

## **INFORMATION DISCLOSURE**

To: - **State Securities Commission**

- **Hanoi Stock Exchange**

**1. Name of organization: Utxi Aquatic Products Processing Corporation**

- Stock code: UXC

- Head office address: 24 Provincial Road 934, Ha Bo Hamlet, Tai Van Commune, Can Tho City, Vietnam

- Phone: (02993) 852.955      Fax: (02993) 852.670

**2. Information disclosure person: Chiem Hai Hoa – Authorized person to disclose information**

**3. Type of information disclosure:** ☒ periodic    ☐ 24-hour    ☐ unusual    ☐ upon request

**4. Content of the disclosure:** The Corporate Governance Report for the year 2025, prepared on January 22, 2026, in accordance with Appendix V of Circular No. 96/2020/TT-BTC dated November 16, 2020.

**5. This information was published on the company's website on:** January 22, 2026 at the following link: <http://utxi.com.vn/vi/news/co-dong/>

We hereby certify that the information disclosed above is true and take full legal responsibility for the content of the disclosed information.

### ***Attachments:***

- The Corporate Governance Report for the year 2025 of Utxi Aquatic Products Processing Corporation.

### ***Recipient:***

- *As above;*
- *Board of Directors, Executive Board;*
- *Supervisory Board*
- *Filing: Office*

**Organizational Representative**  
Persons authorized to disclose information



**CHIEM HAI HOA**

UTXI AQUATIC PRODUCTS  
PROCESSING CORPORATION

THE SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom – Happiness

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No: 01/BC-HĐQT/UXC.26

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Soc Trang, January 22, 2026

REPORT ON CORPORATE GOVERNANCE  
(Year of 2025)

To: - The State Securities Commission;  
- Hanoi Stock Exchange.

- Name of company: UTXI AQUATIC PRODUCTS PROCESSING CORPORATION
- Address of head office: No. 24, Provincial Road 934, Ha Bo Hamlet, Tai Van Commune, Can Tho City.
- Telephone: (02993) 852 959 - 852 671
- Fax: (02993) 852 952 - 852 670
- Email: [quyenlb@utxi.com.vn](mailto:quyenlb@utxi.com.vn)
- Charter capital: 354,000,000,000 VND
- Stock symbol: UXC
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisor and General Director.
- The implementation of internal audit: Not yet implemented.

I. Activities of General Meeting of Shareholders:

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution /Decision No.	Date	Content
1	01/NQ-ĐHĐCĐ/UXC.25	April 28, 2025	<i>Resolution of the 2025 Annual General Meeting of Shareholders:</i>  - Approve the Report of the Board of Directors on the management of production and business activities in 2024 and set forth key tasks for the Board of Directors in 2025.



No.	Resolution /Decision No.	Date	Content
			<ul style="list-style-type: none"> <li>- Approve the summary of the Company's 2024 audited financial statements, the report on production and business results for 2024, and the business plan for 2025.</li> <li>- Approve the Supervisory Board's review report for 2024 and its supervision plan for 2025.</li> <li>- Approving Proposal No.: 01/TTr-HĐQT/UXC.25 dated March 28, 2025 of the Board of Directors on the profit distribution plan for 2024.</li> <li>- Approving Proposal No.: 02/TTr-HĐQT/UXC.25 dated March 28, 2025 of the Board of Directors on the remuneration payment results for 2024 and the remuneration payment plan for 2025 for the Board of Directors and the Board of Supervisors.</li> <li>- Approving Proposal No. 03/TTr-HĐQT/UXC.25 dated March 28, 2025 of the company's Board of Directors regarding the dismissal of Board of Directors' members and Board of Supervisors' members for the 2020 – 2025 term.</li> <li>- Approving the results announced by the Election Committee of the General Meeting: 05 members elected to the Board of Directors for the 2025 – 2030 term.</li> <li>- Approving the results announced by the Election Committee of the General Meeting: 03 members elected to the Board of Supervisors for the 2025 – 2030 term.</li> <li>- Approving Proposal No. 04/TTr-BKS/UXC.25 dated March 28, 2025 of the company's Board of Supervisors on the selection of an audit firm for the company's 2025 financial statement audit.</li> <li>- Approving Proposal No. 05/TTr-HĐQT/UXC.25 dated March 28, 2025 of the company's Board of Directors on amending and supplementing the Company's Charter in accordance with the Enterprise Law 2020.</li> <li>- Authorizing the Board of Directors to decide on the details and organize the implementation.</li> </ul>
2	01/BB-DHĐCĐ/UXC.25	April 28, 2025	Minutes of the Annual General Meeting of Shareholders 2025

