

No. *44* /BC-HĐQT

*Thai Nguyen, 29* July, 2025

**CORPORATE GOVERNANCE REPORT**

**First Half of 2025**

(Pursuant to Appendix V issued together with Circular No. 96/2020/TT-BTC  
dated November 16, 2020 by the Minister of Finance)

To:

- State Securities Commission of Vietnam;
- Hanoi Stock Exchange.

- *Company name:* **THAI NGUYEN WATER JOINT STOCK COMPANY;**
- *Head office address:* Ben Oanh Street, Group 1, Phan Dinh Phung Ward, Thai Nguyen Province;
- *Telephone:* (+84).02083.851537;
- *Fax:* (+84).02083.852976;
- *Charter capital:* VND 160,000,000,000 (One hundred and sixty billion VND);
- *Stock code:* TNW;
- *Governance model:* General Meeting of Shareholders, Board of Directors, Supervisory Board, General Director;
- *Internal audit function:* Independent audit performed.

**I. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS (GMS)**

No.	Resolution/ Decision No.	Date	Contents
1	24/NQ-ĐHĐCĐ	27/06/2025	2025 Annual General Meeting and Term 2025–2030: 1. Approval of business results 2024 and plan for 2025 – Report No. 159/BC-CTCPNS (100% approval). 2. Approval of BOD governance report 2024 and strategy 2025–2030 – Report No. 29/BC-HĐQT (100% approval). 3. Approval of Supervisory Board report 2024 – Report No. 01/BC-BKS (100% approval). 4. Approval of Supervisory Board term report 2020–2025 – Report No. 02/BC-BKS (100% approval).

			<p>5. Approval of remuneration settlement 2024 and plan 2025 – Submission No. 30/TTr-HĐQT (100% approval).</p> <p>6. Approval of profit distribution plan 2024 – Submission No. 31/TTr-HĐQT (100% approval).</p> <p>7. Selection of audit firm 2025 – Submission No. 03/TTr-BKS (100% approval).</p> <p>8. Amendment to Company Charter – Submission No. 32/TTr-HĐQT (100% approval).</p> <p>9. Internal corporate governance regulations – Submission No. 33/TTr-HĐQT (100% approval).</p> <p>10. BOD operating regulations – Submission No. 34/TTr-HĐQT (100% approval).</p> <p>11. Supervisory Board regulations – Submission No. 05/TTr-BKS (100% approval).</p> <p>12. Assign BOD to implement solutions for business improvement and propose pricing policy mechanisms (100% approval).</p> <p>13. Election results of BOD 2025–2030 (100% approval).</p> <p>14. Election results of Supervisory Board 2025–2030 (100% approval).</p>
--	--	--	---

## II. BOARD OF DIRECTORS (BOD)

### 1. Information on Board Members:

No.	Name	Position	Term Start / End Date
1	Mr. Nguyen Quang Mai	Chairman	29/5/2015 – Present
2	Mr. Nguyen Xuan Hoc	Member	16/6/2020 – Present
3	Mr. Nguyen Van Tien	Member	12/01/2017 – Present
4	Mr. Le Quang Hai	Member	21/4/2023 – Present
5	Mr. Nguyen Ba Quyet	Member	29/5/2015 – 27/6/2025
6	Mr. Nguyen Van Nam	Member	27/6/2025 – Present

### 2. Board Meetings Attendance:

No.	Name	Meetings Attended / Total	Attendance Rate	Reason for Absence
1	Mr. Nguyen Quang Mai	24/24	100%	
2	Mr. Nguyen Xuan Hoc	24/24	100%	
3	Mr. Nguyen Van Tien	24/24	100%	
4	Mr. Le Quang Hai	24/24	100%	
5	Mr. Nguyen Ba Quyet	22/24	91.7%	No longer a BOD member from 27/6/2025
6	Mr. Nguyen Van Nam	02/24	8.3%	Joined BOD from 27/6/2025



### 3. Supervisory activities of the Board of Directors over the Executive Board:

The Board of Directors supervises the Executive Board through periodic reports and thematic reports; through monitoring of weekly and monthly financial planning; through participation in key staff briefings chaired by the Executive Board; and through reviewing reports, directives, and management documents issued by the Executive Board.

**4. Activities of the subcommittees under the Board of Directors:**  
The Board of Directors did not establish any subcommittees.

### 5. Resolutions/Decisions of the Board of Directors:

No.	Resolution/Decision No.	Date	Content	Approval Rate
1	01/BB-HDQT	20/01/2025	1. Approval of the 2024 Corporate Governance Report. 2. Evaluation of 2024 governance performance of the Board members. 3. Evaluation of the performance of the Supervisory Board members in 2024. 4. Evaluation of the performance of the Executive Board members and the Chief Accountant in 2024.	100%
2	01a/BB-HDQT	21/01/2025	Approval of Submission No. 20a/TTr-CTCPNS dated 15/01/2025 by the Executive Board regarding the 13th-month salary payment for 2024.	100 %
3	01b/BB-HDQT	27/02/2025	1. Approval of Submission No. 56/TTr-CTCPNS dated 24/02/2025 regarding the investment policy for the water pipeline project on Ngo Si Lien Street, Song Cong City. 2. Authorization for Mr. Nguyen Xuan Hoc – BOD member and General Director – to sign documents and decisions related to this resolution.	100 %
4	02/BB-HDQT	28/02/2025	1. Approval of the 2025 investment and construction plan based on Submission No. 57/TTr-CTCPNS dated 24/02/2025. Authorization for Mr. Nguyen Xuan Hoc to sign the approval decisions. The Executive Board is assigned to implement the investment projects in compliance with current regulations, ensuring quality, schedule, cost-effectiveness, and efficiency.	100 %
5	04/NQ-	04/3/2025	1. Approval of the list of shareholders eligible	100 %

