

No. 702./2025/TB-EVS

Hanoi, December 11, 2025

**DISCLOSURE OF INFORMATION ON THE STATE SECURITIES COMMISSION'S  
PORTAL AND STOCK EXCHANGE'S PORTAL**

**To:**

- The State Securities Commission;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Hochiminh Stock Exchange.

1. Organization name: **EVS SECURITIES JOINT STOCK COMPANY (“Company”)**  
Ticker/Member code: EVS/040  
Address: Floor 3, 6, 9 - No. 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi, Vietnam  
Telephone: 024 3772 6699 Fax: 024 3772 6763  
E-mail: [congbothongtin@eves.com.vn](mailto:congbothongtin@eves.com.vn)  
Information disclosure type: ☒ 24 hours ☐ Irregular ☐ On demand ☐ Periodic
2. Content of information disclosure: EVS Securities Joint Stock Company announces information on Minutes and Resolution of the 2025 Extraordinary General Meeting of Shareholders and accompanying documents. *(For detailed content, please see the attached documents).*
3. This information was posted on Company website on December 11, 2025 at this link: <https://www.eves.com.vn/ve-chung-toi/cong-bo-thong-tin/>

We hereby declare to be responsible for the accuracy and completeness of the above information.

***Attached documents:***

- Minutes of meeting, Resolution of the 2025 Extraordinary General Meeting of Shareholders and accompanying documents.

**ORGANIZATION REPRESENTATIVE**

**Party authorized to disclose information**

*(Sign, full name, position, seal)*



**GIÁM ĐỐC TÀI CHÍNH**

*Vũ Thị Thanh Hằng*



No.: 02/2025/BB-ĐHĐCĐ

Hanoi, December 11, 2025

**MEETING MINUTES**

**EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS 2025**

- *Company Name: EVS Securities Joint Stock Company (“Company”/“EVS”).*
- *Business Registration Number: 0102121331*
- *Head Office: Floors 3, 6, 9, 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi City*
- *Opening Time: 1:30 PM, Thursday, December 11, 2025*
- *Method of Organizing the General Meeting of Shareholders (“Meeting”/“General Meeting of Shareholders”): Online*
- *Location of the General Meeting of Shareholders: Floor 7, 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi City*

The Company’s 2025 Extraordinary General Meeting of Shareholders was held with the following agenda:

**I. Procedures at the Congress:**

**1. Introduction of the Shareholder Eligibility Verification Committee:**

- Mr. Luong Thanh Trung - Director of Legal and Compliance Monitoring Division: Head of Committee
- Ms. Trinh Ngan Ha - Specialist in Corporate Finance Consulting Department: Member
- Mr. Ha The Cong - Specialist in the Board of Directors' Office: Member

**2. Report on the Verification of Shareholder Eligibility:**

Mr. Ha The Cong – Member of the Shareholder Eligibility Verification Committee – read the Report on the Verification of Shareholder Eligibility to Attend the Extraordinary General Meeting of Shareholders in 2025 at 1:45 PM on December 11, 2025, as follows:

- Total number of shareholders entitled to attend the General Meeting (according to the shareholder list as of November 12, 2025): **2,459** shareholders, representing **164,800,618** voting shares of the Company.
- At the opening of the General Meeting, there were **15** attendees, representing **28** shareholders, owning and representing **140,664,038** shares/voting rights, accounting for **85.35%** of the Company's total voting shares/voting rights.



*The detailed list is recorded in the Appendix of the list of registered shareholders and shareholder representatives attending.*

Based on the provisions of the law and the Company's Articles of Association, the Company's Extraordinary General Meeting of Shareholders in 2025 is eligible to proceed.

## **II. Presidium, Vote Counting Committee, and Secretariat of the Congress**

### **1. Presidium of the Congress:**

- Mr. Nguyen Hai Chau – Chairman of the Board of Directors: Presiding Officer of the General Meeting
- Ms. Do Thi Hong Hai – Independent Member of the Board of Directors and Chairperson of the Audit Committee: Member
- Mr. Nguyen Thanh Hai – Member of the Board of Directors and General Director: Member

### **2. Congress Secretariat:**

The Chairman of the General Meeting appointed the following members to the Secretariat:

- Ms. Nguyen Thi Mi Ngoc – Chief of the Board of Directors' Office: Head of the Secretariat
- Ms. Trieu Thi Trang – Deputy Head of the Risk Management Department: Member

### **3. Vote Counting Committee:**

- Ms. Le Thi Duyen – Deputy Head of Legal Department: Head of the Committee
- Mr. Nguyen Mau Hoang – Head of Business Department for Investment Banking Services: Member
- Mr. Tran Vu Duc Anh – Specialist in Business Department for Investment Banking Services: Member

The General Meeting of Shareholders voted to approve the composition of the Vote Counting Committee as follows:

a. Voting method: Electronic voting.

b. Total number of votes cast for the issue: **140,451,438** votes. Of which:

- Total number of valid votes: **140,451,438** votes, representing **99.85%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders who attended and voted at the meeting.

- Total number of invalid votes: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders who attended and voted at the meeting.

c. Voting Results

- Total votes in favor: **140,451,438** votes, representing **99.85%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders attending and voting at the meeting.
- Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
- Total abstentions: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.

*The resolution was approved with 100% of the total votes cast by shareholders attending and voting in favor.*

- 4. Disseminating the Rules of Procedure for the 2025 Extraordinary General Meeting of Shareholders.**
- 5. Approval of the Extraordinary General Meeting Agenda for 2025:**

Mr. Nguyen Thanh Hai – Member of the Presidium – presented the agenda for the 2025 Extraordinary General Meeting of Shareholders. Specifically, it includes:

- Handling of collateral assets for accounts receivable that have been extended multiple times;
- Changing the Company's registered address;
- Continuing to implement matters related to the purchase, sale, or investment of assets and approving transactions within the authority of the General Meeting of Shareholders as stated in Proposal No. 10/2025/TT-HDQT dated April 1, 2025, which was approved by the 2025 Annual General Meeting of Shareholders
- Dismissing members of the Board of Directors;
- Amending the Company's Charter;
- Amending the Company's Internal Governance Regulations;
- Amending the Regulations on the Organization and Operation of the Company's Board of Directors;
- Other matters within the authority of the General Meeting of Shareholders (if any).



