13, 2025	100%



			- The expected date for holding the Annual General Meeting of Shareholders is June 26, 2025	
5	35/2025/NQ-HDQT	30/06/2025	Approval of the selection of the audit firm for the 2025 Financial Statements	100%

### III. Board of Supervisors/Audit Committee (Semi-annual report/annual report):

#### 1. Information about members of Board of Supervisors or Audit Committee:

No.	Members of Board of Supervisors/ Audit Committee	Position	The date becoming/ceasing to be the member of the Board of Supervisors/ Audit Committee	Qualification
1	Mr.: Le Tuan Anh	Head of SB	26/06/2024	Master's degree
2	Ms.: Le Thuy Hong	BOS Members	26/06/2024	Bachelor
3	Mr.: Bui Van Giang	BOS Members	26/06/2024	Bachelor

#### 2. Meetings of Board of Supervisors or Audit Committee

No.	Members of Board of Supervisors/ Audit Committee	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mr. Le Tuan Anh	2	100%	100%	
2	Ms. Le Thuy Hong	2	100%	100%	
3	Mr. Bui Van Giang	2	100%	100%	

#### 3. Supervisory activities of the SB/ Audit Committee over the BOD, the Executive Board of Management and shareholders:

In the first 6 months of 2025, the management and operation of the BOD and the Board of General Directors were carried out in accordance with the rights and obligations as prescribed by the Law on Enterprises, relevant laws and the Company's Charter, specifically:

- Attended all meetings of the Board of Directors and supervised the corporate management of the BOD in accordance with the provisions of the Law and the Company's Charter.
- The Board of Directors has fully performed its management responsibilities, complying with the Law on Enterprises, the Law on Securities, the Company's Charter, Resolutions of the GMS, and other regulations of the Law and the Company.
- The Resolutions and Decisions of the Board of Directors were issued with proper authority, passed with the high consensus of the BOD members, and the contents of these Resolutions/Decisions comply with the provisions of the Law.

- Monitored the implementation of the Resolutions and Decisions of the General Meeting of Shareholders and the Board of Directors.

4. Coordination between the SB and the activities of the BOD, the Executive Board of Management and other managers:

The Board of Directors, the Board of General Directors, and other managers coordinate and create maximum conditions for the Supervisory Board to perform its work in accordance with regulations

5. Other activities of the SB (if any).

#### IV. Ban điều hành

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management /
1	Mr. Nguyen Thanh Dai	21/06/1979	Automation Control Engineer	27/02/2025
2	Mr.: Vu Hoang Tung	05/07/1985	Bachelor of Economics	26/06/2024
3	Mr.: Nguyen Sy Thanh	21/12/1976	Electrical Systems Engineer	10/04/2024
4	Mr.: Tran Thanh Dien	26/11/1987	Welding Technology Engineer	27/02/2025

#### V. Chief Accountant (Person in charge of accounting)

Name	Date of birth	Qualification	Date of appointment/ dismissal
Le Thi Chi	20/03/1985	Bachelor's degree	27/02/2025

#### VI. Corporate governance training:

Due to scheduling conflicts, members of the BOD, members of the SB, the General Director, and other managers have not yet attended corporate governance training courses at facilities recognized by the State Securities Commission.

#### VII. List of related persons of the public company (6-month/annual report) and transactions between the company's related persons and the Company itself

1. List of the company's related persons

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No. *, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Pham Van Tuyen		Chairman of the Board of			26/06/2024	27/02/2025	Term of Office 2024-	Insiders



			Directors					2029	
2	Nguyen Thanh Dai		Chairman of the Board of Directors			27/02/2025		Term of Office 2024-2029	Insiders
3	Vu Hoang Tung		BOD member; Chief Accountant			26/06/2024		Term 2024-2029	Insiders
4	Vu Anh Tuan		BOD Secretary			27/02/2025		Term 2019-2024	Insiders
5	Le Tuan Anh		Head of SB			26/06/2024		Term of Office 2024-2029	Insiders
6	Le Thuy Hong		BOS Members			26/06/2024		Term of Office 2024-2029	Insiders
7	Bui Van Giang		BOS Members			26/06/2024		Term of Office 2024-2029	Insiders
8	Le Thi Chi		Deputy Head of Accounting Dept.			27/02/2025			Insiders
9	Vu Thi Thu Hoai		Person in charge of governance			27/02/2025			Insiders

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.