**II. Board of Directors (2025 Report):****1. Information on the Members of the Board of Directors (BOD):**

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of Dismissal
1	Mr. Nguyen Trieu Dong	Chairman of the Board of Directors (Non-Executive Board Member)	Date of appointment April 25, 2020; Reappointed on April 28, 2025	
2	Ms. Ly Bich Quyen	Board Member and CEO	Date of appointment April 25, 2020; Reappointed on April 28, 2025	
3	Mr. Nguyen Hoang Phuong	Board Member and Deputy CEO	Date of appointment April 25, 2020; Reappointed on April 28, 2025	
4	Mr. Nguyen Hoang Nha	Board Member and Deputy CEO	Date of appointment April 25, 2020; Reappointed on April 28, 2025	
5	Mr. Truong Truc Linh	Independent Board Member	April 25, 2020	April 28, 2025
6	Ms. Vo Thi Huyen Trinh	Independent Board Member	April 28, 2025	

**2. Meetings of the Board of Directors:**

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr. Nguyen Trieu Dong	12/12	100%	
2	Ms. Ly Bich Quyen	12/12	100%	
3	Mr. Nguyen Hoang Phuong	12/12	100%	
4	Mr. Nguyen Hoang Nha	12/12	100%	
5	Mr. Truong Truc Linh	6/12	100%	<b>Not being Member of the Board of Directors from April 28, 2025</b> (From January 01, 2025 to April 28, 2025, there were 6 meetings of the Board of Directors, attended 6/6)
6	Ms. Vo Thi Huyen Trinh	6/12	100%	<b>Being Member of the Board of Directors from April 28, 2025</b> (From April 28, 2025 to December 31, 2025, there were 6 meetings of the Board of Directors, attended 6/6)



### 3. Supervising the Board of Management by the Board of General Directors:

In 2025, the Board of Directors carried out its oversight functions in accordance with the Company's Charter, the Corporate Governance Regulations, internal management rules, and current legal provisions. Specifically:

- Organized the supervision of the execution and preparation of capital for ongoing and upcoming projects of the Company.

- Chaired, directed, and successfully organized the 2025 Annual General Meeting of Shareholders on April 28, 2025.

- Directed and ensured the strict implementation of annual financial reporting, semi-annual financial reports, quarterly financial reports, and annual reports, while also monitoring and overseeing the financial reports, expenditure plans, and other financial activities of the company.

- Oversaw the implementation of resolutions issued by the General Assembly of Shareholders and the Board of Directors, evaluated the operational effectiveness of the General Director's Board in business activities, ensuring that business decisions were executed correctly and efficiently.

- Supervised and directed the timely and transparent disclosure of information in compliance with legal requirements.

- Monitored risk management measures implemented by the General Director's Board, ensuring the company had effective preventive and responsive measures in place.

- Supervised the implementation and adherence to the company's policies, processes, and regulations, ensuring they were executed correctly.

- Monitored legal issues related to the company's business operations, ensuring full compliance with legal regulations.

- Directed the collection of the company's investment debts.

**4. Activities of the Board of Directors' subcommittees (If any):** The Board of Directors did not establish any sub-committees.

### 5. Resolutions/Decisions of the Board of Directors (2025 Report):

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/NQ-HĐQT/UXC.25	17/01/2025	Resolution of the Board of Directors on approving the content of the 2024 Governance Report of Ut Xi Aquatic products Processing Corporation.	100%
2	02/NQ.HĐQT-UXC.25	10/02/2025	Resolution of the Board of Directors on approving the plan to organize the 2025 Annual General Meeting of Shareholders.	100%
3	03/NQ.HĐQT-UXC.25	10/02/2025	Resolution of the Board of Directors on convening the Annual General Meeting of Shareholders in 2025	100%



No.	Resolution/Decision No.	Date	Content	Approval rate
4	01/QĐ-HĐQT/UXC.25	10/02/2025	Decision of the Board of Directors on the establishment of the Organizing Committee of the Annual General Meeting of Shareholders in 2025.	100%
5	02/QĐ-HĐQT/UXC.25	19/03/2025	Decision of the Board of Directors on the establishment of the Shareholder Qualification Verification Committee to attend the Annual General Meeting of Shareholders in 2025.	100%
6	04/NQ.HĐQT-UXC.25	24/03/2025	The resolution of the Board of Directors on approving the Financial Statements for the fiscal year 2024 ending on December 31, 2024 has been audited.	100%
7	05/NQ-HĐQT/UXC.25	31/03/2025	Resolution of the Board of Directors on approving the content of the document to be submitted to the General Meeting of Shareholders in 2025.	100%
8	06/NQ.HĐQT-UXC.25	16/04/2025	Resolution of the Board of Directors on approving the list of candidates for election of members of the Board of Directors and the Supervisory Board for the term 2025 - 2030	100%
9	07/NQ.HĐQT-UXC.25	28/04/2025	Resolution of the Board of Directors on the election of Mr. <b>Nguyen Trieu Dong</b> , Member of the Board of Directors of the Company for the term 2025-2030 to hold the title of Chairman of the Board of Directors of Ut Xi Aquatic products Processing Corporation for the term 2025-2030	100%
10	08/NQ.HĐQT-UXC.25	30/05/2025	Resolution of the Board of Directors on the selection of an independent auditor to provide services for reviewing the Semi-annual Financial Statements and auditing the 2025 Financial Statements of Ut Xi Aquatic products Processing Corporation.	100%
11	09/NQ.HĐQT-UXC.25	14/08/2025	The Resolution of the Board of Directors on approving the Company's Semi-annual Financial Statements for the year ended 30/06/2025 has been audited.	100%
12	10/NQ.HĐQT-UXC.25	02/10/2025	Resolution of the Board of Directors on changing the form of the company's share ownership certificate.	100%
13	011/BB.HĐQT-UXC.25	17/10/2025	Minutes of the Board of Directors meeting on the preliminary review of production and business activities in the first 9 months of 2025.	100%
14	012/BB.HĐQT-UXC.25	15/12/2025	Minutes of the Board of Directors meeting on the consideration and settlement of the liquidation of the Company's assets due to inefficiency in order to create capital for the production and business of the unit.	100%