The General Meeting of Shareholders proceeded to vote on the agenda as follows:

a. Voting method: Electronic voting.

b. Total number of votes for the issue being voted on: **140,451,438** votes. Of which:

- Total number of valid votes: **140,451,438** votes, representing **99.85%** of the total votes of shareholders present at the meeting, and **100%** of the total votes of shareholders attending and voting at the meeting.
- Total number of invalid votes: **0** votes, representing **0%** of the total votes of shareholders present at the meeting, and **0%** of the total votes of shareholders attending and voting at the meeting.

c. Voting Results

- Total votes in favor: **140,451,438** votes, representing **99.85%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders attending and voting at the meeting.
- Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
- Total abstentions: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.

*The resolution was approved with 100% of the total votes cast by shareholders attending and voting in favor.*

### **III. Agenda to be presented at the 2025 Extraordinary General Meeting of Shareholders**

1. Handling of collateral for receivables that have been extended multiple times (details stated in Submission No. 11/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
2. Change of the Company's head office address (details stated in Submission No. 12/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
3. Continued implementation of matters related to the purchase, sale, or investment of assets and approval of transactions within the authority of the GMS as set forth in Proposal No. 10/2025/TT-HĐQT dated April 1, 2025, which was approved at the 2025 Annual General Meeting of Shareholders (details stated in Submission No. 13/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
4. Dismissal of members of the Board of Directors (details stated in Submission No. 14/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);



5. Amendment of the Company Charter (details stated in Submission No. 15/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
6. Amendment of the Company's Internal Governance Regulations (details stated in Submission No. 16/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
7. Amendment of the Organization and operation regulations of the Board of Directors (details stated in Submission No. 17/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors).

#### **IV. Discussion Session – Shareholders’ Comments and Contributions**

Shareholders who attended the meeting did not ask questions or submit comments to the General Meeting.

#### **V. Voting on the contents presented at the Congress**

The voting results for each item on the agenda of the Congress are as follows:

##### **1. Handling of collateral for receivables that have been extended multiple times**

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the issue: **140,526,438** votes. Of which:
  - Total number of valid votes: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders who attended and voted at the meeting.
  - Total number of invalid votes: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders who attended and voted at the meeting.
- c. Voting Results
  - Total votes in favor: **139,736,538** votes, representing **99.34%** of the total votes cast by shareholders present at the meeting, and **99.44%** of the total votes cast by shareholders attending and voting at the meeting.
  - Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
  - Total abstentions: **789,900** votes, representing **0.56%** of the total votes cast by shareholders present at the meeting, and **0.56%** of the total votes cast by shareholders attending and voting at the meeting.

*The resolution was approved with 99.44% of the total votes cast by shareholders*



*attending and voting in favor.*

**2. Approving the change of the Company's head office address**

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the issue: **140,526,438** votes. Of which:
  - Total number of valid votes: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders who attended and voted at the meeting.
  - Total number of invalid votes: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders who attended and voted at the meeting.
- c. Voting Results
  - Total votes in favor: **139,736,538** votes, representing **99.34%** of the total votes cast by shareholders present at the meeting, and **99.44%** of the total votes cast by shareholders attending and voting at the meeting.
  - Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
  - Total abstentions: **789,900** votes, representing **0.56%** of the total votes cast by shareholders present at the meeting, and **0.56%** of the total votes cast by shareholders attending and voting at the meeting.

*The resolution was approved with 99.44% of the total votes cast by shareholders attending and voting in favor.*

**3. Approving the continued implementation of matters related to the purchase, sale, or investment of assets and approval of transactions within the authority of the GMS as set forth in Proposal No. 10/2025/TT-HĐQT dated April 1, 2025, which was approved at the 2025 Annual General Meeting of Shareholders**

- a. Voting method: Electronic voting.
- b. Total number of votes cast for the issue: **140,526,438** votes. Of which:
  - Total number of valid votes: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders who attended and voted at the meeting.
  - Total number of invalid votes: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders who attended and voted at the meeting.



c. Voting Results

- Total votes in favor: **139,736,538** votes, representing **99.34%** of the total votes cast by shareholders present at the meeting, and **99.44%** of the total votes cast by shareholders attending and voting at the meeting.
- Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
- Total abstentions: **789,900** votes, representing **0.56%** of the total votes cast by shareholders present at the meeting, and **0.56%** of the total votes cast by shareholders attending and voting at the meeting.

*The resolution was approved with 99.44% of the total votes cast by shareholders attending and voting in favor.*

4. Approving the dismissal of members of the Board of Directors for Mr. Nguyen Dinh Tuan

a. Voting method: Electronic voting.

b. Total number of votes cast for the issue: **140,526,438** votes. Of which:

- Total number of valid votes: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders who attended and voted at the meeting.
- Total number of invalid votes: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders who attended and voted at the meeting.

c. Voting Results

- Total votes in favor: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders attending and voting at the meeting.
- Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
- Total abstentions: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.

*The resolution was approved with 100% of the total votes cast by shareholders attending and voting in favor.*



- 5. Approving the dismissal of members of the Board of Directors for Mr. Ngo Thanh Tung**
- a. Voting method: Electronic voting.
  - b. Total number of votes cast for the issue: **140,526,438** votes. Of which:
    - Total number of valid votes: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders who attended and voted at the meeting.
    - Total number of invalid votes: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders who attended and voted at the meeting.
  - c. Voting Results
    - Total votes in favor: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders attending and voting at the meeting.
    - Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
    - Total abstentions: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
- 6. Approving the amendment of the Company Charter**
- a. Voting method: Electronic voting.
  - b. Total number of votes cast for the issue: **140,526,438** votes. Of which:
    - Total number of valid votes: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders who attended and voted at the meeting.
    - Total number of invalid votes: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders who attended and voted at the meeting.
  - c. Voting Results
    - Total votes in favor: **139,736,538** votes, representing **99.34%** of the total votes cast by shareholders present at the meeting, and **99.44%** of the total votes cast by shareholders attending and voting at the meeting.
    - Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting

at the meeting.

- Total abstentions: **789,900** votes, representing **0.56%** of the total votes cast by shareholders present at the meeting, and **0.56%** of the total votes cast by shareholders attending and voting at the meeting.

*The resolution was approved with 99.44% of the total votes cast by shareholders attending and voting in favor.*

**7. Approving the amendment of the Company's Internal Governance Regulations**

a. Voting method: Electronic voting.

b. Total number of votes cast for the issue: **140,526,438** votes. Of which:

- Total number of valid votes: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders who attended and voted at the meeting.
- Total number of invalid votes: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders who attended and voted at the meeting.

c. Voting Results

- Total votes in favor: **139,736,538** votes, representing **99.34%** of the total votes cast by shareholders present at the meeting, and **99.44%** of the total votes cast by shareholders attending and voting at the meeting.
- Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
- Total abstentions: **789,900** votes, representing **0.56%** of the total votes cast by shareholders present at the meeting, and **0.56%** of the total votes cast by shareholders attending and voting at the meeting.