	HĐQT		<p>to attend the 2025 Annual General Meeting of Shareholders (AGM) for the 2025–2030 term.</p> <p>2. Authorization for Mr. Nguyen Xuan Hoc – BOD member and General Director – to sign and issue the Resolution and related documents.</p>	
6	05/BB-HĐQT	10/3/2025	<p>1. Approved the plan for organizing the 2025 Annual General Meeting of Shareholders (AGM) and the 2025–2030 term. The meeting is scheduled to be held on April 26, 2025, at the head office of Thai Nguyen Clean Water Joint Stock Company.</p> <p>2. Authorized members of the Board of Directors and the General Director to establish the Organizing Committee and assign tasks for the preparation of materials and conditions for organizing the 2025 AGM and the 2025–2030 term.</p>	100 %
7	06/BB-HĐQT	25/3/2025	<p>1. Approved the agenda of the 2025 Annual General Meeting of Shareholders (AGM) and the 2025–2030 term.</p> <p>2. Approved the draft Working Regulation of the 2025 AGM and the 2025–2030 term.</p> <p>3. Approved the draft Election Regulation for members of the Board of Directors (BOD) and the Supervisory Board (SB) for the 2025–2030 term.</p> <p>4. Approved Report No. 86a/BC-CTCPNS dated March 25, 2025, from the Executive Board on the 2024 business performance and the business plan for 2025.</p> <p>5. Approved Report No. 07/BC-HĐQT dated March 25, 2025, from the BOD on corporate governance and activities in 2024, results of the 2020–2024 business plan, and orientation for the 2025–2030 period.</p> <p>6. Approved Report No. 01/BC-BKS dated March 25, 2025, from the SB on its activities in 2024 and summary of the 2024 audited financial statements.</p> <p>7. Approved Report No. 02/BC-BKS dated March 25, 2025, from the SB on its activities for the 2020–2025 term.</p> <p>8. Approved Proposal No. 08/TTr-HĐQT dated March 25, 2025, from the BOD on the</p>	100 %



			<p>2024 remuneration settlement and the 2025 remuneration plan for BOD and SB.</p> <p>9. Approved Proposal No. 09/TTr-HDQT dated March 25, 2025, from the BOD on the 2024 profit distribution plan.</p> <p>10. Approved Proposal No. 03/TTr-BKS dated March 25, 2025, from the SB on the selection of the audit firm for the 2025 financial statements.</p> <p>11. Approved Proposal No. 10/TTr-HDQT dated March 25, 2025, from the BOD on proposed amendments to the Company Charter.</p> <p>12. Approved Proposal No. 11/TTr-HDQT dated March 25, 2025, from the BOD on the issuance of the Internal Corporate Governance Regulation.</p> <p>13. Approved Proposal No. 12/TTr-HDQT dated March 25, 2025, from the BOD on the issuance of the BOD's Working Regulation.</p> <p>14. Approved Proposal No. 04/TTr-BKS dated March 25, 2025, from the SB on the issuance of the SB's Working Regulation.</p> <p>15. Approved Proposal No. 13/TTr-HDQT dated March 25, 2025, from the BOD on the nomination of candidates for the BOD and SB for the 2025–2030 term.</p> <p>16. Approved the draft Resolution of the 2025 AGM and the 2025–2030 term.</p> <p>17. Authorized Mr. Nguyen Xuan Hoc, BOD Member and General Director, to act on behalf of the BOD and its Chairman to sign the AGM invitation and all documents related to the organization of the 2025 AGM and the 2025–2030 term, and to chair the AGM.</p> <p>18. Reached a consensus to propose to the People's Committee of Thai Nguyen Province to appoint the group representing State capital at Thai Nguyen Clean Water Joint Stock Company for the 2025–2030 term.</p>	
8	07/BB-HDQT	25/3/2025	<p>1. Approved Proposal No. 83/TTr-CTCPNS dated March 20, 2025, from the Executive Board on the bidding plan for the water pipeline project on Ngo Si Lien Street, Song Cong City.</p> <p>2. Authorized Mr. Nguyen Xuan Hoc, BOD Member and General Director, to sign all</p>	100 %

			related documents and decisions per the resolutions passed at this meeting.	
9	7a/BB-HĐQT	26/3/2025	<p>1. Approved Proposal No. 83a/TTr-CTCPNS dated March 20, 2025, from the Executive Board on the distribution of the remaining 2024 salary fund.</p> <p>2. Approved the financial figures in the draft audit report of the 2024 financial statements.</p>	100 %
10	08/BB-HĐQT	04/4/2025	<p>1. Approved the contractor selection plan for the construction of the water pipeline project in Nha Tho Hamlet, Phuc Trieu Commune, Thai Nguyen City as proposed in Proposal No. 97/TTr-CTCPNS dated April 2, 2025.</p> <p>2. Authorized Mr. Nguyễn Xuân Học, BOD Member, to sign decisions approving the contents agreed upon in this meeting.</p> <p>3. The Executive Board is responsible for implementing the construction, ensuring progress, quality, and cost-efficiency.</p>	100 %
11	09/BB-HĐQT	14/4/2025	<p>1. Approved the contractor selection plan for the pipeline replacement project before water meters, as proposed in Proposal No. 106/TTr-CTCPNS dated April 11, 2025.</p> <p>- Approved the final settlement of investment and repair costs for completed projects in 2024, as proposed in Proposal No. 107a/TTr-CTCPNS dated April 11, 2025.</p> <p>- Authorized Mr. Nguyen Xuan Hoc, BOD Member, to sign decisions approving the contents agreed upon in this meeting.</p> <p>- The Executive Board is responsible for implementing the construction, ensuring progress, quality, and cost-efficiency.</p>	100 %
12	10/BB-HĐQT	17/4/2025	<p>1. Approved the 2024 Annual Report.</p> <p>2. Authorized Mr. Nguyen Xuan Hoc, BOD Member and General Director, to act on behalf of the BOD and its Chairman to sign the Report.</p>	100 %
13	12/NQ-HĐQT	25/4/2025	<p>1. Changed the date of the 2025 AGM and 2025–2030 term from April 26, 2025, to the end of June 2025 to allow more time to incorporate shareholder feedback and better prepare meeting documents.</p> <p>2. Cancelled the list of shareholders entitled to attend the 2025 AGM and 2025–2030 term originally based on the record date of March 26, 2025 (per list No. V523/2025-TNW/VSDC-ĐK from the Vietnam Securities</p>	100 %



			<p>Depository dated March 28, 2025), due to the date change.</p> <p>3. Authorized the General Director to carry out procedures to change the AGM date in accordance with regulations, collect shareholder feedback, and improve document preparation for BOD approval.</p>	
14	13/BB-HĐQT	05/5/2025	<p>1. Approved the contractor selection plan for the investment and installation of a DN300 water pipeline on Viet Bac Road (Luu Xa Station area), as proposed in Proposal No. 122/TTr-CTCPNS dated April 26, 2025.</p> <p>- Authorized Mr. Nguyễn Xuân Học, BOD Member, to sign decisions approving the contents agreed upon in this meeting.</p> <p>- The Executive Board is responsible for implementing the construction, ensuring progress, quality, and cost-efficiency.</p>	100 %
15	15/NQ-HĐQT	07/5/2025	<p>1. Approved the finalization of the list of shareholders eligible to attend the 2025 AGM and the 2025–2030 term.</p> <p>2. The BOD and its Chairman agreed to authorize Mr. Nguyễn Xuân Học – BOD Member and General Director – to sign and issue the Resolution and related documents to implement the resolutions of this meeting.</p>	100 %
16	16/BB-HĐQT	08/5/2025	<p>Approved the Contractor Selection Plan for construction projects as proposed in Submission No. 128a/TTr-CTCPNS dated May 5, 2025 by the Executive Board of Thai Nguyen Clean Water Joint Stock Company. Authorized Mr. Nguyen Xuan Hoc, Member of the Board of Directors, to sign the decision approving the contents agreed upon in this meeting.</p> <p>The Executive Board shall organize the implementation of the construction works to ensure progress, quality, and cost-efficiency.</p>	100 %
17	17/BB-HĐQT	26/5/2025	<p>1. Approved the Agenda of the 2025 Annual General Meeting of Shareholders (AGM) and the 2025–2030 term.</p> <p>2. Approved the Draft Regulations on working procedures at the 2025 AGM and for the 2025–2030 term.</p> <p>3. Approved the Draft Election Regulations for electing members of the Board of Directors (BOD) and Supervisory Board (SB) for the 2025–2030 term.</p> <p>4. Approved Report No. 159/BC-CTCPNS dated May 26, 2025 by the Executive Board on business performance in 2024 and business</p>	100 %