**III. Board of Supervisors (2025 Report):****1. Information about members of Board of Supervisors:**

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
1	Mr. Dao Truong Han	Head of the Supervisory Board	April 25, 2020 / Not being Members of Board of Supervisors from April 28, 2025	Bachelor's Degree in Business Administration
2	Mr. Nguyen Thai Nguyen	Head of the Supervisory Board	April 28, 2025	Bachelor Seafood processing
3	Ms. Nguyen Thi Kim Ngan	Member of the Supervisory Board	Date of appointment April 25, 2020; Reappointed on April 28, 2025	Bachelor's Degree in Business Administration
4	Ms. Tang Thi My Thuy	Member of the Supervisory Board	April 25, 2020 / Not being Members of Board of Supervisors from April 28, 2025	Bachelor's Degree in Natural Resource Economics
5	Mr. Tran Nhat Luan	Member of the Supervisory Board	April 28, 2025	Computer repair and assembly engineer

**2. Meetings of Board of Supervisors:**

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mr. Dao Truong Han	1/4	100%	100%	<b>Not being Members of Board of Supervisors from April 28, 2025</b> (From January 01, 2025 to April 28, 2025, there was 1 meetings of the Supervisory Board , attended 1/1)
2	Mr. Nguyen Thai Nguyen	3/4	100%	100%	<b>Being Members of Board of Supervisors from April 28, 2025</b> (From April 28, 2025 to December 31, 2025, there was 3 meetings of the Supervisory Board , attended 3/3)
3	Ms. Nguyen Thi Kim Ngan	4/4	100%	100%	
4	Ms. Tang Thi My Thuy	1/4	100%	100%	<b>Not being Members of Board of Supervisors from April 28, 2025</b> (From January 01, 2025 to April 28, 2025, there was 1 meetings of the Supervisory Board , attended 1/1)
5	Mr. Tran Nhat Luan	3/4	100%	100%	<b>Being Members of Board of Supervisors from April 28, 2025</b> (From April 28, 2025 to December 31, 2025, there was 3 meetings of the Supervisory Board , attended 3/3)



### **3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:**

In 2025, the Supervisory Board carried out its oversight responsibilities regarding the Board of Directors and the General Director's Board in managing and operating the Company, ensuring compliance with legal regulations, the Charter, Governance Regulations, Procedures, and Resolutions of the General Meeting of Shareholders. The Board reviewed and verified the business performance reports and financial statements of the Company. Specifically:

- Financial Audit: Examined financial reports, accounting books, and financial activities to ensure compliance with legal regulations and accounting standards.

- Business Operations Oversight: Assessed the effectiveness of the General Director's Board in business operations, ensuring that business decisions were properly executed and provided value to shareholders.

- Risk Management Audit: Reviewed and evaluated risk management measures implemented by the Board of Directors and the General Director's Board, ensuring that the company had effective preventive and responsive measures.

- Legal Compliance Audit: Ensured the company fully complied with legal regulations to avoid legal risks.

- Shareholder Oversight: Ensured the protection of shareholders' interests and compliance with corporate governance regulations concerning shareholder rights.

### **4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:**

The Supervisory Board has always proactively coordinated closely with the Board of Directors and the General Director's Board in monitoring and overseeing all business operations of the Company. Regular communication and exchange of information and documents have been maintained.

In 2025, the Supervisory Board collaborated with the Board of Directors, the General Director's Board, and other management personnel in the following activities:

- Regular Meetings: The Supervisory Board, the Board of Directors, and the General Director's Board frequently organized regular meetings to exchange information, report results, and discuss important issues of the company.