*The resolution was approved with 99.44% of the total votes cast by shareholders attending and voting in favor.*

**8. Approving the amendment of the Organization and operation regulations of the Board of Directors**

a. Voting method: Electronic voting.

b. Total number of votes cast for the issue: **140,526,438** votes. Of which:

- Total number of valid votes: **140,526,438** votes, representing **99.90%** of the total votes cast by shareholders present at the meeting, and **100%** of the total votes cast by shareholders who attended and voted at the meeting.



- Total number of invalid votes: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders who attended and voted at the meeting.
- c. Voting Results
  - Total votes in favor: **139,736,538** votes, representing **99.34%** of the total votes cast by shareholders present at the meeting, and **99.44%** of the total votes cast by shareholders attending and voting at the meeting.
  - Total votes against: **0** votes, representing **0%** of the total votes cast by shareholders present at the meeting, and **0%** of the total votes cast by shareholders attending and voting at the meeting.
  - Total abstentions: **789,900** votes, representing **0.56%** of the total votes cast by shareholders present at the meeting, and **0.56%** of the total votes cast by shareholders attending and voting at the meeting.

*The resolution was approved with 99.44% of the total votes cast by shareholders attending and voting in favor.*

## **VI. Approval of the Minutes and Resolutions of the Extraordinary General Meeting of Shareholders in 2025**

### **1. Approval of the Minutes of the Extraordinary General Meeting of Shareholders in 2025**

- a. Voting method: Electronic voting.
- b. Total number of votes cast on the issue: **139,661,538** votes. Of which:
  - Total number of valid votes: **139,661,538** votes , account catfish tears **99.29%** total number ticket chart decision belong to neck winter attend meeting , occupy catfish tears **100%** total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .
  - Total number ticket chart decision Are not fit tears is : **0** votes , account catfish tears **0%** total number ticket chart decision belong to neck winter attend meeting , occupy catfish tears **0%** total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .
- c. Voting results
  - Total votes in favor: **139,661,538** votes , account catfish rate **99.29 %** total number ticket chart decision belong to neck winter attend meeting , occupy catfish rate **100 %** total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .
  - Total number ticket chart decision Are not canopy result : **0** votes , account catfish **0 %** of the total number ticket chart decision belong to neck winter attend meeting , occupy



catfish rate 0 % total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .

- Total number ticket chart decision Are not Comments received : 0 votes , account catfish rate 0 % total number ticket chart decision belong to neck winter attend meeting , occupy catfish rate 0 % total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .

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## 2. Approval of the Resolution of the Extraordinary General Meeting of Shareholders in 2025

- a. Voting method: Electronic voting.
- b. Total number of votes cast on the issue: **139,661,538** votes. Of which:
  - Total number of valid votes: **139,661,538** votes , account catfish tears 99.29% total number ticket chart decision belong to neck winter attend meeting , occupy catfish tears 100% total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .
  - Total number ticket chart decision Are not fit tears is : 0 votes , account catfish tears 0% total number ticket chart decision belong to neck winter attend meeting , occupy catfish tears 0% total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .
- c. Voting results
  - Total votes in favor: **139,661,538** votes , account catfish rate 99.29 % total number ticket chart decision belong to neck winter attend meeting , occupy catfish rate 100 % total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .
  - Total number ticket chart decision Are not canopy result : 0 votes , account catfish 0 % of the total number ticket chart decision belong to neck winter attend meeting , occupy catfish rate 0 % total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .
  - Total number ticket chart decision Are not Comments received : 0 votes , account catfish rate 0 % total number ticket chart decision belong to neck winter attend meeting , occupy catfish rate 0 % total number ticket chart decision belong to neck winter virus attend and chart decision in the meeting .

*Content is through with catfish tears 100 % total number ticket chart decision belong to neck winter virus attend and chart decision canopy wall .*



## VII. Closing of the Congress

These minutes were recorded fully and accurately by the Meeting Secretariat and approved by the General Meeting of Shareholders before the end of the meeting.

The Company's 2025 Extraordinary General Meeting of Shareholders concluded at 3:00 PM on the same day.

**ON BEHALF OF  
THE SECRETARIAT**



**Nguyen Thi Mi Ngoc**

**ON BEHALF OF THE PRESIDUM  
PRESIDE**



The stamp is a red circular seal with the text 'CÔNG TY CỔ PHẦN CHỨNG KHOÁN EVS' in the center, 'P. HAI BA TRUNG' on the left, and 'T.P. HÀ NỘI' on the right. The number '25' is at the top. A blue handwritten signature is written over the stamp.

**Nguyen Hai Chau**





No.: 02/2025/NQ-ĐHĐCĐ

Hanoi, December 11, 2025

## **RESOLUTION**

### **2025 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS**

- *Based on the Enterprise Law No. 59/2020/QH14 promulgated on June 17, 2020, and its amendments, supplements, and guiding documents;*
- *Based on the Securities Law No. 54/2019/QH14 promulgated on November 26, 2019, and its amendments, supplements, and guiding documents;*
- *Based on the Charter of EVS Securities Joint Stock Company (hereinafter referred to as “the Company”),*
- *Pursuant to the Minutes of the Meeting of the Board of Directors No. 02/2025/BBH-ĐHĐCĐ dated December 11, 2025 of the Company.*

### **DECISION:**

**Article 1.** The 2025 Extraordinary General Meeting of Shareholders (“GMS”) of Company approved the following matters:

1. Approving the handling of collateral for receivables that have been extended multiple times (details stated in Submission No. 11/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
2. Approving the change of the Company's head office address (details stated in Submission No. 12/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
3. Approving the continued implementation of matters related to the purchase, sale, or investment of assets and approval of transactions within the authority of the GMS as set forth in Proposal No. 10/2025/TT-HĐQT dated April 1, 2025, which was approved at the 2025 Annual General Meeting of Shareholders (details stated in Submission No. 13/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
4. Approving the dismissal of members of the Board of Directors according to the resignation letter for: Mr. Nguyen Dinh Tuan and Mr. Ngo Thanh Tung;
5. Approving the amendment of the Company Charter (details stated in Submission No. 15/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
6. Approving the amendment of the Company's Internal Governance Regulations (details stated in Submission No. 16/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors);
7. Approving the amendment of the Organization and operation regulations of the Board of Directors (details stated in Submission No. 17/2025/TT-HĐQT dated November 19, 2025 of the Board of Directors).



**Article 2.** The General Meeting of Shareholders assigns the Board of Directors of the Company to direct the implementation of the foregoing matters in accordance with the provisions of law and the Company's Charter.