			<p>plan for 2025.</p> <p>5. Approved Report No. 29/BC-HĐQT dated May 26, 2025 by the BOD on corporate governance and BOD activities in 2024, business performance for the 2020–2024 period, and orientation for 2025–2030.</p> <p>6. Approved Report No. 01/BC-BKS dated March 25, 2025 by the SB on its activities in 2024 and a summary of the audited 2024 financial statements.</p> <p>7. Approved Report No. 02/BC-BKS dated March 25, 2025 by the SB on its activities during the 2020–2025 term.</p> <p>8. Approved Submission No. 30/TTr-HĐQT dated May 26, 2025 by the BOD on finalizing the remuneration of the BOD and SB in 2024 and the payment plan for 2025.</p> <p>9. Approved Submission No. 31/TTr-HĐQT dated May 26, 2025 by the BOD on the 2024 profit distribution plan.</p> <p>10. Approved Submission No. 03/TTr-BKS dated March 25, 2025 by the SB on the selection of an auditing firm for the 2025 financial statements.</p> <p>11. Approved Submission No. 32/TTr-HĐQT dated May 26, 2025 by the BOD on proposed amendments and supplements to the Company's Charter.</p> <p>12. Approved Submission No. 33/TTr-HĐQT dated May 26, 2025 by the BOD on promulgating the Internal Corporate Governance Regulations.</p> <p>13. Approved Submission No. 34/TTr-HĐQT dated May 26, 2025 by the BOD on promulgating the Operating Regulations of the BOD.</p> <p>14. Approved Submission No. 05/TTr-BKS dated May 26, 2025 by the SB on promulgating the Operating Regulations of the SB.</p> <p>15. Approved Submission No. 35/TTr-HĐQT dated May 26, 2025 by the BOD on nominating candidates for the BOD and SB for the 2025–2030 term.</p> <p>16. Approved the Draft Resolution of the 2025 AGM and for the 2025–2030 term.</p> <p>17. Authorized Mr. Nguyen Xuan Hoc, Member of the BOD and General Director, to sign the Invitation to the AGM and related documents, and to act as the Chairperson of the 2025 AGM.</p>	
--	--	--	--	--



18	18/BB-HĐQT	26/5/2025	<p>1. Approved the investment policy to supplement water supply sources for several areas in Thai Nguyen City and install a booster pump cluster to ensure water supply for Dong Cao and Tan Phu wards in Pho Yen City, as proposed in Submission No. 158/TTr-CTCPNS dated May 23, 2025 by the Executive Board.</p> <p>Authorized Mr. Nguyen Xuan Hoc, Member of the BOD, to approve the agreed contents of this meeting on behalf of the BOD. Assigned the Executive Board to implement the investment and construction following current regulations, ensuring quality, timeliness, cost savings, and effectiveness.</p>	100 %
19	19/BB-HĐQT	30/5/2025	<p>1. Approved Submission No. 161a/TTr-CTCPNS dated May 28, 2025 by the General Director on the appointment of Mr. Duong Ngo Hiep, born on July 13, 1977, with a Master's degree in Hydraulic Construction Engineering, currently an employee of the Investment Management Department, as Deputy Head of the department.</p> <p>2. Agreed on the plan for organizing the Party Congress of the Company for the 2025–2030 term as approved by the Party Committee of Thai Nguyen City.</p>	100 %
20	20/BB-HĐQT	02/6/2025	<p>1. Approved the Contractor Selection Plan for construction projects as proposed in Submission No. 165/TTr-CTCPNS dated May 30, 2025 by the Executive Board.</p> <p>Authorized Mr. Nguyen Xuan Hoc, Member of the BOD, to sign the decision approving the contents agreed upon in this meeting. The Executive Board shall organize the implementation of the construction works to ensure progress, quality, and cost-efficiency.</p>	100 %
21	21/BB-HĐQT	16/6/2025	<p>1. Approved Submission No. 180/TTr-CTCPNS dated June 13, 2025 by the General Director on the proposed amendments and supplements to the Emulation – Commendation Regulation issued under Decision No. 12/QĐ-HĐQT dated April 15, 2020 by the BOD.</p> <p>Authorized Mr. Nguyen Xuan Hoc, Member of the BOD, to sign the documents to implement the contents agreed upon in this meeting.</p>	100 %
22	22/BB-HĐQT	18/6/2025	<p>1. Approved Submission No. 186/TTr-CTCPNS and Submission No. 187/TTr-CTCPNS dated June 16, 2025 by the Executive Board on contractor selection plans for the</p>	100 %

			water pipeline project in Diem Thuy Industrial Park and the installation of an automatic water resource monitoring system. 2. Authorized Mr. Nguyen Xuan Hoc, Member of the BOD and General Director, to sign related documents, dossiers, and decisions according to the resolutions of this BOD meeting.	
23	27/NQ-HDQT	27/6/2025	1. Elected Mr. Nguyen Quang Mai as Chairman of the Board of Directors of Thai Nguyen Clean Water Joint Stock Company for the 2025–2030 term.	100 %
24	27a/BB-HDQT	28/6/2025	1. Approved Submission No. 206a/TTr-CTCPNS dated June 27, 2025 by the Executive Board on the selection of the auditing firm for the 2025 financial statements.	100 %

### III. SUPERVISORY BOARD

#### 1. Information on Supervisory Board Members:

No.	Full Name	Position	Start Date as SB Member	End Date (if any)	Qualification
1	Mr. Vu Khanh Lam	Head of Supervisory Board	16/06/2020		Master of Economics
2	Ms. Hoang Thi Hue	Supervisor	29/05/2015		Master of Economics
3	Ms. Nguyen Thi Mai	Supervisor	01/06/2022		Bachelor of Foreign Languages