- Reports and Feedback: The Supervisory Board regularly checked and reported the findings to the Board of Directors and the General Director's Board. In return, the Board of Directors and the General Director's Board also provided necessary information for the Supervisory Board to carry out its oversight tasks.

- Participation in Strategic Meetings: The Supervisory Board was invited to participate in strategic meetings to gain a better understanding of the company's development direction and to monitor the implementation of these strategies.

- Training and Capacity Building: Various joint training programs were organized to enhance management capabilities and professional knowledge for all individuals involved in managing the company.



- Development of Coordination Processes: Clear coordination processes were established between the parties to ensure consistency in executing tasks and addressing emerging issues.

**5. Other activities of the Board of Supervisors and Audit Committee (if any):** None

**IV. Board of Management:**

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management
1	Ms. Ly Bich Quyen – Chief Executive Officer	November 21, 1980	Bachelor's Degree in English	July 01, 2019
2	Mr. Nguyen Hoang Phuong – Deputy Chief Executive Officer	April 05, 1972	Executive Director	July 19, 2011
3	Mr. Nguyen Hoang Nha – Deputy Chief Executive Officer	August 03, 1984	Bachelor's Degree in Business Administration	June 10, 2013
4	Mr. Truong Van Phuoc – Deputy Chief Executive Officer	August 09, 1959	Executive Director	January 01, 2012

**V. Chief Accountant:**

Name	Date of birth	Qualification	Date of appointment/ dismissal
Mr. Do Thanh Nhon	October 08, 1978	Master's Degree in Finance and Credit	November 01, 2002

**VI. Training courses on corporate governance:**

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, General Director, other managers and secretaries in accordance with regulations on corporate governance:

- The Company always encourages and creates conditions for members of the Board of Directors, members of the Supervisory Board, members of the Board of Directors, managers and the Person in Charge of Corporate Governance to participate in training courses on corporate governance and regularly update with the new regulations on corporate governance of the company.

- The Board of Directors, the Board of General Directors, other managers and the company's secretary all actively participate in programs, seminars and online conferences of relevant agencies on corporate governance in order to capture information about the provisions of the law applicable to the company's governance activities.

- On a weekly basis, management participates in the "Business Inquiries – FPTs Responses" program, hosted by FPT Securities Joint Stock Company (FPTS) via a live-stream on YouTube. This program provides support and addresses challenges faced by businesses in fulfilling their obligations as public companies.

**VII. The list of affiliated persons of the public company (Year 2025) and transactions of affiliated persons of the Company:**

**1. The list of affiliated persons of the Company:**

## THE LIST OF AFFILIATED PERSONS OF THE COMPANY

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
<b>I</b>	<b>Individuals</b>								
1	Mr. Nguyen Trieu Dong		Chairman of the Board of Directors			April 25, 2020			Insider
2	Ms. Ly Bich Quyen		Board Member, Chief Executive Officer			April 25, 2020			Insider
3	Mr. Nguyen Hoang Phuong		Board Member, Deputy Chief Executive Officer			April 25, 2020			Insider
4	Mr. Nguyen Hoang Nha		Board Member, Deputy Chief Executive Officer			April 25, 2020			Insider
5	Mr. Truong Truc Linh		Independent Board Member			April 25, 2020	April 28, 2025	Not being Member of the Board of Directors from April 28, 2025	Insider
6	Ms. Vo Thi Huyen Trinh		Independent Board Member			April 28, 2025		Being Member of the Board of Directors from April 28, 2025	Insider
7	Mr. Truong Van Phuoc		Deputy Chief Executive Officer			April 25, 2020			Insider
8	Mr. Dao Truong Han		Head of the Supervisory Board			April 25, 2020	April 28, 2025	Not being Members of Board of Supervisors from April 28, 2025	Insider
9	Mr. Nguyen Thai Nguyen		Head of the Supervisory Board			April 28, 2025		Being Members of Board of Supervisors from April 28, 2025	Insider



THE LIST OF AFFILIATED PERSONS OF THE COMPANY									
No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
10	Ms. Nguyen Thi Kim Ngan		Member of the Supervisory Board			April 25, 2020			Insider
11	Ms. Tang Thi My Thuy		Member of the Supervisory Board			April 25, 2020	April 28, 2025	Not being Members of Board of Supervisors from April 28, 2025	Insider
12	Mr. Tran Nhat Luan		Member of the Supervisory Board			April 28, 2025		Being Members of Board of Supervisors from April 28, 2025	Insider
13	Mr. Do Thanh Nhon		Chief Accountant			June 19, 2007			Insider
14	Mr. Chiem Hai Hoa		Deputy Accounting Manager / Corporate Governance Officer / Authorized Information Disclosure Representative			October 01, 2024			Insider
Related Persons of the Board of Directors, Supervisory Board, and Executive Board in Section VIII.1									
II	Organization								
1	Cuu Long Petro Urban Development and Investment Corporation		None						Co-Chairman of the Board of Directors

*Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organizations)*





NO.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
.	Mr. Nguyen Thai Nguyen	Head of the Supervisory Board			From April 28, 2025 To December 31, 2025	NQ:01/NQ-ĐHĐCĐ/UXC.25 (28/04/2025)	Remuneration for the Supervisory Board: 24.000.000 VND	
9	Ms. Nguyen Thi Kim Ngan	Member of the Supervisory Board			From January 01, 2025 To December 31, 2025	NQ:01/NQ-ĐHĐCĐ/UXC.25 (28/04/2025)	Remuneration for the Supervisory Board: 26.000.000 VND	
10	Ms. Tang Thi My Thuy	Member of the Supervisory Board			From January 01, 2025 To April 28, 2025	NQ:01/NQ-ĐHĐCĐ/UXC.25 (28/04/2025)	Remuneration for the Supervisory Board: 10.000.000 VND	
11	Mr. Tran Nhat Luan	Member of the Supervisory Board			From April 28, 2025 To December 31, 2025	NQ:01/NQ-ĐHĐCĐ/UXC.25 (28/04/2025)	Remuneration for the Supervisory Board: 16.000.000 VND	

*Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations)*

**3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power:**  
None.

**4. Transactions between the Company and other objects:**

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): None

4.2 Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): None

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: None

**VIII. Share transactions of internal persons and their affiliated persons (Semi\_ Annual report 2025):**

**1. Transactions of internal persons and affiliated persons with shares of the Company:**



## THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
<b>I</b>	<b>Board of Directors</b>								
<b>1</b>	<b>Nguyen Trieu Dong</b>		<b>Chairman of the Board of Directors</b>		-		<b>7.116.000</b>	<b>20,102%</b>	
1.1	Nguyen Van Thanh		None		Biological Father		0	0%	Deceased
1.2	Nguyen Thi Xi		None		Biological Mother		0	0%	
1.3	Nguyen Kim Tien		None		Father-in-law		0	0%	Deceased
1.4	Lam Thi Sau		None		Mother-in-law		0	0%	Deceased
1.5	Nguyen Kim Hong Dao		None		Wife		24.430	0,069%	
1.6	Nguyen Anh Tuan		None		Biological Child		28.164	0,080%	
1.7	Nguyen Anh Thy		None		Biological Child		0	0%	
1.8	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		Biological Younger Brother		5.329.411	15,055%	
1.9	Nguyen Hoang Nha		Member of the Board of Director, Deputy Chief Executive Officer		Biological Younger Brother		7.973.086	22,523%	
1.10	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Biological Younger Sister		358.580	1,013%	
1.11	Ly Bich Quyen		Member of the Board of Director, Chief Executive Officer		Sister-in-law		65.398	0,185%	
1.12	Truong Van Phuoc		Deputy Chief Executive Officer		Brother-in-law		1.789.411	5,055%	
1.13	Tang Thi Tu Loan		Head of Organization and Administration Department		Sister-in-law		0	0%	
1.14	Vu Thi Phuong Thao		None		Daughter-in-law		0	0%	
1.15	Cuu Long Petro Urban Development and Investment Corporation		None		Mr. Nguyen Trieu Dong serves as the Chairman of the Board of Directors		0	0%	

## THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2	Ly Bich Quyen		Member of the Board of Director, Chief Executive Officer		-		65.398	0,185%	
2.1	Ly Thai Cuong		None		Biological Father		0	0%	Deceased
2.2	Tran Ngoc Huong		None		Biological Mother		0	0%	
2.3	Nguyen Van Thanh		None		Father-in-law		0	0%	Deceased
2.4	Nguyen Thi Xi		None		Mother-in-law		0	0%	
2.5	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		Husband		5.329.411	15,055%	
2.6	Nguyen Anh Vy		None		Biological Child		0	0%	
2.7	Nguyen Hoang Anh Minh		None		Biological Child		0	0%	Underage
2.8	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Sister-in-law		358.580	1,013%	
2.9	Nguyen Trieu Dong		Chairman of the Board of Directors		Brother-in-law		7.116.000	20,102%	
2.10	Nguyen Hoang Nha		Member of the Board of Director, Deputy Chief Executive Officer		Brother-in-law		7.973.086	22,523%	
2.11	Ly Bich Phung		None		Biological Sister		0	0%	
2.12	Ly Tan Hieu		None		Biological Younger Brother		0	0%	
3	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		-		5.329.411	15,055%	
3.1	Nguyen Van Thanh		None		Biological Father		0	0%	Deceased
3.2	Nguyen Thi Xi		None		Biological Mother		0	0%	
3.3	Ly Thai Cuong		None		Father-in-law		0	0%	Deceased
3.4	Tran Ngoc Huong		None		Mother-in-law		0	0%	



THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS									
No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.5	Ly Bich Quyen		Member of the Board of Director, Chief Executive Officer		Wife		65.398	0,185%	
3.6	Nguyen Anh Vy		None		Biological Child		0	0%	
3.7	Nguyen Hoang Anh Minh		None		Biological Child		0	0%	Underage
3.8	Nguyen Trieu Dong		Chairman of the Board of Directors		Biological Older Brother		7.116.000	20,102%	
3.9	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Biological Sister		358.580	1,013%	
3.10	Nguyen Hoang Nha		Member of the Board of Director, Deputy Chief Executive Officer		Biological Younger Brother		7.973.086	22,523%	
3.11	Truong Van Phuoc		Deputy Chief Executive Officer		Brother-in-law		1.789.411	5,055%	
3.12	Nguyen Kim Hong Dao		None		Sister-in-law		24.430	0,069%	
3.13	Tang Thi Tu Loan		Head of Organization and Administration Department		Sister-in-law		0	0%	
4	Nguyen Hoang Nha		Member of the Board of Director, Deputy Chief Executive Officer		-		7.973.086	22,523%	
4.1	Nguyen Van Thanh		None		Biological Father		0	0%	Deceased
4.2	Nguyen Thi Xi		None		Biological Mother		0	0%	
4.3	Tang Hoa Thao		None		Father-in-law		0	0%	
4.4	Tran Thi Lai An		None		Mother-in-law		0	0%	
4.5	Tang Thi Tu Loan		Head of Organization and Administration Department		Wife		0	0%	
4.6	Nguyen Tang Nha Anh		None		Biological Child		0	0%	Underage
4.7	Nguyen Tang Nha Ky		None		Biological Child		0	0%	Underage

## THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

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4.8	Nguyen Trieu Dong		Chairman of the Board of Directors		Biological Older Brother		7.116.000	20,102%	
4.9	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Biological Sister		358.580	1,013%	
4.10	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		Biological Older Brother		5.329.411	15,055%	
4.11	Truong Van Phuoc		Deputy Chief Executive Officer		Brother-in-law		1.789.411	5,055%	
4.12	Nguyen Kim Hong Dao		None		Sister-in-law		24.430	0,069%	
4.13	Ly Bich Quyen		Member of the Board of Director, Chief Executive Officer		Sister-in-law		65.398	0,185%	
5	Truong Truc Linh		Independent Board Member		-		2.160	0.006%	Not being Member of the Board of Directors from April 28, 2025
5.1	Truong Cong Tao		None		Biological Father		0	0%	Deceased
5.2	Vo Thi Be		None		Biological Mother		0	0%	Deceased
5.3	Trinh Then		None		Father-in-law		0	0%	Deceased
5.4	Nguyen Thi Em		None		Mother-in-law		0	0%	Deceased
5.5	Trinh Thanh Thuy		None		Wife		0	0%	
5.6	Truong Kim Ngan		None		Biological Child		0	0%	
5.7	Truong Ngoc Bich		None		Biological Child		0	0%	
5.8	Truong Hoa Chau		None		Biological Child		0	0%	
5.9	Truong Van Cong Hoang		None		Biological Older Brother		0	0%	
5.10	Thai Thi Yen Loan		None		Sister-in-law		0	0%	



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5.11	Truong Cong Tuong		None		Biological Older Brother		0	0%	
5.12	Tran Thi Hoa Phung		None		Sister-in-law		0	0%	
5.13	Truong Cong My		None		Biological Older Brother		0	0%	
5.14	Nguyen Thi Kim Dao		None		Sister-in-law		0	0%	
5.15	Truong Cong Vu		None		Biological Older Brother		0	0%	
5.16	Tran Le Diem Trang		None		Sister-in-law		0	0%	
5.17	Truong Thi Loan Anh		None		Biological Sister		0	0%	
5.18	Huynh Song		None		Brother-in-law		0	0%	
5.19	Truong Cong Thao		None		Biological Older Brother		0	0%	
5.20	Le Thi Ngoc Giau		None		Sister-in-law		0	0%	
5.21	Trinh Thanh Quang		None		Brother-in-law		0	0%	
5.22	Trinh Thanh Xuan		None		Brother-in-law		0	0%	
5.23	Trinh Thanh Dung		None		Brother-in-law		0	0%	
5.24	Trinh Thanh Binh		None		Brother-in-law		0	0%	
5.25	Cuu Long Petro Urban Development and Investment Corporation		None		Mr. Truong Truc Linh serves as an Independent Member of the Board of Directors		0	0%	
6	Vo Thi Huyen Trinh		Independent Board Member		-		0	0%	Being Member of the Board of Directors from April 28, 2025
6.1	Vo Van Muoi		None		Biological Father		0	0%	
6.2	Nguyen Thi Hon		None		Biological Mother		0	0%	