**Article 3.** This Resolution takes effect from December 11, 2025. Members of the Board of Directors, Audit Committee, General Director and other relevant units and individuals are responsible for implementing this Resolution./.

**Recipients**

- Shareholders;
- BOD Member;
- Executive Board (Implementation);
- Securities Commission, Stock Exchange (Information Disclosure);
- Archive: BOD Office.

**Pp. BOARD OF DIRECTOR**

**PRESIDENT**



**Nguyen Hai Chau**





No: *M*../2025/TT-HĐQT

Hanoi, dated 19 month 11 year 2025

**PROPOSAL**

*Re: Approval of the Disposal of Collateral Assets Securing  
Multiple-Extended Accounts Receivable*

**To: General Meeting of Shareholders**

- Based on the Enterprise Law No. 59/2020/QH14 promulgated on June 17, 2020, and its amendments, supplements, and guiding documents;
- Based on the Securities Law No. 54/2019/QH14 promulgated on November 26, 2019, and its amendments, supplements, and guiding documents;
- Based on the Charter of EVS Securities Joint Stock Company (“the Company”),
- Pursuant to the Minutes of the Meeting of the Board of Directors No. 28a/2025/BBH-HĐQT date on October 14, 2025 of the Company.

In order to supplement the Company’s working capital, ensure financial safety, and comply with applicable laws and the Company’s Charter, the Board of Directors (“BOD”) respectfully submits to the General Meeting of Shareholders (“GMS”) for consideration and approval the proposal on the disposal of collateral assets securing accounts receivable that have been extended multiple times, together with related matters, specifically including the following:

1. Approval of the recovery and disposal of collateral assets securing accounts receivable that have been extended multiple times, as follows:

1.1. Accounts Receivable and Secured Assets to Be Recovered

- The accounts receivable from Vietmedia Entertainment Services Trading Joint Stock Company, totaling VND 328.55 billion (including VND 306.68 billion in principal and VND 21.87 billion in interest accrued as of 30 September 2025, with the final interest amount to be settled at the time of the receivable), secured by 35.3 million NVB shares (National Citizen Commercial Joint Stock Bank).

- The accounts receivable from Toan Xuan Thinh Trading Investment Joint Stock Company, totaling VND 189.16 billion (including VND 169.55 billion in principal and VND 19.61 billion in interest accrued as of 30 September 2025, with the final interest amount to be settled at the time of the receivable ), secured by 19.49 million NVB shares (National Citizen Commercial Joint Stock Bank).

1.2. Method of Implementation

- To recover and dispose of the secured assets, being NVB shares, from the securities trading accounts of the security providers by way of order-matching/negotiated transactions, or by off-exchange transfer of ownership to EVS’s proprietary trading account in accordance with applicable laws.





- Recovery price for NVB shares: the price shall be determined through negotiation based on market price and in compliance with applicable laws.

1.3. With respect to the accounts receivable from Tien Thanh Consulting Services Co., Ltd.:

Tien Thanh shall be required to provide additional collateral. EVS shall be entitled to dispose of/recover the collateral assets and/or other assets, or take other actions relating to the collateral as agreed by the parties, for the purpose of offsetting Tien Thanh's payment obligations, thereby ensuring the full recovery of the outstanding receivable.

2. Approval for the continued extension of the accounts receivable in cases where the disposal of collateral cannot yet be carried out, or where the collateral has been disposed of but the full contractual value has not been recovered.

3. The GMS hereby assigns and authorizes the Chairperson of the Board of Directors to:

3.1. Carry out the recovery and disposal of the collateral assets in compliance with applicable laws and in a manner that safeguards the Company's interests.

3.2. Complete all dossiers, procedures, notifications, reports, and licensing applications with competent authorities and organizations as required by law.

3.3. Determine the timing and selling price of the recovered assets specified in Section 1 for the purpose of supplementing the Company's working capital and ensuring compliance with financial safety ratios, and subsequently report to the GMS at the nearest meeting.

3.4. Delegate and authorize the Chief Executive Officer, where necessary, to perform tasks in accordance with applicable laws and the Company's Charter.

The Board of Directors respectfully submits this to the General Meeting of Shareholders for consideration, feedback, and approval.

Respectfully submitted./.

**Recipients:**

- As above;
- Archive: Board of Directors Office.

ON BEHALF OF THE BOARD OF DIRECTORS



Nguyen Hai Chau



No: 12./2025/TT-HĐQT

Hanoi, dated 19 month 11 year 2025

**PROPOSAL**

*Re: Amendment to the Company's Head Office Address*

**To: General Meeting of Shareholders**

- Based on the Enterprise Law No. 59/2020/QH14 promulgated on June 17, 2020, and its amendments, supplements, and guiding documents;
  - Based on the Securities Law No. 54/2019/QH14 promulgated on November 26, 2019, and its amendments, supplements, and guiding documents;
  - Based on the Charter of EVS Securities Joint Stock Company ("the **Company**"),
- Based on the Company's actual needs regarding the leasing of office premises, the Board of Directors ("the **BOD**") respectfully submits to the General Meeting of Shareholders ("GMS") for consideration the following matters:

1. Approval of the policy on changing the Company's head office address:
  - Current head office address: Floors 3, 6, 9 – No. 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi City.
  - New head office address after relocation: The Board of Directors shall be authorized to search for and select a new location and report to the nearest General Meeting of Shareholders for approval.
  - Implementation timeline: Expected from Q4/2025 to Q2/2026.
2. The General Meeting of Shareholders authorizes the Board of Directors to decide on the necessary tasks related to reviewing and selecting a suitable location and plan for changing the Company's head office address, including but not limited to the following:
  - Decide on the criteria for searching for an appropriate head office location;
  - Decide on the expected timeline for relocating the Company's head office;
  - Negotiate rental/purchase price, area, lease term, and other matters related to the office lease/purchase agreement;
  - Report to the nearest General Meeting of Shareholders for approval after determining the specific location for the Company's head office;
  - Perform other related tasks as required by applicable regulations.
3. The BOD is permitted to assign/delegate these authorized tasks to the General Director in accordance with the provisions under Section 2 of this Submission Report.

Respectfully submitted to the General Meeting of Shareholders for review, feedback, and approval.

Sincerely./.

**Recipients:**

- As above;
- Archive: Board of Directors Office.

