

No: 26.1/SP2-BC

Lao Cai, January 26, 2026

CORPORATE GOVERNANCE REPORT
(Year 2025)

To: - **State Securities Commission;**
 - **Hanoi Stock Exchange.**

- Company name: **Su Pan 2 Hydropower Joint Stock Company**
- Head office address: Hoang Lien village, Ban Ho commune, Lao Cai province
- Phone: (0214) 3 871 727
- Website: supan2.net; Email: supan2@supan2.com.vn;
- Charter capital: 207,460,000,000 VND.
- Stock code: SP2
- Corporate governance model:
 - + General Meeting of Shareholders, Board of Directors, Board of Supervisors, and General Director.
- Regarding the implementation of internal audit function: Not yet implemented.

I. Activities of the General Meeting of Shareholders:

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders:

No.	Resolution/Decision	Date	Content
1	01/NQ-DHĐCĐ	April 24, 2025	The 2025 Annual General Meeting of Shareholders unanimously approved the following contents: <ul style="list-style-type: none">- Approval of the Report of the Board of Directors & Board of Management of the Company on the implementation of the Tasks and Business Plan for 2024 and the Tasks and Business Plan for 2025;- Approval of the Board of Supervisors' Activity Report for 2025; the Board of Supervisors' activity plan for 2025;- Approval of the audited 2024 Financial Report;- Approval of the Proposal on salary and remuneration payment of the Board of Directors and Board of Supervisors in 2024 and the remuneration payment plan of the Board of Directors and Board of Supervisors in 2025;- Approval of the Proposal for selecting an independent firm for the 2025 financial statements;

No.	Resolution/Decision	Date	Content
			- Approval of the election of members of the Board of Directors and the Board of Supervisors for the 2025 - 2030 term.

Resolutions, Minutes of Meetings, and documents of the Shareholders' Meeting have been posted on the Company's website and disclosed in accordance with regulations.

II. Board of Directors:

1. Information about Board of Directors (BOD) members:

No.	Board Member	Position	Date of starting/ceasing to be an independent member of the Board of Directors/Board of Management	
			Date of appointment	Dismissal Date
1	Mr. Nguyen Xuan Hong	Chairman of the Board	April 24, 2025	
2	Mr. Tran Duc Thanh	Board Member	April 24, 2025	
3	Mr. Nguyen Phong Danh	Board Member	April 24, 2025	
4	Mr. Mai Dinh Nhat	Board Member	April 24, 2025	
5	Mr. Nguyen Quang Luan	Board Member	April 29, 2021	April 24, 2025
6	Mr. Pham Sy Long	Board Member	April 24, 2025	

2. Board of Directors meetings:

No.	Board Member	Number of Board of Directors meetings attended	Meeting attendance rate	Reason for not attending the meeting
1	Mr. Nguyen Xuan Hong	4/4	100%	
2	Mr. Tran Duc Thanh	4/4	100%	
3	Mr. Nguyen Phong Danh	4/4	100%	
4	Mr. Mai Dinh Nhat	3/4	70%	Absence with reason
5	Mr. Nguyen Quang Luan	1/2	100%	Dismissed from April 24, 2025
6	Mr. Pham Sy Long	3/4	100%	Appointed from 24/4/2025

3. Supervisory activities of the Board of Directors over the Board of General Directors:

The Board of Directors supervises the Board of Directors and other management departments in the Company in accordance with the provisions of the Law and the Company's Charter. The Board of Directors has grasped the monthly production and business situation and promptly inspected, urged, and directed to ensure that the Company's operations comply with the provisions of the law and the Company's Charter in order to complete the 2025 production and business plan.

- The Chairman of the Board of Directors has presided over the meetings of the Board of Directors. In addition to the Board of Directors meetings, when urgent matters arise that require timely resolution to ensure the Company's operations and implementation are not disrupted, the Chairman of the Board of Directors proactively asks for the opinions of the Board of Directors' members in writing to make decisions on matters within the Board's authority. The Chairman of the Board of Directors, on behalf of the other Board members, participated in meetings with the Board of Directors and heads of functional departments to listen to reports on the production and business activities. At these meetings, the Chairman also provided direct guidance regarding business strategies and ensured compliance with internal management regulations, rules, and the Company's Charter.

