

Số/No: 53 /2026/CV-SHS

Hà Nội, ngày 10 tháng 01 năm 2026
Hanoi, January 10th, 2026

**THÔNG BÁO THAY ĐỔI NHÂN SỰ
CHANGE IN PERSONNEL**

(Mẫu dùng cho các trường hợp bổ nhiệm và/hoặc miễn nhiệm/từ nhiệm)
Applied to cases of appointment and/or dismissal/resignation)

Kính gửi: Sở Giao dịch Chứng khoán Việt Nam/ Sở Giao dịch Chứng khoán Hà Nội/ Sở
Giao dịch Chứng khoán thành phố Hồ Chí Minh
To: Vietnam Stock Exchange/ Hanoi Stock Exchange/ Hochiminh Stock Exchange

Căn cứ theo Quyết định của HĐQT số 02/2026/QĐ-HĐQT ngày 09/01/2026 và Quyết định của HĐQT số 03/2026/QĐ-HĐQT ngày 09/01/2026 của Công ty Cổ phần Chứng khoán Sài Gòn – Hà Nội, chúng tôi trân trọng thông báo việc thay đổi nhân sự của Công ty như sau:

Based on Board of Directors Decision No. 02/2026/QĐ-HĐQT dated January 09th, 2026 and Board of Directors Decision No. 03/2026/QĐ-HĐQT dated January 09th, 2026 of Saigon - Hanoi Securities Joint Stock Company, we respectfully announce the change in personnel of the Company as follows:

Trường hợp bổ nhiệm/In case of appointment (*):

- Ông (bà)/Mr./Ms.: Ông Nguyễn Duy Linh/ Mr. Linh, Nguyen Duy
- Chức vụ trước khi bổ nhiệm/Former position in the organization:
- Chức vụ được bổ nhiệm/Newly appointed position: Tổng Giám đốc, Người đại diện theo pháp luật/ Chief Executive Officer, Legal representative.
- Thời hạn bổ nhiệm/Term: Theo quyết định của Hội đồng Quản trị Công ty/ As decided by the Board of Directors of the Company.
- Ngày bắt đầu có hiệu lực/Effective date: Kể từ khi Ủy ban Chứng khoán Nhà nước chấp thuận việc thay đổi Tổng Giám đốc, Người đại diện theo pháp luật của Công ty Cổ phần Chứng khoán Sài Gòn - Hà Nội/ Since the State Securities Commission approved the change of Chief Executive Officer, Legal Representative of SHS.

Trường hợp miễn nhiệm/từ nhiệm/In case of dismissal/resignation:

- Ông (bà)/Mr./Ms.: Ông Nguyễn Chí Thành / Mr. Thanh, Nguyen Chi
- Không còn đảm nhận chức vụ/Dismissed/Resigned position: Tổng Giám đốc, Người đại diện theo pháp luật/ Chief Executive Officer, Legal representative.
- Lý do miễn nhiệm (nếu có)/Reason (if any): Theo nguyện vọng cá nhân/ According to personal desire.
- Ngày bắt đầu có hiệu lực/Effective date: Kể từ khi Ủy ban Chứng khoán Nhà nước chấp thuận việc thay đổi Tổng Giám đốc, Người đại diện theo pháp luật của Công ty Cổ phần Chứng khoán Sài Gòn - Hà Nội/ Since the State Securities Commission approved the change of Chief Executive Officer, Legal Representative of SHS.

Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 10/01/2026 tại đường dẫn/This information was published on the company's website on 10/01/2026, as in the link:

<https://www.shs.com.vn/cong-bo-thong-tin/shs-cbtt-thay-doi-tong-giam-doc-nguoi-dai-dien-theo-phap-luat>

Tài liệu đính kèm/Attached documents:

- Quyết định số 02/2026/QĐ-HĐQT

Decision No. 02/2026/QĐ-HĐQT

- Quyết định số 03/2026/QĐ-HĐQT

Decision No. 03/2026/QĐ-HĐQT

Đại diện tổ chức/Organization representative
Người được ủy quyền công bố thông tin
Person authorized to disclose information



Bùi Thị Hồng Hạnh

No: 02/2026/QĐ-HĐQT

Hanoi, January 09, 2026

DECISION

(Regarding the Dismissal of the Chief Executive Officer and
Legal Representative of the Company)

BOARD OF DIRECTORS

SAI GON – HA NOI SECURITIES JOINT STOCK COMPANY

Pursuant to:

- The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amending, supplementing documents, and guiding implementation documents;
- The Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amending, supplementing documents, and guiding implementation documents;
- The Charter of Sai Gon – Ha Noi Securities Joint Stock Company;
- The Decision No. 06-2024/QĐ-HĐQT dated February 02, 2024 of the Board of Directors regarding the appointment of Mr. Nguyen Chi Thanh as the Chief Executive Officer (CEO) and Legal Representative of the Company;
- The Meeting Minutes No. 02/2026/BBH-HĐQT of the Board of Directors dated January 09, 2026;
- Based on the personal aspirations of Mr. Nguyen Chi Thanh.

DECIDES:

Article 1. Dismissal of Mr. Nguyen Chi Thanh (Citizen Identity Card No. _____ issued by the Police Department for Administrative Management of Social Order on _____) from the position of Chief Executive Officer and Legal Representative of Sai Gon – Ha Noi Securities JSC.

Effectiveness: From the date the State Securities Commission of Vietnam (SSC) approves the change of Chief Executive Officer and Legal Representative of Sai Gon – Ha Noi Securities JSC.

Article 2. This Decision shall take effect from the date of signing.

Article 3. The Board of Management, Mr. Nguyen Chi Thanh, and relevant units and individuals of Sai Gon – Ha Noi Securities JSC are responsible for implementing this Decision.

Recipients:

- As per Article 3;
- Members of the Board of Directors;
- State Regulatory Authorities (Information Disclosure);
- Archived: Board of Directors Office.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



DO QUANG VINH

No: 03 /2026/QĐ-HĐQT

Hanoi, January 09, 2026

DECISION

(Regarding: The Appointment of the Chief Executive Officer and
Legal Representative of the Company)

BOARD OF DIRECTORS SAI GON – HA NOI SECURITIES JOINT STOCK COMPANY

Pursuant to:

- The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amending, supplementing documents, and guiding implementation documents;
- The Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amending, supplementing documents, and guiding implementation documents;
- The Charter of Sai Gon – Ha Noi Securities Joint Stock Company;
- The Meeting Minutes No. 02./2026/BBH-HDQT of the Board of Directors dated January 09, 2026.

DECIDES:

Article 1: To appoint Mr. Nguyen Duy Linh (Citizen Identity Card No. _____ issued by the Police Department for Administrative Management of Social Order on _____) to the position of Chief Executive Officer (CEO) and Legal Representative of Sai Gon – Ha Noi Securities Joint Stock Company (the Company).

Effectiveness: From the date the State Securities Commission of Vietnam (SSC) approves the change of Chief Executive Officer and Legal Representative of Sai Gon – Ha Noi Securities JSC.

Article 2: Mr. Nguyen Duy Linh shall perform the authorities and responsibilities in accordance with the Company's internal regulations and laws.

Article 3: The salary and other benefits of Mr. Nguyen Duy Linh shall be applied in accordance with the Salary Regulations, the Company's internal regulations, and laws.

Article 4: This Decision shall take effect from the date of signing.

Article 5: The Board of Management, Mr. Nguyen Duy Linh, and relevant units and individuals of Sai Gon – Ha Noi Securities Joint Stock Company are responsible for implementing this Decision.

Recipients:

- As per Article 5;
- Members of the Board of Directors;
- State Regulatory Authorities (Information Disclosure);
- Archived: Board of Directors Office

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN



DO QUANG VINH