**ON BEHALF OF THE BOARD OF DIRECTORS**

**CHAIRMAN**



**Nguyen Hai Chau**



No: 13./2025/TT-HĐQT

Hanoi, dated 19 month 11 year 2025

**PROPOSAL**

*Re: Continuation of the implementation of matters relating to the purchase, sale, or investment of assets and the approval of transactions under the authority of the General Meeting of Shareholders as specified in Proposal No. 10/2025/TT-HĐQT dated 1 April 2025, which was approved by the 2025 Annual General Meeting of Shareholders.*

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**To: General Meeting of Shareholders**

- *Based on the Enterprise Law No. 59/2020/QH14 promulgated on June 17, 2020, and its amendments, supplements, and guiding documents;*
- *Based on the Securities Law No. 54/2019/QH14 promulgated on November 26, 2019, and its amendments, supplements, and guiding documents;*
- *Based on the Charter of EVS Securities Joint Stock Company (“the Company”).*

With the aim of supplementing capital for business operations, ensuring financial safety, and complying with applicable laws and the Company’s Charter, the Board of Directors (“BOD”) respectfully submits to the General Meeting of Shareholders (“GMS”) for consideration and approval the following matter: the continuation of the implementation of matters relating to the purchase, sale, or investment of assets and the approval of transactions falling under the authority of the GMS as specified in Proposal No. 10/2025/TT-HĐQT dated 1 April 2025, which was approved by the Company’s 2025 Annual General Meeting of Shareholders pursuant to GMS Resolution No. 01/2025/NQ-ĐHĐCĐ dated 22 April 2025.

Respectfully submitted./.

**Recipients:**

- *As above;*
- *Archive: Board of Directors Office.*

**ON BEHALF OF THE BOARD OF DIRECTORS  
CHAIRMAN**



The stamp is a red circular seal. The outer ring contains the text 'P. 25 - C.T. CÔNG TY CỔ PHẦN CHỨNG KHOÁN EVS' and 'P. HAI BÀ TRUNG - T.P. HÀ NỘI'. A blue ink signature is written across the center of the stamp.

**Nguyen Hai Chau**



No.: 10./2025/TT-HDQT

Ha Noi, dated 01.. month 04 year 2025

## PROPOSAL

*Re: Approval of the policy of purchasing, selling or investing in assets and approval of transactions under the authority of the General Meeting of Shareholders*

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**Dear: General Meeting of Shareholders**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 issued on June 17, 2020 and Law No. 03/2022/QH15 dated January 11, 2022, which amends and supplements a number of articles of the Law on Enterprises No. 59/2020/QH14;
- Pursuant to the Law on Securities No. 54/2019/QH14 issued on November 26, 2019 and Law No. 56/2024/QH15 dated November 29, 2024, which amends and supplements a number of articles of the Law on Securities 54/2019/QH14;
- Pursuant to the Charter of Everest Securities Joint Stock Company ("the Company");
- Pursuant to the Minutes of the Board of Directors' Meeting No. 064/2025/BBH-HDQT dated 21./02./2025 of the Company.

In order to supplement capital for business operations, ensure financial security and comply with the provisions of law and the Company's Charter in deciding to buy, sell or invest in assets and approve transactions under the authority of the General Meeting of Shareholders ("GMS"), the Company's Board of Directors ("BOD") respectfully presents to the GMS for consideration the following contents:

1. Approving policies on purchase, sale, investment, transfer, investment cooperation, capital contribution and asset transactions under the authority of the General Meeting of Shareholders with a maximum value of up to 80% of the total asset value recorded in the Company's most recent financial report.
2. Approving transactions specified in Section 1 of this Proposal between the Company and related parties and internal persons of the Company under the approval authority of the General Meeting of Shareholders (if any).
3. The General Meeting of Shareholders assigns and authorizes the Board of Directors to search, negotiate, agree, develop, implement plans, draft contracts/forms and necessary documents for the transactions specified in Sections 1 and 2 of this Proposal and selects appropriate time and method to implement to seek the opinion of the General Meeting of Shareholders (in the form of obtaining shareholders' opinions in writing or organizing a meeting) for approval before implementation.

Respectfully submit to the Company's General Meeting of Shareholders for consideration, comments and approval.

Sincerely./.

**Recipient:**

- As above;
- Archive: BOD Office.

**PP. BOARD OF DIRECTOR**

**PRESIDENT**



**Nguyen Hai Chau**



No: 14./2025/TT-HĐQT

Hanoi, dated 19 month 11 year 2025

**PROPOSAL**

*Re: Dismissal of Members of the Board of Directors  
EVS Securities Joint Stock Company*

**To: General Meeting of Shareholders**

- *Based on the Enterprise Law No. 59/2020/QH14 promulgated on June 17, 2020, and its amendments, supplements, and guiding documents;*
- *Based on the Securities Law No. 54/2019/QH14 promulgated on November 26, 2019, and its amendments, supplements, and guiding documents;*
- *Based on the Charter of EVS Securities Joint Stock Company (“the Company”),*
- *Pursuant to the Internal Corporate Governance Regulations of EVS Securities Joint Stock Company;*
- *Pursuant to the resignation letters submitted by a member of the Board of Directors.*

The Board of Directors (“**BOD**”) of EVS Securities Joint Stock Company respectfully presents to the General Meeting of Shareholders (“**GMS**”) for consideration and approval of the dismissal of 02 members of the Board of Directors who have submitted their resignation letters, including:

- Mr. Nguyen Dinh Tuan – Member of the Board of Directors;
- Mr. Ngo Thanh Tung – Member of the Board of Directors.

This dismissal takes effect from the time it is approved by the Company’s Extraordinary General Meeting of Shareholders in 2025.

The BOD respectfully submits this to the General Meeting of Shareholders for consideration, comments, and approval.

Sincerely./.

**Recipients:**

- *As above;*
- *Archive: Board of Directors Office.*

**ON BEHALF OF THE BOARD OF DIRECTORS**



**Nguyen Hai Chau**



No: 15./2025/TT-HĐQT

Hanoi, dated 19 month 11 year 2025

**PROPOSAL**

*Re: Amendment to the Company's Charter*

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**To: General Meeting of Shareholders**

- Based on the Enterprise Law No. 59/2020/QH14 promulgated on June 17, 2020, and its amendments, supplements, and guiding documents;
- Based on the Securities Law No. 54/2019/QH14 promulgated on November 26, 2019, and its amendments, supplements, and guiding documents;
- Based on the Charter of EVS Securities Joint Stock Company ("**the Company**"),
- Pursuant to the Company's operational circumstances,

The Board of Directors ("**BOD**") of EVS Securities Joint Stock Company respectfully submits to the General Meeting of Shareholders ("**GMS**") for approval the amendments to certain provisions of the Company's Charter as follows:

1. Proposed amendments:

No.	Article	Charter of the Company promulgated on 27 June 2025	The amended Charter provisions
1.	The Preamble of the Charter	This Charter was approved by the Annual General Meeting of Shareholders of EVS Securities Joint Stock Company pursuant to Resolution No. 01/2021/NQ-ĐHĐCĐ dated 02 March 2021, and was amended for the fourth time on 27 June 2025.	This Charter was approved by the Annual General Meeting of Shareholders of EVS Securities Joint Stock Company pursuant to Resolution No. 01/2021/NQ-ĐHĐCĐ dated 02 March 2021, and was amended for the fifth time on 11 December 2025.
2.	Point a, Clause 3, Article 2	a) Head Office Address: Floors 3, 6 and 9, No. 2A Dai Co Viet Street, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi, Vietnam	a) Head Office Address: Floors 3, 6 and 9, No. 2A Dai Co Viet Street, Hai Ba Trung Ward, Hanoi, Vietnam
3.	Clause 2, Article 3	2. Rights and Obligations of the Legal Representative:  - The General Director shall	2. Rights and Obligations of the Legal Representative:  a) The General Director shall have



	<p>have the rights and obligations prescribed in Article 36 of this Charter. The General Director represents the Company in exercising the rights and performing the obligations arising from the Company's transactions, and represents the Company as a claimant, plaintiff, defendant, or party with relevant rights and obligations before arbitration, courts, and in exercising other rights and obligations as provided by law. The General Director is responsible for providing documents and coordinating with the State Securities Commission.</p> <p>- The Chairman of the Board of Directors shall have the rights and obligations prescribed in Article 28 of this Charter. The Chairman of the Board represents the Company in exercising the rights and performing the obligations arising from the Company's transactions, and represents the Company as a claimant, plaintiff, defendant, or party with relevant rights and obligations before arbitration, courts, and in exercising other rights and obligations as</p>	<p>the rights and obligations prescribed in Article 35 of this Charter. The General Director represents the Company in exercising the rights and performing the obligations arising from the Company's transactions, and represents the Company as a claimant, plaintiff, defendant, or party with relevant rights and obligations before arbitration, courts, and in exercising other rights and obligations as provided by law. The General Director is responsible for providing documents and coordinating with the State Securities Commission.</p> <p>b) The Chairman of the Board of Directors shall have the rights and obligations prescribed in Article 28 of this Charter. The Chairman of the Board represents the Company in exercising the rights and performing the obligations arising from the Company's transactions, and represents the Company as a claimant, plaintiff, defendant, or party with relevant rights and obligations before arbitration, courts, and in exercising other rights and obligations as provided by law.</p>
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		provided by law.	
4.	Point a, Clause 3, Article 11	<p>a) Common shareholders may form a group to nominate candidates for the Board of Directors. Specifically:</p> <p>(i) Shareholders or a group of shareholders holding from 10% to 20% of the total voting shares may nominate up to two (2) candidates;</p> <p>(ii) Shareholders or a group of shareholders holding over 20% up to 40% of the total voting shares may nominate up to three (3) candidates;</p> <p>(iii) Shareholders or a group of shareholders holding over 40% up to 60% of the total voting shares may nominate up to five (5) candidates;</p> <p>(iv) Shareholders or a group of shareholders holding over 60% of the total voting shares may nominate the full number of candidates planned for election as members of the Board of Directors.</p>	<p>a) Common shareholders may form a group to nominate candidates for the Board of Directors. Specifically:</p> <p>(i) Shareholders or a group of shareholders holding from 10% to 20% of the total voting shares may nominate up to one (1) candidate;</p> <p>(ii) Shareholders or a group of shareholders holding over 20% up to 40% of the total voting shares may nominate up to two (2) candidates;</p> <p>(iii) Shareholders or a group of shareholders holding over 40% up to 60% of the total voting shares may nominate up to three (3) candidates;</p> <p>(iv) Shareholders or a group of shareholders holding over 60% of the total voting shares may nominate up to five (5) candidates.</p>
5.	Point c, Clause 4, Article 24	c) A member of the Company's Board of Directors may simultaneously serve on the Board of Directors of other companies, provided that a	c) A member of the Company's Board of Directors may concurrently serve as a member of the Board of Directors or the Members' Council of no more

		member may serve on the boards of no more than five (5) other companies and is not subject to the prohibitions specified in point d of this clause.	than five (5) other companies and is not subject to the prohibitions specified in point d of this clause.
6.	Clause 1, Article 25	1. The number of members of the Company's Board of Directors is seven (7).	1. The number of members of the Company's Board of Directors is five (5).
7.	Clause 3, Article 25	<p>3. Composition of the Board of Directors:</p> <p>The composition of the Company's Board of Directors must ensure the minimum number of non-executive members, independent members, and other requirements in accordance with the law at any given time. The Board of Directors' composition must meet the following requirements:</p> <p>a) At least two (2) independent members;</p> <p>b) At least one-third (1/3) of the total number of Board members must be non-executive members.</p>	<p>3. Composition of the Board of Directors:</p> <p>The composition of the Company's Board of Directors must ensure the minimum number of non-executive members, independent members, and other requirements in accordance with the law at any given time. The Board of Directors' composition must meet the following requirements:</p> <p>a) At least one (1) independent member;</p> <p>b) At least one (1) of the total number of Board members must be non-executive members.</p>
8.	Addition to Clause 2, Article 26	x) Other rights and obligations as provided under the Enterprise Law, the Securities Law, other applicable laws, and the Company's Charter	x) Organize training and workshops on corporate governance and other necessary skills for members of the Board of Directors, the General Director, the Corporate Governance Officer, and other managers of the Company.



			<p>y) Distribute dividends to shareholders in accordance with the law after approval by the Annual General Meeting of Shareholders.</p> <p>z) Other rights and obligations as provided under the Enterprise Law, the Securities Law, other applicable laws, and the Company's Charter.</p>
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2. The General Meeting of Shareholders shall assign/authorize the Chief Executive Officer of the Company to revise, supplement, and promulgate the Company's Charter after the General Meeting of Shareholders approves this Proposal.

The Board of Directors respectfully submits this to the General Meeting of Shareholders for consideration, feedback, and approval.

**Recipients:**

- As above;
- Archive: Board of Directors Office.