#### 2. Supervisory Board Meetings

No.	Full Name	Meetings Attended	Attendance Rate	Voting Rate	Reason for Absence
1	Mr. Vu Khanh Lam	03/03	100%	100%	
2	Ms. Hoang Thi Hue	03/03	100%	100%	
3	Ms. Nguyen Thi Mai	03/03	100%	100%	

#### 3. Supervisory Activities over the Board of Directors, Executive Board, and Shareholders

The Supervisory Board (SB) participated in the 2025 Annual General Meeting of Shareholders (AGM) and the 2025–2030 term. SB representatives attended and monitored the meetings of the Board of Directors (BOD), monthly executive briefings, and reviewed reports, directives, and management



documents issued by the Executive Board to stay informed on the Company's business operations. The SB conducted inspections and oversight of the Company's financial status, business operations, and procedures related to corporate activities. The activities of the BOD and Executive Board were found to be in compliance with the Company's Charter and relevant legal regulations.

The SB remained available to receive shareholder feedback. In the first half of 2025, the SB did not receive any complaints or petitions from shareholders regarding corporate governance, the operation of the Executive Board, or other matters related to the Company's activities.

#### **4. Coordination Between the Supervisory Board, BOD, Executive Board, and Other Managers**

The Supervisory Board received full cooperation and support from the BOD, Executive Board, and other functional departments in updating business information and collecting data and documents for oversight purposes.

The SB maintained internal communication with the BOD and Executive Board to ensure that all Company operations are conducted properly and in the interest of shareholders.

The SB also provided feedback and contributed opinions regarding the leadership and management activities of the BOD, Executive Board, and other senior managers.

#### **5. Other Activities of the Supervisory Board: None.**

#### **IV. EXECUTIVE BOARD**

<b>No.</b>	<b>Full Name</b>	<b>Date of Birth</b>	<b>Qualification / Major</b>	<b>Date of Appointment</b>
1	Mr. Nguyen Xuan Hoc	22/03/1971	Bachelor of Economics	03/11/2017
2	Mr. Nguyen Van Tien	28/04/1969	Engineer in Business Administration	01/02/2017
3	Mr. Le Huy Phu	22/07/1983	Engineer in Water Supply and Drainage; Automation Engineer	04/10/2023

#### **V. CHIEF ACCOUNTANT**

<b>No.</b>	<b>Full Name</b>	<b>Date of Birth</b>	<b>Qualification / Major</b>	<b>Date of Appointment / Dismissal</b>
1	Mr. Nguyen Minh Phuong	30/10/1984	Bachelor of Accounting	01/04/2020

#### **VI. TRAINING ON CORPORATE GOVERNANCE**

There were no corporate governance training activities during the reporting period.

#### **VII. LIST OF RELATED PERSONS AND TRANSACTIONS BETWEEN RELATED PERSONS AND THE COMPANY**

1. List of related persons of the company: (Detailed in Appendix 1 – Section VII).

2. Transactions between the company and its related persons; or between the company and major shareholders, insiders, and their related persons: **None.**



3. Transactions between the company's insiders, their related persons and subsidiaries or companies controlled by the company: **None**.

4. Other transactions:

4.1. *Transactions between the company and entities in which members of the BOD, Supervisory Board, General Director, or other managers are or have been founding shareholders or members of the BOD or executives within the last three (03) years:*

*The company has a master contract with Thai Nguyen Clean Water Construction Co., Ltd (a subsidiary) for executing services related to expanding the customer base for new water supply connections.*

4.2. *Transactions between the company and companies where related persons of members of the BOD, Supervisory Board, or General Director are members of the BOD or hold executive positions: None.*

4.3. *Other transactions that bring material or non-material benefits to members of the BOD, Supervisory Board, General Director, or other managers: None.*

#### **VIII. TRANSACTIONS OF INTERNAL PERSONS AND THEIR RELATED PERSONS IN COMPANY SHARES**

5. List of insiders and their related persons: (Detailed in Appendix 1 – Section VIII).

6. Transactions of insiders and related persons involving company shares: **None**.

**IX. OTHER REMARKABLE ISSUES: None./.**

#### **Recipients:**

- As above;
- Board of Directors;
- Supervisory Board;
- Executive Board;
- Company Secretary;
- Archives: Office.

**For and on behalf of the Chairman  
of the BOD**

**Member – General Director**



**Nguyen Xuan Hoc**



# APPENDIX 01 - SECTION VII: THE LIST AFFILIATED PERSONS OF THE COMPANY

(Attached to the Company's Governance Report No. 44/BC-HDQT dated 29/7/2025)

No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
1	Nguyen Quang Mai		Chairman of the Board - Legal representative	ID card					29/05/2015			Internal person
1.1	Nguyen Thi Cuc			Passport					29/05/2015			Wife
1.2	Nguyễn Linh Phuong			Passport					29/05/2015			Daughter
1.3	Nguyen Khanh Van			Passport					29/05/2015			Daughter
1.4	Nguyen Bao Anh			N/A					25/09/2018		Minor	Daughter
1.5	Nguyen Quang An			N/A					10/01/2022		Minor	Son
1.6	Nguyen Quang Uyen			N/A					29/05/2015		Dead	Biological father
1.7	Duong Thi Cuc			ID card					29/05/2015			Biological mother
1.8	Nguyễn Xuan Got			ID card					29/05/2015			Father in law
1.9	Nguyen Thi Ngoan			ID card					29/05/2015			Mother in law
1.10	Nguyen Thi Yen			ID card					29/05/2015			Older sister
1.11	Nguyen Thi Uy			ID card					29/05/2015			Older sister

No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
1.12	Nguyen Thi Mai Hoa			ID card					29/05/2015			Older sister
1.13	Nhu Van Khoa			ID card					29/05/2015			Brother in law
1.14	Dear Lam			ID card					29/05/2015			Brother in law
1.15	Nguyen Huu Tan			ID card					29/05/2015			Brother in law
1.16	Dong A International Corporation (Mr. Nguyen Quang Mai is a member of the Board and General Director)			Business Registration Certificate					29/05/2015			Related organization
1.17	Viet Nam Corn Investment and Development Joint Stock Company (Mr. Nguyen Quang Mai is Chairman of the Board)			Business Registration Certificate					29/05/2015			Related organization
1.18	Friend Limited Company (Mr. Nguyen Quang Mai is Chairman of the Company)			Business registration Certificate					14/04/2017			Related organization
1.19	Vietnam VBIC Joint Stock Company (Mr. Nguyen Quang Mai is Chairman of the Board)			Business Registration Certificate					04/06/2018			Related organization
1.20	Vietnam DOINCO Joint Stock Company (Mr. Nguyen Quang Mai is Chairman of the Board)			Business Registration Certificate					05/04/2019			Related organization