3. Activities of subcommittees under the Board of Directors: No subcommittees are established. Board members perform their duties according to the assignment of tasks by Board members, including the specific assignment of each area to each member.

4. Resolutions/Decisions of the Board of Directors:

Year 2025, the Board of Directors of the Company issued 10 Resolutions and 02 Decision, specifically:

No .	No. Resolution/ Decision	Date	Content	Passage Rate
I	Resolution:			
1	No. 01/NQ-SP2- HĐQT	February 18, 2025	Resolution of the Board of Directors of the Company on the approval of the record date for finalizing the list of shareholders entitled to attend the 2025 Annual General Meeting of Shareholders.	100%
2	No. 04.03/NQ-SP2- HĐQT	March 04, 2025	Resolution of the Board of Directors of the Company on the Approval of changing the bank to open the deposit contract as collateral at the bank	60%

No.	No. Resolution/ Decision	Date	Content	Passage Rate
3	No. 01.04/NQ-SP2- HĐQT	April 1, 2025	Resolution of the Board of Directors of the Company on approving the plan and content of organizing the 2025 Annual General Meeting of Shareholders	100%
4	No. 24.04/NQ-SP2- HĐQT	April 24, 2025	Resolution of the Board of Directors of the Company on the Election of the Chairman of the Board of Directors of the Company for the term 2025 - 2030	100%
5	No. 04.06/NQ-SP2- HĐQT	June 04, 2025	Resolution of the Board of Directors of the Company on approving the release of the Company's collateral at the Bank	100%
6	No. 12.06/NQ-SP2- HĐQT	June 12, 2025	Resolution of the Board of Directors of the Company on Approval of the selection of an auditing firm to review the semi-annual financial statements and the financial statements for 2025	100%
7	No. 26.6/NQ-SP2- HĐQT	June 26, 2025	Resolution of the Company's Board of Directors on the approval of the plan to use the Company's available funds to provide short-term loans to organizations for business and production purposes.	60%
8	No. 22.8/NQ-SP2- HĐQT	Aug 22, 2025	Resolution of the Company's Board of Directors regarding the connection of the Nam Trung Ho Hydropower Plant cluster to the expanded 110kV Nam Toong substation and the transmission to the Su Pan 2 – Tang Loong 110kV power grid	100%
9	No. 03.8/NQ-SP2- HĐQT	Sep 3, 2025	Resolution of the Company's Board of Directors regarding the change of the General Director – Legal representative	100%
10	No.14.11/NQ-SP2- HĐQT	Nov 14, 2025	Resolution of the Company's Board of Directors regarding the withdrawal of collateral assets and short-term loans	60%
II	Decision			
1	No.03.9-1/NQ-SP2- HĐQT	September 3, 2025	The Board of Directors' decision on dismissing the position of General Director – Legal Representative	100%
2	No.03.9-2/NQ-SP2- HĐQT	September 3, 2025	The Board of Directors' decision on the appointment of the General Director – Legal Representative position	100%

III. Board of Control:

1. Information about the members of the Board of Supervisors (BOS):

No.	Board Member	Position	Date of starting/no longer being a member of the Board of Supervisors		Professional qualifications
			Date of appointment	Dismissal Date	
1	Mr. Ngo Quang Tien	Prefect	April 8, 2023	April 24, 2025	Bachelor of Accounting - Auditing
	Mr. Ngo Quang Tien	Member	April 24, 2025		Bachelor of Accounting - Auditing
2	Mr. Hoang Cong Huan	Prefect	April 24, 2025		Bachelor of Accounting - Auditing
3	Mr. Pham Van Tuyen	Member	June 27, 2020	April 24, 2025	Master of Finance and Accounting
4	Ms. Ha Thi Hai Yen	Member	June 27, 2020		Bachelor of Finance and Accounting

2. Board of Supervisors meeting:

No .	Board Member	Number of meetings attended by the Board of Supervisors	Meeting attendance rate	Reason for not attending the meeting
1	Mr. Ngo Quang Tien	3/3	100%	
2	Mr. Hoang Cong Huan	2/3	75%	Appointed from April 24, 2025
2	Mr. Pham Van Tuyen	1/2	50%	Dismissed from April 24, 2025
3	Ms. Ha Thi Hai Yen	3/3	100%	

3. Supervisory activities of the Board of Supervisors towards the Board of Directors, Executive Board, and shareholders:

Year 2025, the management and operation of the Board of Directors and the Board of General Directors have properly performed their rights and obligations in accordance with the provisions of the Enterprise Law, relevant laws, and the Company Charter, specifically:

- Fully attend meetings of the Board of Directors and supervise the Board of Directors' business management in accordance with the provisions of the Law and the Company's Charter.