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6.3	Vo Hoang Giang		None		Biological Older Brother		0	0%	
6.4	Vo Thi Tuyet Trinh Trinh		None		Biological Younger Brother		0	0%	
<b>II</b>	<b>Members of the Supervisory Board</b>								
<b>1</b>	<b>Dao Truong Han</b>		<b>Head of the Supervisory Board</b>		-		<b>0</b>	<b>0%</b>	<b>Not being Members of Board of Supervisors from April 28, 2025</b>
1.1	Dao Thanh Tien		None		Biological Father		0	0%	Information not provided
1.2	Huynh Thi Van		None		Biological Mother		0	0%	Information not provided
1.3	Huynh Ngoc Tuyen		None		Wife		0	0%	Information not provided
1.4	Dao Huynh Ngoc Diem		None		Biological Child		0	0%	Information not provided
1.5	Dao Huynh Ngoc Tran		None		Biological Child		0	0%	Information not provided
1.6	Dao Thanh Phong		None		Biological Older Brother		0	0%	Information not provided
1.7	Dao Viet Thuy		None		Biological Younger Brother		0	0%	Information not provided
1.8	Dao Viet Thuy		None		Biological Younger Brother		0	0%	Information not provided
<b>2</b>	<b>Nguyen Thai Nguyen</b>		<b>Head of the Supervisory Board</b>		-		<b>7.234</b>	<b>0,020%</b>	<b>Being Members of Board of Supervisors from April 28, 2025</b>



THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS									
No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.1	Nguyen Van Diep		None		Biological Father		0	0%	Information not provided
2.2	Huynh Thi Ba		None		Biological Mother		0	0%	
2.3	Nguyen Văn Ly		None		Father-in-law		0	0%	Deceased
2.4	Huynh Thi Hien		None		Mother-in-law		0	0%	
2.5	Nguyen Thi Huynh Thao		None		Wife		0	0%	
2.6	Nguyen Thanh Dat		None		Biological Child		0	0%	
3	Nguyen Thi Kim Ngan		Members of the Supervisory Board		-		0	0%	
3.1	Nguyen Van Vong		None		Biological Father		0	0%	
3.2	Nguyen Thi Tho		None		Biological Mother		0	0%	
3.3	Le Van Thu		None		Father-in-law		0	0%	
3.4	Doan Thi Xiu		None		Mother-in-law		0	0%	Information not provided
3.5	Le Hung Duong		None		Husband		0	0%	
3.6	Le Tuan Kiet		None		Biological Child		0	0%	Underage
3.7	Nguyen Thanh Bang		None		Biological Older Brother		0	0%	
3.8	Nguyen Thi Thuo		None		Biological Sister		0	0%	
3.9	Nguyen Thi Thuy Kieu		None		Biological Sister		0	0%	
4	Tang Thi My Thuy		Members of the Supervisory Board		-		0	0%	Not being Members of Board of Supervisors from April 28, 2025
4.1	Tang Hoa Thuan		None		Biological Father		0	0%	Information not provided
4.2	Luu Kim Hue		None		Biological Mother		0	0%	Information not provided

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4.3	Nguyen Van Phuc		None		Father-in-law		0	0%	Information not provided
4.4	Luu Thi Bach Hue		None		Mother-in-law		0	0%	Information not provided
4.5	Nguyen Tan Loc		None		Husband		0	0%	Information not provided
4.6	Nguyen Quang Khoi		None		Biological Child		0	0%	Information not provided
4.7	Nguyen Diep Chi		None		Biological Child		0	0%	Information not provided
4.8	Tang Thanh Chi		None		Biological Older Brother		0	0%	Information not provided
4.9	Tang Thanh Nhan		None		Biological Older Brother		0	0%	Information not provided
4.10	Tang Thi My Dieu		None		Biological Sister		0	0%	Information not provided
5	Tran Nhat Luan		Members of the Supervisory Board		-		0	0%	Being Members of Board of Supervisors from April 28, 2025
5.1	Tran Van Loi		None		Biological Father		0	0%	
5.2	Huynh Thi Luan		None		Biological Mother		0	0%	
5.3	Tra Ut		None		Father-in-law		0	0%	
5.4	Nguyen Thi Bich Thu		None		Mother-in-law		0	0%	
5.5	Tra Thi Truc Linh		None		Wife		0	0%	
5.6	Tran Dai Loc		None		Biological Older Brother		0	0%	