No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
2	Nguyen Xuan Hoc		Member of the Board / General Director	ID card					08/08/2017			Internal person
2.1	Thai Nguyen Provincial People's Committee		State shareholders						01/2/2020 (Cứ lại ngày 19/6/2025)			Mr. Nguyen Xuan Hoc (State capital representative, holding ratio of 21.55% equivalent to 3,449,232 shares)
2.2	Nguyen Thi Nham			ID card					08/08/2017			Wife
2.3	Nguyen Xuan Tien			ID card					08/08/2017			Son
2.4	Nguyen Thi Khanh Linh			ID card					08/08/2017			Daughter
2.5	Nguyen Thi Tung Lam			ID card					08/08/2017			Daughter in law
2.6	Nguyen Xuan Khoa			ID card					08/08/2017			Biological father
2.7	La Thi Bich Hang			N/A					08/08/2017		Dead	Biological mother
2.8	Nguyen Van Ty			ID card					08/08/2017			Father in law
2.9	Nguyen Thi Huong			ID card					08/08/2017			Mother in law

No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( (ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
2.10	Nguyen Xuan Khuong			ID card					08/08/2017			Younger brother
2.11	Nguyen Xuan Chuong			ID card					08/08/2017			Younger brother
2.12	Le Thi Hong Phuong			ID card					08/08/2017			Sister in law
2.13	Vu Thi Tuyen Nhung			ID card					08/08/2017			Sister in law
3	Nguyen Van Tien		Member of the Board/Deputy General Director	ID card					05/02/2016			Internal person
3.1	Thai Nguyen Provincial People's Committee		State shareholders						05/2/2016 (Cứ lại ngày 30/6/2025)			Mr. Nguyen Van Tien (State capital representative, holding ratio of 10.36% equivalent to 1,656,984 shares)
3.2	Nguyen Thi Thuy			ID card					05/02/2016			Wife
3.3	Nguyen Thao Nguyen			ID card					05/02/2016			Daughter
3.4	Nguyen Minh Quan			N/A					05/02/2016		Minor	Son
3.5	Nguyen Van Van			ID card					05/02/2016			Biological father
3.6	Nguyen Thi Hanh			ID card					05/02/2016			Biological mother



No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
3.7	Nguyen Van Xuan			ID card					05/02/2016			Father in law
3.8	Nguyen Thi Sinh			N/A					05/02/2016			Mother in law
3.9	Nguyen Anh Tuan			ID card					05/02/2016			Older brother
3.10	Nguyen Cam Tu			ID card					05/02/2016			Older sister
3.11	Nguyen Thi Tuyet Mai			ID card					05/02/2016			Sister in law
3.12	Nguyen Duc Quang			N/A					05/02/2016		Dead	Brother in law
4	Le Quang Hai		Member of the Board/Company's Secretary	ID card					21/04/2023			Internal person
4.1	Luu Thi Nhu Hoa			ID card					21/04/2023			Wife
4.2	Le Quang Duong			ID card					14/07/2024			Son
4.3	Le Quang Hung			ID card					21/04/2023			Older brother
4.4	Le Quang The			ID card					21/04/2023			Biological father

No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
4.5	Nguyen Thi Hien			ID card					21/04/2023			Biological mother
4.6	Luu Van Hoai			ID card					21/04/2023			Father in law
4.7	Pham Thi Nhai			ID card					21/04/2023			Mother in law
4.8	Pham Mai Ca			ID card					21/04/2023			Sister in law
5	Nguyen Van Nam		Member of the Board	ID card					27/06/2025		Resigned from the position of Deputy General Director of the Company at personal request, effective December 1, 2024.	Internal person
5.1	Ha Thi Hoan			ID card					27/06/2025			Wife
5.2	Nguyen Thuy Anh			N/A					27/06/2025		Minor	Daughter
5.3	Nguyen Ngoc Anh			N/A					27/06/2025		Minor	Daughter



No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
5.4	Nguyen Phuong Linh			N/A					27/06/2025		Minor	Daughter
5.5	Nguyen Anh Duc			N/A					27/06/2025		Minor	Son
5.6	Nguyen Thi Lien			ID card					27/06/2025			Biological father
5.7	Ha Thanh Quyen			ID card					27/06/2025		Dead	Biological mother
5.8	Nguyen Thi Nhu			ID card					27/06/2025			Father in law
5.9	Nguyen Thi Hang			ID card					27/06/2025		Dead	Mother in law
5.10	Duong Van Dung			ID card					27/06/2025			Younger sister
5.11	Nguyen Ba Thang			ID card					27/06/2025			Brother in law
5.12	Dong A International Corporation (Mr. Nguyen Van Nam is a Head of Supervisory Board)			Business registration Certificate					27/06/2025			Related organization

No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( (ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
6	Le Huy Phu		Deputy General Director	ID card					01/02/2020 (Cứ lại ngày 19/6/2025)			Internal person
6.1	Thai Nguyen Provincial People's Committee		State shareholders						01/02/2020			Mr. Le Huy Phu (State capital representative, holding ratio of 10.36% equivalent to 1,656,984 shares)
6.2	Tran Thi Thu Huyen			ID card					01/02/2020			Wife
6.3	Le Tran Minh			N/A					01/02/2020		Minor	Son
6.4	Le Nhat Linh			N/A					01/02/2020		Minor	Daughter
6.5	Le Van Ngoc			ID card					01/02/2020			Biological father
6.6	Trinh Thi Hoa			ID card					01/02/2020			Biological mother
6.7	Tran Van Vinh			ID card					01/02/2020			Father in law
6.8	Nguyen Thi Tam			ID card					01/02/2020		Dead	Mother in law
6.9	Le Ke Phong			ID card					01/02/2020			Older brother



No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
6.10	Le Phuong Lan			ID card					01/02/2020			Older sister
6.11	Pham Thi Thu Huong			ID card					01/02/2020			Sister in law
6.12	Vu Tuan Giang			ID card					01/02/2020			Brother in law
7	<b>Vu Khanh Lam</b>		<b>Head of Supervisory Board</b>	ID card					<b>16/06/2020</b>			<b>Internal person</b>
7.1	Pham Thanh Huong			ID card					16/06/2020			Wife
7.2	Vu Minh Quang			N/A					01/09/2022		Minor	Son
7.3	Vu Quang Minh			N/A					01/09/2022		Minor	Son
7.4	Vu Minh Tam			ID card					16/06/2020			Biological father
7.5	Pham Thi Lan			ID card					16/06/2020			Biological mother
7.6	Pham Van Ho			ID card					16/06/2020			Father in law
7.7	Tran Thi Binh			ID card					16/06/2020			Mother in law
7.8	Vu Quang Huy			ID card					16/06/2020			Younger brother
7.9	Tran Thi Mai Lien			ID card					16/06/2020			Sister in law