**ON BEHALF OF THE BOARD OF DIRECTORS**

**CHAIRMAN**



**Nguyen Hai Chau**

10/01

No: 16./2025/TT-HĐQT

Hanoi, dated 19 month 11 year 2025

**PROPOSAL**

*Re: Amendment to the Internal Corporate Governance Regulations*

**To: General Meeting of Shareholders**

- Based on the Enterprise Law No. 59/2020/QH14 promulgated on June 17, 2020, and its amendments, supplements, and guiding documents;
- Based on the Securities Law No. 54/2019/QH14 promulgated on November 26, 2019, and its amendments, supplements, and guiding documents;
- Based on the Charter of EVS Securities Joint Stock Company (“the Company”),
- Pursuant to the Company’s Internal Corporate Governance Regulations;
- Pursuant to the Company’s operational circumstances,

The Board of Directors (“**BOD**”) respectfully submits to the General Meeting of Shareholders for approval the amendments and supplements to certain provisions of the Company’s Internal Corporate Governance Regulations (“**the Regulations**”) as follows:

1. Proposed Amendments:

No.	Article	The Regulations promulgated on 04 July 2025	The amended Regulations provisions
1.	The Preamble of the Regulations	This Regulation has been approved by the General Meeting of Shareholders of EVS Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated 02/03/2021, and was amended for the second time on 04/07/2025	This Regulation has been approved by the General Meeting of Shareholders of EVS Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated 02/03/2021, and was amended for the third time on ....../..../2025
2.	Point a, Clause 5, Article 6	a) The agenda of the General Meeting of Shareholders (“GMS”) and all materials relating to matters to be voted on at the meeting shall be circulated to shareholders and/or published on the Company’s website. If such materials are not enclosed with the GMS notice, the notice must specify the link providing access to the full set of meeting documents, including: (i) The meeting agenda and all documents to be used at the GMS;	a) The person responsible for preparing the agenda and contents of the General Meeting of Shareholders (“GMS”) shall be the convener of the GMS and shall perform such duties in accordance with the Company’s Charter and applicable laws. The agenda of the General Meeting of Shareholders (“GMS”) and all materials relating to matters to be voted on at the meeting shall be circulated to shareholders and/or



		<p>(ii) The list and detailed information of candidates in case of election of members of the Board of Directors (if applicable);</p> <p>(iii) Voting ballots;</p> <p>(iv) Draft resolutions for each matter on the agenda.</p>	<p>published on the Company's website. If such materials are not enclosed with the GMS notice, the notice must specify the link providing access to the full set of meeting documents, including:</p> <p>(i) The meeting agenda and all documents to be used at the GMS;</p> <p>(ii) The list and detailed information of candidates in case of election of members of the Board of Directors (if applicable);</p> <p>(iii) Voting ballots;</p> <p>(iv) Draft resolutions for each matter on the agenda.</p>
3.	Clause 4, Article 11	<p>4. Term and number of members of the Board of Directors:</p> <p>The Board of Directors shall consist of 07 members.</p> <p>The term of office of a member of the Board of Directors shall not exceed 05 years, and members may be re-elected for an unlimited number of terms. An individual may serve as an independent member of the Board of Directors of the Company for no more than two (02) consecutive terms.</p> <p>In the event that all members of the Board of Directors simultaneously end their term of office, such members shall continue to serve as members of the Board of Directors until new members are elected to replace them and assume their duties.</p>	<p>4. Term and number of members of the Board of Directors:</p> <p>The Board of Directors shall consist of 05 members.</p> <p>The term of office of a member of the Board of Directors shall not exceed 05 years, and members may be re-elected for an unlimited number of terms. An individual may serve as an independent member of the Board of Directors of the Company for no more than two (02) consecutive terms.</p> <p>In the event that all members of the Board of Directors simultaneously end their term of office, such members shall continue to serve as members of the Board of Directors until new members are elected to replace them and assume their duties.</p>
4.	Point a, Clause 5, Article 11	<p>a) The composition of the Company's Board of Directors must ensure the minimum number of non-executive members, independent members, and other requirements in accordance with the law at any given time. The Board of Directors' composition</p>	<p>a) The composition of the Company's Board of Directors must ensure the minimum number of non-executive members, independent members, and other requirements in accordance with the law at any given time. The Board of</p>



		<p>must meet the following requirements:</p> <p>a) At least two (2) independent members;</p> <p>b) At least one-third (1/3) of the total number of Board members must be non-executive members.</p>	<p>Directors' composition must meet the following requirements:</p> <p>a) At least one (1) independent member;</p> <p>b) At least one (1) of the total number of Board members must be non-executive members.</p>
5.	Item (iii) Point b, Clause 5, Article 11	(iii) A member of the Company's Board of Directors may simultaneously serve on the Board of Directors of other companies, provided that a member may serve on the boards of no more than five (5) other companies and is not subject to the prohibitions specified in sub-point (iv), point b, clause 5 of this Article.	(iii) A member of the Company's Board of Directors may concurrently serve as a member of the Board of Directors or the Members' Council of no more than five (5) other companies and is not subject to the prohibitions specified in sub-point (iv), point b, clause 5 of this Article.
6.	Clause 2, Article 12	<p>2. The nomination of candidates for the Board of Directors shall be conducted as follows:</p> <p>a) Shareholders or a group of shareholders holding from 10% to 20% of the total voting shares may nominate up to two (2) candidates;</p> <p>b) Shareholders or a group of shareholders holding over 20% up to 40% of the total voting shares may nominate up to three (3) candidates;</p> <p>c) Shareholders or a group of shareholders holding over 40% up to 60% of the total voting shares may nominate up to five (5) candidates;</p> <p>d) Shareholders or a group of shareholders holding over 60% of the total voting shares may nominate the full number of candidates planned for election as members of the Board of Directors. Based on the number of seats on the Board of Directors, the shareholders or the group of shareholders as provided in this Clause shall have the right to</p>	<p>2. The nomination of candidates for the Board of Directors shall be conducted as follows:</p> <p>a) Shareholders or a group of shareholders holding from 10% to 20% of the total voting shares may nominate up to one (1) candidate;</p> <p>b) Shareholders or a group of shareholders holding over 20% up to 40% of the total voting shares may nominate up to two (2) candidates;</p> <p>c) Shareholders or a group of shareholders holding over 40% up to 60% of the total voting shares may nominate up to three (3) candidates;</p> <p>d) Shareholders or a group of shareholders holding over 60% of the total voting shares may nominate up to five (5) candidates. Based on the number of seats on the Board of Directors, the shareholders or the group of shareholders as provided in this Clause shall have the right to</p>



	<p>nominate one or more candidates for election to the Board of Directors. If the number of candidates nominated by such shareholder or group of shareholders is fewer than the number of candidates they are entitled to nominate as determined by the General Meeting of Shareholders, the remaining candidates shall be nominated by the Board of Directors. The Board of Directors' introduction/nomination of additional candidates must be clearly disclosed prior to the General Meeting of Shareholders voting to elect members of the Board of Directors, in accordance with applicable laws.</p>	<p>nominate one or more candidates for election to the Board of Directors. If the number of candidates nominated by such shareholder or group of shareholders is fewer than the number of candidates they are entitled to nominate as determined by the General Meeting of Shareholders, the remaining candidates shall be nominated by the Board of Directors. The Board of Directors' introduction/nomination of additional candidates must be clearly disclosed prior to the General Meeting of Shareholders voting to elect members of the Board of Directors, in accordance with applicable laws.</p>
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2. The General Meeting of Shareholders authorizes the Board of Directors to amend, supplement, and promulgate the Company's Internal Corporate Governance Regulations after they are approved by the General Meeting of Shareholders.