- The Board of Directors has fully performed its management responsibilities, strictly complied with the Law on Enterprises, the Law on Securities, the Company Charter, the

Resolutions of the General Meeting of Shareholders, other provisions of the Law, and of the Company;

- The Resolutions and Decisions of the Board of Directors have been issued with the correct authority, approved with the high consensus of the Board of Directors, the contents of these Resolutions/Decisions comply with the provisions of the Law, the Resolutions of the General Meeting of Shareholders and the Company's Charter, are specifically implemented by the Board of Directors for each Department/Division, each unit, and at the same time, closely inspected and supervised, so any problems arising during the implementation process are always promptly resolved and resolved;

- Monitor the implementation of Resolutions and Decisions of the General Meeting of Shareholders and the Board of Directors.

- The Supervisory Board operates on the principle of independence. At each annual or extraordinary meeting of the General Meeting of Shareholders, the Supervisory Board reports to shareholders on the production and business situation, the progress of the implementation of tasks of the Board of Directors and the Board of General Directors, assesses the level of risk, and proposes solutions for the General Meeting of Shareholders to consider and decide.

4. Coordination of activities between the Supervisory Board and the Board of Directors, the Executive Board, and other management staff:

The Board of Directors, the General Director, and other management staff coordinate and create maximum conditions for the Board of Supervisors to do their jobs well in accordance with regulations.

The Board of Supervisors also advises the Board of Directors, the Executive Board, and other managers on the provisions of the Enterprise Law, decrees, and guiding circulars to ensure proper implementation of legal provisions.

IV. Board of Directors.

No.	Board Member	Date of birth	Professional qualifications	Date of appointment, dismissal
1	Mr. Nguyen Quang Luan	June 4, 1983	Bridge Engineer	September 3, 2025
2	Mr. Pham Sy Long	December 8, 1979	Master of Business Administration	September 3, 2025

3	Mr. Bui Quang Chung	May 25, 1980	Engineer in Hydrogeology and Engineering Geology/Bachelor of Laws	May 16, 2022
4	Mr. Bui Que Lam	June 15, 1975	Automation Engineer	March 01, 2023

V. Chief Accountant

No.	Full name	Date of birth	Professional qualifications	Date of appointment/removal
1	Mr. Nguyen Tho Hoang	October 4, 1979	Bachelor of Accounting - Auditing	December 01, 2024

VI. Corporate governance training:

The Company places great importance on training and fostering management for its employees, creating conditions for staff to attend training courses and professional training. However, the Company is far from the center, sometimes not possible to arrange time for members of the Board of Directors, members of the Supervisory Board, the General Director, and other managers to fully attend classes and seminars on corporate governance at facilities organized by the State Securities Commission.

VII. List of related persons of public companies and transactions of related persons of the Company with the Company itself:

1. List of related persons of the Company: (Appendix 1 attached)
2. Transactions between the Company and related persons of the Company; or between the Company and major shareholders, insiders, and related persons of insiders: No transactions.
3. Transactions between insiders of listed companies, related persons of insiders, and subsidiaries, companies controlled by listed companies: No transactions
4. Transactions between the company and other entities: No transactions

VIII. Stock transactions of insiders and related parties of insiders:

1. *List of insiders and related persons of insiders: Appendix No. 2 attached*
2. *Transactions of insiders and related persons on shares of listed companies:*

No	Transaction executor	Relationship with	Number of shares owned at the	Number of shares owned at the end of the period	Reasons for increasing
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		internal persons	beginning of the period				g, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Mai Dinh Nhat	Insider	2.111.450	10,24 %	851.450	4,13%	Selling shares
2	REE Energy Limited Liability Company	Mr. Nguyen Phong Danh - Member of the Board of Directors of Sử Pán 2 Hydropower Joint Stock Company – Head of Investment Department at REE Energy Limited Liability Company	5.956.800	28,88%	7.216.800	34,99%	Buy shares

IX. Other issues to note: None

Recipient:

- As per;
- State Securities Commission;
- Hanoi Stock Exchange;
- Website of the Company;
- Save: Office.