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No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
III	Board of Directors, Chief Accountant								
1	Ly Bich Quyen		Chief Executive Officer	See information in Section 2, Part I					
2	Nguyen Hoang Phuong		Deputy Chief Executive Officer	See information in Section 3, Part I					
3	Nguyen Hoang Nha		Deputy Chief Executive Officer	See information in Section 4, Part I					
4	Truong Van Phuoc		Deputy Chief Executive Officer		-		1.789.411	5,055%	
4.1	Truong Van Ngoc		None		Biological Father		0	0%	Deceased
4.2	Nguyen Thi Hai		None		Biological Mother		0	0%	Deceased
4.3	Nguyen Van Thanh		None		Father-in-law		0	0%	Deceased
4.4	Nguyen Thi Xi		None		Mother-in-law		0	0%	
4.5	Nguyen Thi Thanh Thuy		Head of Purchasing Department		Wife		358.580	1,013%	
4.6	Truong Nguyen Phuong Vy		None		Biological Child		13.764	0,039%	
4.7	Truong Hieu Khang		None		Biological Child		0	0%	
4.8	Nguyen Hoang Phuong		Member of the Board of Director, Deputy Chief Executive Officer		Brother-in-law		5.329.411	15,055%	
4.9	Nguyen Trieu Dong		Chairman of the Board of Directors		Brother-in-law		7.116.000	20,102%	
4.10	Nguyen Hoang Nha		Member of the Board of Director, Deputy Chief Executive Officer		Brother-in-law		7.973.086	22,523%	
4.11	Phan Khanh Cuong		None		Son-in-law		12.000	0,034%	
4.12	Truong Van Tho		None		Biological Older Brother		0	0%	Information not provided

## THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card, No./Passport No., date of issue, place of issue	Relationship	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5	Do Thanh Nhon		Chief Accountant		-		10.842	0,031%	
5.1	Do Minh Duc		None		Biological Father		0	0%	
5.2	Huynh Hong Ri		None		Biological Mother		0	0%	
5.3	Bui Huu Su		None		Father-in-law		0	0%	Deceased
5.4	Tran Thi Ai Van		None		Mother-in-law		0	0%	
5.5	Bui Thi Kim Ngan		None		Wife		0	0%	
5.6	Do Khoi Nguyen		None		Biological Child		0	0%	
5.7	Do Duc Huy		None		Biological Child		0	0%	Underage
5.8	Do Hong Hanh		None		Biological Younger Brother		0	0%	
5.9	Do Hong Nhu		None		Biological Younger Brother		0	0%	
5.10	Bui Chi Thien		None		Brother-in-law		4.129	0,012%	
5.11	Bui Thi Kim Binh		None		Sister-in-law		0	0%	
5.12	Bui Thi Kim Hoang		None		Sister-in-law		1.376	0,004%	
5.13	Bui Thi Kim Thanh		None		Brother-in-law		1.080	0,003%	
5.14	Bui Thi Kim Tuyen		None		Brother-in-law		0	0%	
5.15	Do Huynh Nhu		None		Biological Younger Sister		0	0%	
5.16	Huynh Thanh Liem		None		Brother-in-law		0	0%	
5.17	Be Van Ba		None		Brother-in-law		0	0%	
5.18	Nguyen Van Thang		None		Brother-in-law		0	0%	



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6	Chiem Hai Hoa		Deputy Head of Accounting Department/ Person in charge of corporate administration/ Person authorized to disclose information				0	0%	
6.1	Ong Thi My Nhi		None		Wife		0	0%	
6.2	Chiem Hieu Ngan		None		Biological Child		0	0%	
6.3	Chiem Hai Bang		None		Biological Child		0	0%	Underage
6.4	Ma Thi Po		None		Biological Mother		0	0%	
6.5	Chiem My Anh		None		Biological Sister		0	0%	Information not provided
6.6	Ly Anh Viet Tan		None		Brother-in-law		0	0%	Information not provided
6.7	Ong Vinh Phuoc		None		Father-in-law		0	0%	Information not provided
6.8	Le Thi Kheo		None		Mother-in-law		0	0%	Information not provided

## 2. Transactions of internal persons and affiliated persons` with shares of the company: None

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	

**IX. Other significant issues:**

- On September 10, 2025, the Company was granted Enterprise Registration Certificate No. 2200203836 for a joint stock company by the Business Registration Office – Department of Finance of Can Tho City, recording the 19th amendment to update the address in accordance with administrative boundaries. This amendment is purely administrative; the Company has neither changed nor relocated its head office.

- On September 16, 2025, the Company issued Notice No. 30/TB-UXC.25 regarding the change of the company seal in accordance with legal regulations on administrative boundaries. This change does not affect the Company's head office or operations.

- On October 2, 2025, the Company issued Notice No. 32/TB-UXC.25 regarding the change of the Share Ownership Certificate template to ensure consistency with the Enterprise Registration Certificate, the new company seal, and the registered head office address. This change directly relates to the rights of shareholders whose shares have not yet been deposited.

**Recipients:**

- The State Securities Commission
- Archived: VT...

**CHAIRMAN OF THE BOARD OF DIRECTORS***(Sign, full name and seal)***NGUYEN TRIEU DONG**