No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
8	Nguyen Thi Mai		Member of Supervisory Board	ID card					01/06/2022			Internal person
8.1	Pham Van Khai			ID card					01/06/2022			Husband
8.2	Pham Duc Minh			ID card					01/06/2022			Son
8.3	Pham The Vinh			N/A					01/06/2022		Minor	Son
8.4	Nguyen Minh Hieu			ID card					01/06/2022			Biological father
8.5	Nguyen Thi Ly			ID card					01/06/2022			Biological mother
8.6	Pham Van Dong			ID card					01/06/2022			Father in law
8.7	Hoang Thi My			ID card					01/06/2022			Mother in law
8.8	Nguyen Van Cuong			ID card					01/06/2022			Older brother
8.9	Nguyen Thi Hue			ID card					01/06/2022			Older sister
8.10	Nguyen Thi Huong			ID card					01/06/2022			Older sister



No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
8.11	Nguyen Thi Hoa			ID card					01/06/2022			Older sister
8.12	Pham Thi Tam			ID card					01/06/2022			Sister in law
8.13	Dao Van Tuyen			ID card					01/06/2022			Brother in law
8.14	Nguyen Phuc Huong			ID card					01/06/2022			Brother in law
9	Hoang Thi Hue		Member of Supervisory Board	ID card					29/05/2015			Internal person
9.1	Nguyen Huy Bien			ID card					29/05/2015			Husband
9.2	Nguyen Thu Ha			ID card					29/05/2015			Daughter
9.3	Nguyen Cong Trang			N/A					29/05/2015		Minor	Son
9.4	Hoang Van Uan			ID card					29/05/2015			Biological father
9.5	Nguyen Thi Lanh			ID card					29/05/2015			Biological mother
9.6	Nguyen Hong Diep			N/A					29/05/2015		Dead	Father in law
9.7	Trinh Thi Chanh			N/A					29/05/2015		Dead	Mother in law
9.8	Hoang Van Hai			ID card					29/05/2015			Younger brother

No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
9.9	Ta Thi Mai Loan			ID card					29/05/2015			Sister in law
10	Nguyen Minh Phuong		Chief Accountant	ID card					01/04/2020			Internal person
10.1	Pham Thanh Huyen			ID card					01/04/2020			Wife
10.2	Nguyen Khanh Chi			N/A					01/04/2020		Minor	Daughter
10.3	Nguyen Minh Quang			N/A					01/04/2020		Minor	Son
10.4	Nguyen Van Bui			ID card					01/04/2020			Biological father
10.5	Nguyen Thi Lap			ID card					01/04/2020			Biological mother
10.6	Pham Dang Phat			ID card					01/04/2020			Father in law
10.7	Vu Thi Thoa			ID card					01/04/2020			Mother in law
10.8	Nguyen Thi Ngoc Mai			ID card					01/04/2020			Younger sister
10.9	Nguyen Hong Truong			ID card					01/04/2020			Brother in law



No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
10.10	Soc Trang Water Supply Joint Stock Company (Mr. Nguyen Minh Phuong is a member of the Supervisory Board)			Business Registration Certificate					16/05/2024			Related organization
11	<b>Do Thi Thanh Huong</b>		<b>Authorized person to disclose Company's information</b>	<b>ID card</b>					<b>03/12/2019</b>			<b>Internal person</b>
11.1	Tran Quoc Tuan			ID card					03/12/2019			Husband
11.2	Tran Quoc Thai			ID card					03/12/2019			Son
11.3	Tran Xuan Bach			N/A					03/12/2019		Minor	Son
11.4	Do Van Binh			N/A					03/12/2019		Dead	Biological father
11.5	Dao Thi Thuan			ID card					03/12/2019			Biological mother
11.6	Tran Quoc Tap			ID card					03/12/2019			Father in law
11.7	Dong Thi Hao			ID card					03/12/2019			Mother in law
11.8	Do Minh Nguyen			ID card					03/12/2019			Younger brother

No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( (ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
11.9	Bui Thi Van Anh			ID card					03/12/2019			Sister in law
12	Dao Manh Truong		Company Secretarial Team	ID card					19/06/2019		appointed by the Chairman of the Board	Internal person
12.1	Hoang Thi Hue			ID card					10/12/2024			Wife
12.2	Dao Manh Thanh			ID card					19/06/2019			Biological father
12.3	Nguyen Thi Hoa			ID card					19/06/2019			Biological mother
12.4	Hoang Trong Hiep			ID card					10/12/2024			Father in law
12.5	Le Thi Nguyet			ID card					10/12/2024			Mother in law
12.6	Dao Thi Phuong			ID card					19/06/2019			Older sister
12.7	Dao Manh Luan			ID card					19/06/2019			Younger brother
12.8	Vu Trung Kien			ID card					19/06/2019			Brother in law
13	Thai Nguyen Provincial People's Committee		State shareholders	Business registration Certificate					29/05/2015			Percentage of shares held is 42.27%



No.	Name of Organization/ individual	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the company
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue					
14	Dong A International Corporation		Major shareholders	Business registration Certificate					29/05/2015			Percentage of shares held is 41%
15	Nguyen Quang Mai		Major shareholders	ID card					16/06/2020			Percentage of shares held is 10,05%
16	Trade Union of Thai Nguyen Water Joint Stock Company		Socio-political organization of public company						25/12/1962			
17	Youth Union of Thai Nguyen Water Joint Stock Company		Socio-political organization of public company						25/12/1962			

# APPENDIX 01 - SECTION VIII: THE LIST INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

(Attached to the Company's Governance Report No. 44/BC-HDQT dated 29/7/2025)

No.	Full name	Securities trading account	Position in Company	No. ( ( ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
1	Nguyen Quang Mai		Chairman of the Board - Legal representative	ID card					1.607.546	10,05%	Internal person
1.1	Nguyen Thi Cuc			Passport					-	0,00%	Wife
1.2	Nguyễn Linh Phuong			Passport					-	0,00%	Daughter
1.3	Nguyen Khanh Van			Passport					-	0,00%	Daughter
1.4	Nguyen Bao Anh			N/A					-	0,00%	Daughter
1.5	Nguyen Quang An			N/A					-	0,00%	Son
1.6	Nguyen Quang Uyen			N/A					-	0,00%	Biological father
1.7	Duong Thi Cuc			ID card					-	0,00%	Biological mother
1.8	Nguyễn Xuan Got			ID card					-	0,00%	Father in law
1.9	Nguyen Thi Ngoan			ID card					-	0,00%	Mother in law
1.10	Nguyen Thi Yen			ID card					-	0,00%	Older sister
1.11	Nguyen Thi Uy			ID card					-	0,00%	Older sister
1.12	Nguyen Thi Mai Hoa			ID card					-	0,00%	Older sister
1.13	Nhu Van Khoa			ID card					-	0,00%	Brother in law
1.14	Dear Lam			ID card					-	0,00%	Brother in law
1.15	Nguyen Huu Tan			ID card					-	0,00%	Brother in law