ON BEHALF OF THE BOARD OF DIRECTORS

Chairman



Nguyen Xuan Hong

Appendix 01 (Information Disclosure version)

List of related persons of listed companies as prescribed in Clause 34, Article 6 of the Law on Securities:

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company	Owner's Document Number, Date of Issue, Place of Issue	Head office address/Contact address	Start Date of Related Party Relationship	End Date of Related Person Relationship	Reason/Note	Relationship with the Company
1	An Xuan Energy Joint Stock Company	069C336688							Is a shareholder owning more than 10%
2	REE Energy Company Limited	003C053899							Is a shareholder owning more than 10%
3	Vietnam Industrial Park Investment Joint Stock Company	058C335666							Is a shareholder owning more than 10%
4	Nguyen Xuan Hong		Chairman of the BOD						Insider

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company	Owner's Document Number, Date of Issue, Place of Issue	Head office address	Start Date of Related Party Relationship	End Date of Related Person Relationship	Reason/Note	Relationship with the Company
5	Tran Duc Thanh		Member of the BOD						Insider
6	Nguyen Quang Luan	002C022951	Member of the BOD				April 24, 2025	- End of term	Insider
7	Pham Sy Long		Member of the BOD/						Insider
8	Nguyen Phong Danh	033C014708	Member of the BOD						Insider
9	Mai Dinh Nhat	- 003C059669 005C 190541	Member of the BOD						Insider
10	Ngo Quang Tien		Head of the BOS				April 24, 2025	End of term, Board of Supervisors for term 2025-2030 elects new Head of BOS	Insider
	Ngo Quang Tien		Member of the BOS						Insider

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company	Owner's Document Number, Date of Issue, Place of Issue	Head office address/Contact address	Start Date of Related Party Relationship	End Date of Related Person Relationship	Reason/Note	Relationship with the Company
11	Hoang Cong Huan		Head of the BOS					Shareholders' Meeting for the term 2025-2030 Election of the Board of Supervisors and the Board of Supervisors Election of the Head of the BOS	Insider
12	Pham Van Tuyen	058C073333	Member of the BOS				April 24, 2025	End of term	Insider
13	Ha Thi Hai Yen		Member of the BOS						Insider
14	Nguyen Quang Luan	002C022951	General Director - Legal Representative				September 3, 2025	The individual has submitted a resignation letter.	Insider
15	Pham Sy Long		General Director - Legal						Insider

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company	Owner's Document Number, Date of Issue, Place of Issue	Head office address/Contact address	Start Date of Related Party Relationship	End Date of Related Person Relationship	Reason/Note	Relationship with the Company
			Representative						
16	Bui Quang Chung	058C020014	Deputy General Director/ Person in Charge of Information Disclosure						Insider
17	Bui Que Lam		Deputy General Director						Insider
18	Nguyen Tho Hoang		Chief Accountant						Insider

Appendix 02 (Information Disclosure version)

List of Insiders and Related Persons of Insiders

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
1	Nguyen Hong Xuan		Chairman of the Board of Directors - Legal Representative						0	0	
1.01	Hoang Thi Hue								0	0	Mother
1.02	Nguyen Thi Hong Le								0	0	Wife
1.03	Nguyen Thi Ngan Chi								0	0	Biological child
1.04	Nguyen Hoang Vu										Biological child
1.05	Nguyen Thi Ngo								0	0	Sister
1.06	Nguyen Huu Tho								0	0	Brother
1.07	Nguyen Thi Chanh								0	0	Sister
2	Tran Duc Thanh		Member of the BOD						0	0	
2.01	Tran Duc Cung								0	0	Father