The Board of Directors respectfully submits this to the General Meeting of Shareholders for consideration, feedback, and approval.

Sincerely./.

**Recipients:**

- As above;
- Archive: Board of Directors Office.

**ON BEHALF OF THE BOARD OF DIRECTORS**

**CHAIRMAN**

**CHỦ TỊCH**

**CHỦNG KHOẢN**

**EVS**

**HÀ NỘI**

**P. HAI BÀ TRUNG - T.P. HÀ NỘI**

**CÔNG TY**

**CÔNG P. 25 - C.T.C**

**Nguyen Hai Chau**



No: 17./2025/TT-HĐQT

Hanoi, dated 19 month 11 year 2025

**PROPOSAL**

*Re: Amendment to the Regulation on Organization and Operation of the Board of Directors*

**To: General Meeting of Shareholders**

- Based on the Enterprise Law No. 59/2020/QH14 promulgated on June 17, 2020, and its amendments, supplements, and guiding documents;
- Based on the Securities Law No. 54/2019/QH14 promulgated on November 26, 2019, and its amendments, supplements, and guiding documents;
- Based on the Charter of EVS Securities Joint Stock Company ("the Company"),
- Pursuant to the Company's Regulation on the Organization and Operation of the Board of Directors;
- Pursuant to the Company's operational circumstances;

The Board of Directors ("BOD") respectfully submits to the General Meeting of Shareholders for approval the amendments and supplements to certain provisions of the Company's Regulation on the Organization and Operation of the Board of Directors ("the Regulation") as follows:

1. Proposed Amendments:

No.	Article	The Regulations promulgated on 04 July 2025	The amended Regulations provisions
1.	The Preamble of the Regulations	This Regulation has been approved by the General Meeting of Shareholders of EVS Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated 02/03/2021, and was amended for the second time on 04/07/2025	This Regulation has been approved by the General Meeting of Shareholders of EVS Securities Joint Stock Company under Resolution No. 01/2021/NQ-ĐHĐCĐ dated 02/03/2021, and was amended for the third time on ....../....../2025
2.	Point j, Clause 1, Article 1	j. "Law on Enterprises" means the Law on Enterprises No. 68/2014/QH13 adopted by the National Assembly of the Socialist Republic of Vietnam on 26 November 2014, together with its amendments, supplements, and replacements from time to time;	
3.	Point f, Clause 1, Article 4	f) An independent member of the Board of Directors shall prepare an evaluation report on the performance of the Board of Directors.	f) Each independent member of the Board of Directors shall prepare an evaluation report on the performance of the Board of Directors.



4.	Clause 1, Clause 2, Article 6	<p>1. The Board of Directors shall consist of 07 (seven) members.</p> <p>2. The composition of the Board of Directors must ensure a balanced mix of members with knowledge and experience in law, finance, and the Company's business sectors. The Board's composition must comply with the following requirements:</p> <p>a) At least 02 independent members;</p> <p>b) At least one-third (1/3) of the total number of members of the Board of Directors must be non-executive members.</p>	<p>1. The Board of Directors shall consist of 05 (five) members.</p> <p>2. The composition of the Board of Directors must ensure a balanced mix of members with knowledge and experience in law, finance, and the Company's business sectors. The Board's composition must comply with the following requirements:</p> <p>a) At least 01 independent member;</p> <p>b) At least 01 of the total number of members of the Board of Directors must be non-executive members.</p>
5.	Point c, Clause 1, Article 7	c) A member of the Company's Board of Directors may concurrently serve on the board of another company, provided that such member may hold directorships in no more than 05 other companies and is not subject to any prohibition as stipulated in Point d, Clause 1 of this Article.	c) A member of the Company's Board of Directors may concurrently serve as a member of the Board of Directors or Members' Council of no more than 05 other companies, and must not fall within any prohibited cases as prescribed in Point d, Clause 1 of this Article..
6.	Point b, Clause 1, Article 10	<p>b) Common shareholders may form groups to nominate candidates to the Board of Directors. Specifically:</p> <ul style="list-style-type: none"> <li>- A shareholder or group of shareholders holding from 10% to 20% of the total voting shares may nominate up to two (02) candidates;</li> <li>- A shareholder or group of shareholders holding over 20% to 40% of the total voting shares may nominate up to three (03) candidates;</li> <li>- A shareholder or group of shareholders holding over 40% to 60% of the total voting shares may nominate up to five (05) candidates;</li> </ul>	<p>b) Common shareholders may form groups to nominate candidates to the Board of Directors. Specifically:</p> <ul style="list-style-type: none"> <li>(i) A shareholder or group of shareholders holding from 10% to 20% of the total voting shares may nominate up to one (01) candidate;</li> <li>(ii) A shareholder or group of shareholders holding over 20% to 40% of the total voting shares may nominate up to two (02) candidates;</li> <li>(iii) A shareholder or group of shareholders holding over 40% to 60% of the total voting shares may nominate up to three (03) candidates;</li> </ul>





		- A shareholder or group of shareholders holding over 60% of the total voting shares may nominate a full slate of candidates corresponding to the number of Board seats to be elected.	(iv) A shareholder or group of shareholders holding over 60% of the total voting shares may nominate up to five (05) candidates.
7.	Article 26		<p>Article 26. Relationship between the Board of Directors and the Audit Committee</p> <p>1. The relationship between the Board of Directors and the Audit Committee is a coordinative relationship. Their working relationship is based on the principles of equality and independence, while ensuring close coordination and mutual support in performing their respective duties.</p> <p>2. Upon receiving inspection minutes or consolidated reports from the Audit Committee, the Board of Directors shall review them and direct the relevant departments to develop and implement timely corrective action plans.</p>



2. The General Meeting of Shareholders authorizes the Board of Directors to amend, supplement, and promulgate the Company's Regulation on the Organization and Operation of the Board of Directors after it is approved by the General Meeting of Shareholders.

The Board of Directors respectfully submits this to the General Meeting of Shareholders for consideration, feedback, and approval.

Sincerely./.

**Recipients:**

- As above;
- Archive: Board of Directors Office.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN

Nguyen Hai Chau