No.	Full name	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
1.16	Dong A International Corporation (Mr. Nguyen Quang Mai is a member of the Board and General Director)			Business Registration Certificate					6.560.000	41,00%	Related organization
1.17	Viet Nam Corn Investment and Development Joint Stock Company (Mr. Nguyen Quang Mai is Chairman of the Board)			Business Registration Certificate					-	0,00%	Related organization
1.18	Friend Limited Company (Mr. Nguyen Quang Mai is Chairman of the Company)			Business registration Certificate					-	0,00%	Related organization
1.19	Vietnam VBIC Joint Stock Company (Mr. Nguyen Quang Mai is Chairman of the Board)			Business Registration Certificate					-	0,00%	Related organization
1.20	Vietnam DOINCO Joint Stock Company (Mr. Nguyen Quang Mai is Chairman of the Board)			Business Registration Certificate					-	0,00%	Related organization
2	Nguyen Xuan Hoc		Member of the Board / General Director	ID card					0	0,00%	Internal person
2.1	Thai Nguyen Provincial People's Committee		State shareholders						6.763.200	42,27%	Mr. Nguyen Xuan Hoc (State capital representative)
2.2	Nguyen Thi Nham			ID card					-	0,00%	Wife
2.3	Nguyen Xuan Tien			ID card					-	0,00%	Son
2.4	Nguyen Thi Khanh Linh			ID card					-	0,00%	Daughter
2.5	Nguyen Thi Tung Lam			ID card					-	0,00%	Daughter in law

No.	Full name	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
2.6	Nguyen Xuan Khoa			ID card					-	0,00%	Biological father
2.7	La Thi Bich Hang			N/A					-	0,00%	Biological mother
2.8	Nguyen Van Ty			ID card					-	0,00%	Father in law
2.9	Nguyen Thi Huong			ID card					-	0,00%	Mother in law
2.10	Nguyen Xuan Khuong			ID card					-	0,00%	Younger brother
2.11	Nguyen Xuan Chuong			ID card					-	0,00%	Younger brother
2.12	Le Thi Hong Phuong			ID card					-	0,00%	Sister in law
2.13	Vu Thi Tuyet Nhung			ID card					-	0,00%	Sister in law
3	Nguyen Van Tien		Member of the Board/Deputy General Director	ID card					-	0,00%	Internal person
3.1	Thai Nguyen Provincial People's Committee		State shareholders						6.763.200	42,27%	Mr. Nguyen Van Tien (State capital representative, holding ratio of 10.36% equivalent to 1,656,984 shares)
3.2	Nguyen Thi Thuy			ID card					-	0,00%	Wife
3.3	Nguyen Thao Nguyen			ID card					-	0,00%	Daughter
3.4	Nguyen Minh Quan			N/A					-	0,00%	Son
3.5	Nguyen Van Van			ID card					-	0,00%	Biological father



No.	Full name	Securities trading account	Position in Company	No. ( ( ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
3.6	Nguyen Thi Hanh			ID card					-	0,00%	Biological mother
3.7	Nguyen Van Xuan			ID card					-	0,00%	Father in law
3.8	Nguyen Thi Sinh			N/A					-	0,00%	Mother in law
3.9	Nguyen Anh Tuan			ID card					-	0,00%	Older brother
3.10	Nguyen Cam Tu			ID card					-	0,00%	Older sister
3.11	Nguyen Thi Tuyet Mai			ID card					-	0,00%	Sister in law
3.12	Nguyen Duc Quang			N/A					-	0,00%	Brother in law
4	Le Quang Hai		Member of the Board/Company's Secretary	ID card					-	0,00%	Internal person
4.1	Luu Thi Nhu Hoa			ID card					-	0,00%	Wife
4.2	Le Quang Duong			ID card					-	0,00%	Son
4.3	Le Quang Hung			ID card					-	0,00%	Older brother
4.4	Le Quang The			ID card					-	0,00%	Biological father
4.5	Nguyen Thi Hien			ID card					-	0,00%	Biological mother
4.6	Luu Van Hoai			ID card					-	0,00%	Father in law
4.7	Pham Thi Nhai			ID card					-	0,00%	Mother in law
4.8	Pham Mai Ca			ID card					-	0,00%	Sister in law
5	Nguyen Van Nam		Member of the Board	ID card					-	0,00%	Internal person

No.	Full name	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
5.1	Ha Thi Hoan			ID card					-	0,00%	Wife
5.2	Nguyen Thuy Anh			N/A					-	0,00%	Daughter
5.3	Nguyen Ngoc Anh			N/A					-	0,00%	Daughter
5.4	Nguyen Phuong Linh			N/A					-	0,00%	Daughter
5.5	Nguyen Anh Duc			N/A					-	0,00%	Son
5.6	Nguyen Thi Lien			ID card					-	0,00%	Biological father
5.7	Ha Thanh Quyen			ID card					-	0,00%	Biological mother
5.8	Nguyen Thi Nhu			ID card					-	0,00%	Father in law
5.9	Nguyen Thi Hang			ID card					-	0,00%	Mother in law
5.10	Duong Van Dung			ID card					-	0,00%	Younger sister
5.11	Nguyen Ba Thang			ID card					-	0,00%	Brother in law
5.12	Dong A International Corporation (Mr. Nguyen Van Nam is a Head of Supervisory Board)			Business registration Certificate					6.560.000	41,00%	Related organization
6	Le Huy Phu		Deputy General Director	ID card					48	0,0003%	Internal person
6.1	Thai Nguyen Provincial People's Committee		State shareholders						6.763.200	42,27%	Mr. Le Huy Phu (State capital representative,
6.2	Tran Thi Thu Huyen			ID card					-	0,00%	Wife
6.3	Le Tran Minh			N/A					-	0,00%	Son