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
2.02	Nguyen Thi Hong								0	0	Mother
2.03	Pham Thi Hanh								0	0	Wife
2.04	Tran Duc Minh								0	0	Biological child
2.05	Tran Pham Hanh Khue								0	0	Biological child
2.06	Tran Duc Lam								0	0	Biological child
2.07	Tran Thi Minh Hanh								0	0	Younger sister
2.08	Tran Thi Minh Thuy								0	0	Younger sister
2.09	Pham Cong Vang								0	0	Father in law
2.10	Nguyen Thi Khich								0	0	Mother in law
2.11	An Xuan Energy Joint Stock Company	069C336688							5,204,430	25.24%	Organizations related to Mr. Tran Duc Thanh

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
2.12	Phuc Long Hydropower Joint Stock Company								0	0	Chairman of the Board of Directors
2.13	SiMaCai Joint Stock Company								0	0	Chairman of the Board of Directors
2.14	Nam Ma Hydropower Investment Joint Stock Company								0	0	Director
2.15	Nam Ma Hydropower Investment Joint Stock Company								0	0	Director

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
3	Pham Sy Long		Member of the Board of Directors/General Director/Legal representative						0	0	
3.01	Pham Thi Lien								0	0	Mother
3.02	Pham Thi Thanh								0	0	Wife
3.03	Pham Phuong Anh								0	0	Biological child
3.04	Pham Duc Huy								0	0	Biological child

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
3.05	Pham Tuan Minh								0	0	Biological child
3.06	Pham Thi Thuy								0	0	Older sister
3.07	Pham Sy Diep								0	0	Older brother
3.08	Pham Van Khanh								0	0	Father in law
3.09	Tong Thi Dinh								0	0	Mother in law
3.10	An Xuan Renewable Energy Joint Stock Company								0	0	Member of the Board of Directors

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
3.11	Nam Hydropower Investment Joint Stock Company								0	0	Member of the Board of Directors
3.12	Bat Dai Son Hydropower Joint Stock Company								0	0	Member of the Board of Directors
3.13	To Buong Hydropower Joint Stock Company								0	0	Chairman of the Board of Directors
4	Nguyen Phong Danh	033C014708	Member Non-executive Board of Directors						0	0	
4.01	Phan Xuan Hong Le								0	0	Wife
4.02	Nguyen Huy Phong								0	0	Father
4.03	Nguyen Thi Kieu Phuong								0	0	Mother
4.04	Nguyen Danh Khoi								0	0	Younger brother

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
4.05	Nguyen Thi Kim Khoi								0	0	Younger sister
4.07	Phan Xuan Toi								0	0	Father in law
4.08	Tran Duy Hong Mai								0	0	Mother in law
4.09	Tra Vinh Power Development Joint Stock Company								0	0	Member of the Board of Directors

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
4.10	Central Hydropower Joint Stock Company								0	0	Member of the Board of Directors
5	Mai Dinh Nhat	- 003C 059669 005C 190541	Member of the BOD						851.450	4,13%	
5.01	Mai Dinh Lan	007C 540 899							0	0	Father
5.02	Nguyen Thi To Anh								0	0	Mother
5.03	Nguyen Thi Xuan Thuy								0	0	Wife
5.04	Mai Thien Nhan								0	0	Biological child
5.05	Mai Diep Chi								0	0	Biological child
5.06	Mai Anh Quan								0	0	Biological child

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
5.07	Mai Thi Na Uy								0	0	Older sister
5.08	Mai Dinh Y								0	0	Younger brother
5.09	Nguyen Thanh								0	0	Father in law
5.10	Nguyen Thi Lan								0	0	Mother in law
5.11	Duyen Hai Wind Power Joint Stock Company								0	0	Member of the Board of Directors / Director
5.12	Indochina Power Development Joint Stock Company								0	0	Member of the Board of Directors
5.13	Tra Khuc 2 Hydropower Joint Stock Company								0	0	Member of the Board of Directors
6	Ngo Quang Tien		Member of the BOS						0	0	
6.01	Ngo Van Muoi								0	0	Father
6.02	Nguyen Thi Thiep								0	0	Mother
6.03	Lam Thi Xuan Trang								0	0	Wife