No	Name of organization/ individual	Relationship with the Company	No. * date of issue, place of issue	Addresses	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power.

No	Transaction executor	Relationship with internal persons	Position at the listed Company	ID card No. /Passport No., date of issue, place of Issue	Address	Name of subsidiaries or companies which the Company control	Time of transaction	Content, quantity, total value of transaction	Note

4. Transactions between the Company and other objects

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO).

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers.

**VIII. Share transactions of internal persons and their affiliated persons (Semi-annual report/annual report)**

1. The list of internal persons and their affiliated persons công ty / Transactions of internal persons and affiliated persons with shares of the Company

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Pham Van Tuyen		Chairman of the Board of Directors					
	Pham Van Bien							Biological father
	Tran Thi Chin							Biological Mother
	Tran Ngoc Tieu							Father-in-law
	Nguyen Thi Lua							Mother-in-law

	Tran Thi Len							Wife
	Pham Bao Linh							daughter
	Pham Bao Ngoc							daughter
	Pham Tuan Minh							Son
	Pham Thi Huong							younger sister
2	Nguyễn Thành Đại		Chairman of the Board of Directors					
	Nguyễn Tiến Đạt							Father
	Doan Thi Thoi							mother
	Pham Thuy Giang							Wife
	Nguyễn Đại Nghĩa							Son
	Nguyễn Đức Hiệp							Son
	Nguyễn Thuy Thủy							older sister
3	Vu Hoang Tung		BOD member; Chief Accountant					
	Vu Lam							Father
	Vu Thi Thuy Giang							Mother
	Vu Minh Hang							younger sister
4	Vu Anh Tuan		BOD member					
	Vu Van Ngoan							father
	Trinh Thi Bich							mother



	Phuong							
	Ngo Huong Lan							Wife
	Vu Lan Anh							daughter
5	Nguyen Sy Thanh		Deputy GD					
	Nguyen Sy Thinh							father
	Chu Thi Cay							mother
	Nguyen Thi Hien							wife
	Nguyen Hai Linh							Son
	Nguyen Anh Tai Thang							Son
	Nguyen Thanh Son							Brother
6	Le Tuan Anh		Head of SB					
	Le Thanh							father
	Nguyen Thi Kim Loan							mother
	Nguyen Thi Thu Huong							Wife
	Le Duc Anh							Son
	Le Vu Lam							Son
	Le Kim Anh							younger sister
7	Le Thuy Hong		BOS Members					
	Do Thi Hong Phan							mother
	Dinh Thac Sinh							Husband

	Dinh Ngoc Ha Phuong							daughter
	Dinh Phuc Hung							Son
8	Nguyễn Thành Đại		Deputy GD					
	Nguyễn Tiến Đạt							father
	Doan Thi Thoi							mother
	Pham Thuy Giang							Wife
	Nguyễn Đại Nghĩa							Son
	Nguyễn Đức Hiệp							Son
	Nguyễn Thuy Thủy							older sister
9	Bui Van Giang		BOS Members					
	Bui Hong Nam							father
	Tran Thi Hoa							Mother
10	Tran Thanh Dien							
	Tran Thi Huong							Wife
	Tran Van Long							father
	Pham Thi Tuyet							Mother
	Tran Thanh An							Brother
	Tran Thi Thuy							Sister- in-law

	Dung							
11	Le Thi Chi							
	Le Duc Chinh							father
	Vu Thi Hao							Mother
	Nguyen Van Thanh							Husband
	Nguyen An Phuong							Daughter
	Nguyen Dang Thanh							Son
	Le Vu Linh							Younger brother
	Le Quang Huy							Younger brother
12	Vu Thi Thu Hoai							
	Le Thi Tiu							Mother
	Le Duc Thinh							Husband
	Le Minh Thu							Daughter
	Le Minh Anh							Daughter
	Vu Thi Le Hoan							older sister
	Vu Giang Nam							Younger brother

## 2. Transactions of internal persons and affiliated persons with shares of the company

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	



1	Pham Van Tuyen	Chairman of the Board of Directors	350,111				Sell shares
2	Vu Anh Tuan	BOD Secretary			350,173	23.35%	Buy shares

#### IX. Other significant issues

#### CHAIRMAN OF THE BOARD OF DIRECTORS

(Signature, full name, and seal)



#### Recipients:

- As above;
- File: Office.

CHỦ TỊCH CÔNG ĐOÀN

*Nguyễn Thành Đại*