No.	Full name	Securities trading account	Position in Company	No. ( (ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
6.4	Le Nhat Linh			N/A					-	0,00%	Daughter
6.5	Le Van Ngoc			ID card					-	0,00%	Biological father
6.6	Trinh Thi Hoa			ID card					-	0,00%	Biological mother
6.7	Tran Van Vinh			ID card					-	0,00%	Father in law
6.8	Nguyen Thi Tam			ID card					-	0,00%	Mother in law
6.9	Le Ke Phong			ID card					-	0,00%	Older brother
6.10	Le Phuong Lan			ID card					-	0,00%	Older sister
6.11	Pham Thi Thu Huong			ID card					-	0,00%	Sister in law
6.12	Vu Tuan Giang			ID card					-	0,00%	Brother in law
7	<b>Vu Khanh Lam</b>		<b>Head of Supervisory Board</b>	ID card					-	0,00%	<b>Internal person</b>
7.1	Pham Thanh Huong			ID card					-	0,00%	Wife
7.2	Vu Minh Quang			N/A					-	0,00%	Son
7.3	Vu Quang Minh			N/A					-	0,00%	Son
7.4	Vu Minh Tam			ID card					-	0,00%	Biological father
7.5	Pham Thi Lan			ID card					-	0,00%	Biological mother
7.6	Pham Van Ho			ID card					-	0,00%	Father in law
7.7	Tran Thi Binh			ID card					-	0,00%	Mother in law
7.8	Vu Quang Huy			ID card					-	0,00%	Younger brother

No.	Full name	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
7.9	Tran Thi Mai Lien			ID card					-	0,00%	Sister in law
8	Nguyen Thi Mai		Member of Supervisory Board	ID card					-	0,00%	Internal person
8.1	Pham Van Khai			ID card					-	0,00%	Husband
8.2	Pham Duc Minh			ID card					-	0,00%	Son
8.3	Pham The Vinh			N/A					-	0,00%	Son
8.4	Nguyen Minh Hieu			ID card					-	0,00%	Biological father
8.5	Nguyen Thi Ly			ID card					-	0,00%	Biological mother
8.6	Pham Van Dong			ID card					-	0,00%	Father in law
8.7	Hoang Thi My			ID card					-	0,00%	Mother in law
8.8	Nguyen Van Cuong			ID card					-	0,00%	Older brother
8.9	Nguyen Thi Hue			ID card					-	0,00%	Older sister
8.10	Nguyen Thi Huong			ID card					-	0,00%	Older sister
8.11	Nguyen Thi Hoa			ID card					-	0,00%	Older sister
8.12	Pham Thi Tam			ID card					-	0,00%	Sister in law
8.13	Dao Van Tuyen			ID card					-	0,00%	Brother in law
8.14	Nguyen Phuc Huong			ID card					-	0,00%	Brother in law



No.	Full name	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
9	Hoang Thi Hue		Member of Supervisory Board	ID card					13.611	0,09%	Internal person
9.1	Nguyen Huy Bien			ID card					9.632	0,06%	Husband
9.2	Nguyen Thu Ha			ID card					-	0,00%	Daughter
9.3	Nguyen Cong Trang			N/A					-	0,00%	Son
9.4	Hoang Van Uan			ID card					-	0,00%	Biological father
9.5	Nguyen Thi Lanh			ID card					-	0,00%	Biological mother
9.6	Nguyen Hong Diep			N/A					-	0,00%	Father in law
9.7	Trinh Thi Chanh			N/A					-	0,00%	Mother in law
9.8	Hoang Van Hai			ID card					-	0,00%	Younger brother
9.9	Ta Thi Mai Loan			ID card					-	0,00%	Sister in law
10	Nguyen Minh Phuong		Chief Accountant	ID card					-	0,00%	Internal person
10.1	Pham Thanh Huyen			ID card					-	0,00%	Wife
10.2	Nguyen Khanh Chi			N/A					-	0,00%	Daughter
10.3	Nguyen Minh Quang			N/A					-	0,00%	Son
10.4	Nguyen Van Bui			ID card					-	0,00%	Biological father
10.5	Nguyen Thi Lap			ID card					-	0,00%	Biological mother
10.6	Pham Dang Phat			ID card					-	0,00%	Father in law

No.	Full name	Securities trading account	Position in Company	No. ( ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
10.7	Vu Thi Thoa			ID card					-	0,00%	Mother in law
10.8	Nguyen Thi Ngoc Mai			ID card					-	0,00%	Younger sister
10.9	Nguyen Hong Truong			ID card					-	0,00%	Brother in law
10.10	Soc Trang Water Supply Joint Stock Company (Mr. Nguyen Minh Phuong is a member of the Supervisory Board)			Business Registration Certificate					-	0,00%	Related organization
11	<b>Do Thi Thanh Huong</b>		<b>Authorized person to disclose Company's information</b>	<b>ID card</b>					-	0,00%	<b>Internal person</b>
11.1	Tran Quoc Tuan			ID card					-	0,00%	Husband
11.2	Tran Quoc Thai			ID card					-	0,00%	Son
11.3	Tran Xuan Bach			N/A					-	0,00%	Son
11.4	Do Van Binh			N/A					-	0,00%	Biological father
11.5	Dao Thi Thuan			ID card					-	0,00%	Biological mother
11.6	Tran Quoc Tap			ID card					-	0,00%	Father in law
11.7	Dong Thi Hao			ID card					-	0,00%	Mother in law
11.8	Do Minh Nguyen			ID card					-	0,00%	Younger brother
11.9	Bui Thi Van Anh			ID card					-	0,00%	Sister in law
12	<b>Dao Manh Truong</b>		<b>Company Secretarial Team</b>	<b>ID card</b>					-	0,00%	<b>Internal person</b>



No.	Full name	Securities trading account	Position in Company	No. ( (ID card, Passport, Business Registration Certificate)				Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
				Type of NSH Documents	NSH Document No.	Date of issue	Place of issue				
12.1	Hoang Thi Hue			ID card					-	0,00%	Wife
12.2	Dao Manh Thanh			ID card					-	0,00%	Biological father
12.3	Nguyen Thi Hoa			ID card					-	0,00%	Biological mother
12.4	Hoang Trong Hiep			ID card					-	0,00%	Father in law
12.5	Le Thi Nguyet			ID card					-	0,00%	Mother in law
12.6	Dao Thi Phuong			ID card					-	0,00%	Older sister
12.7	Dao Manh Luan			ID card					-	0,00%	Younger brother
12.8	Vu Trung Kien			ID card					-	0,00%	Brother in law
13	Thai Nguyen Provincial People's Committee		State shareholders	Business registration Certificate					6.763.200	42,27%	Percentage of shares held is 42.27%
14	Dong A International Corporation		Major shareholders	Business registration Certificate					6.560.000	41,00%	Percentage of shares held is 41%
15	Nguyen Quang Mai		Major shareholders	ID card					1.607.546	10,05%	Percentage of shares held is 10,05%
16	Trade Union of Thai Nguyen Water Joint Stock Company		Socio-political organization of public company						-	0,00%	
17	Youth Union of Thai Nguyen Water Joint Stock Company		Socio-political organization of public company						-	0,00%	