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
6.04	Ngo Nam Quoc								0	0	Biological child
6.05	Ngo Quang Tien								0	0	Younger brother
6.06	Ngo Thi Tuoi								0	0	Younger sister
7	Hoang Cong Huan		Head of the BOS						0	0	
7.01	Hoang Van Hung								0	0	Father
7.02	Hoang Thi Tam								0	0	Mother
7.03	Nguyen Van Nam								0	0	Father in law
7.04	Do Thi Thu								0	0	Mother in law
7.05	Nguyen Thi Thao								0	0	Wife
7.06	Hoang Yen Trang								0	0	Biological child
7.07	Hoang Nhat Minh								0	0	Biological child
7.08	Hoang Thi Thu Huong								0	0	Younger sister
7.09	Vietnam Industrial Park Investment								3,388.550	16,33	Deputy Director

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
	Joint Stock Company										
8	Ha Thi Hai Yen		Member of the BOS						0	0	
8.01	Ha Quang Tuong								0	0	Father
8.02	Tong Thi Hoa								0	0	Mother
8.03	Chu Duc Anh								0	0	Husband
8.04	Chu Duc Minh								0	0	Biological child
8.05	Chu Duc Phuc								0	0	Biological child
8.06	Ha Thi Hai Anh								0	0	Younger sister
9	Bui Quang Chung	058C020014	Deputy General Director/ Person in charge of information disclosure/ Person in charge of company management						0	0	
9.01	Bui Duc Tho								0	0	Father

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
9.02	Pham Thi Gao								0	0	Mother
9.03	Dang Thi Bac	731000							0	0	Wife
9.04	Bui Ha Linh								0	0	Biological child
9.05	Bui Ha Van								0	0	Biological child
9.06	Bui Thi Luong								0	0	Older sister
9.07	Bui Trung Kien								0	0	Older brother
9.08	Bui Quy Don								0	0	Younger brother
9.09	Dang Van Dam								0	0	Father in law
9.10	Nguyen Thi Dung								0	0	Mother in law
9.11	ENERGY VIETNAM Investment Company Limited								0	0	Director
9.12	Dak Doa Hydropower Joint Stock Company								0	0	Chairman of the Board of Directors

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
9.13	Song Da 11 Joint Stock Company								0	0	Head of the Supervisory Board
9.14	Dak Gleih Hydropower Joint Stock Company								0	0	Chairman of the Board of Directors
10	Bui Que Lam		Deputy General Manager						0	0	
10.1	Le Thi Kim								0	0	Mother
10.2	Le Thi Hong Hoa								0	0	Wife
10.3	Bui Le Que Hoang								0	0	Biological child
10.4	Bui Le Que Phong								0	0	Biological child
10.5	Bui Thi Kim Anh								0	0	Older sister
10.6	Le Quang Trong								0	0	Father in law
10.7	Tran Thi Hoan										Mother in law
10.8	SJE Energy Company Limited								0	0	Deputy Director

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Type of Identification Document of the Owner (Citizen ID Card, National ID Card, Passport, Business Registration Certificate)	Owner's ID Document Number	Date of issue	Place of issue	Head office address/Contact address	Number of shares owned at present	Current share ownership ratio	Note
11	Nguyen Tho Hoang		Chief Accountant						0	0	
11.1	Nguyen Thi Tuyen								0	0	Wife
11.2	Nguyen Ngoc Lam Oanh								0	0	Biological child
11.3	Nguyen Tho Hoang Bach								0	0	Biological child
11.4	Nguyen Tho Nghin								0	0	Father
11.5	Nguyen Thi Han								0	0	Mother
11.6	Nguyen Thi Hoa								0	0	Older sister
11.7	Pham Viet Cuong								0	0	Old brother-in-law
11.8	Nguyen Trung Chien								0	0	Father in law
11.9	Luong Thi Gai								0	0	Mother in law
11.10	Nguyen Thi Thanh Tuyen								0	0	Young sister in law
11.11	Nguyen Son Tung								0	0	Young sister in law

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11.1 2	SJE Energy Company Limited								0	0	Chief Accountant
11.1 3	Dak Hydropower Joint Stock Company								0	0	Member of the Supervisory Board
11.1 4	Dak Hydropower Joint Stock Company								0	0	Member of the Supervisory Board
11.1 5	To Buong Hydropower Joint Stock Company								0	0	Head of the Supervisory Board