

No: 216 /TB-TMB

Ha Noi, January 27th, 2026

**INFORMATION DISCLOSURE ON THE HANOI STOCK
EXCHANGE ELECTRONIC PORTAL**

Attention: - Hanoi Stock Exchange (HNX)

Name of the company: VINACOMIN - NORTHERN COAL TRADING JOINT STOCK COMPANY

Stock symbol: TMB

Address of head office: No 5, Phan Dinh Giot Street, Phuong Liet Ward, Hanoi

Tel: 024.38642793

Fax: 024.38642792

Person responsible for information disclosure: Nguyen Minh Hai

Position: Deputy General Director

Type of disclosed information: ☒ Periodic ☐ Unscheduled ☐ 24h ☐ Upon request

Northern Coal Trading Joint Stock Company – Vinacomin discloses information on the Corporate Governance Report for the year 2025. The above report was published on the Company's website on January 27, 2026, at: <http://thanmienbac.vn>.

I certify that the disclosed information above is true and take full legal responsibility for the content of the disclosed information.

Recipient:

- As above,
- BOD, BOS.
- CEO, Deputy CEO.
- Website: <http://thanmienbac.vn>
- Archived at Office, Company Secretary

**ON BEHALF OF THE GENERAL DIRECTOR
DEPUTY GENERAL DIRECTOR**



Nguyen Minh Hai

Hanoi, January 27th, 2025

No: **215**/BC-HĐQT

REPORT ON CORPORATE GOVERNANCE
For the year 2025

Attention: - Hanoi Stock Exchange.

Name of company: **VINACOMIN - NORTHERN COAL TRADING JOINT STOCK COMPANY.**

- Address of head office: No 5, Phan Dinh Giot Street, Phuong Liet Ward, Thanh Xuan District, Hanoi Capital.

- Telephone: 024.38642793; Fax: 024.38642792; Email: thanmienbac@yahoo.com;
Website: www.thanmienbac.vn

- Charter capital: 150 billion VND

- Stock symbol: TMB

- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.

- The implementation of internal audit: Implemented.

I. ACTIVITIES OD THE GENERAL MEETING OF SHAREHOLDERS

1. Activities of the Annual General Meeting of Shareholders for the year 2025

| No | Date | Contents |
|----|------------|--|
| 1 | 28/04/2025 | Approval of the Rules of Procedure of the General Meeting of Shareholders. |
| 2 | 28/04/2025 | Approval of the Agenda of the General Meeting of Shareholders. |
| 3 | 28/04/2025 | Approval of the General Director's Report summarizing production and business performance in 2024 and the production and business plan for 2025. |
| 4 | 28/04/2025 | Approval of the audited Financial Statements for 2024; the plan for profit distribution, appropriation to funds, and dividend payment for 2024. |
| 5 | 28/04/2025 | Approval of the report on remuneration, salaries, and other benefits paid to the Board of Directors, the Supervisory Board, and the Company Secretary in 2024; and the plan for remuneration, salaries, and other benefits for the Board of Directors, the Supervisory Board, and the Company Secretary in 2025. |
| 6 | 28/04/2025 | Approval of the Supervisory Board's appraisal report on: the production and business performance report and the audited Financial Statements for 2024; and the Supervisory Board's performance report for 2024. |
| 7 | 28/04/2025 | Approval of the selection of the auditing firm to audit the Company's Financial Statements for 2025. |

| | | |
|----|------------|---|
| 8 | 28/04/2025 | Approval of the report on the Board of Directors' performance in 2024. |
| 9 | 28/04/2025 | Approval of the authorization for the Board of Directors to decide on the execution of contracts and transactions with related parties as prescribed in Article 167 of the Law on Enterprises. |
| 10 | 28/04/2025 | Approval of the authorization for the Board of Directors to proactively decide on adjustments to the 2025 production and business plan and the 2025 investment and construction plan in accordance with TKV's coordinated business plan, as adjusted to actual market developments, business environment conditions, and the implementation progress of investment and construction activities. |
| 11 | 28/04/2025 | Submission and approval of the proposal on key targets orienting the Company's five-year plan for the period 2026–2030. |
| 12 | 28/04/2025 | Approval of the Minutes and the Resolution of the General Meeting of Shareholders. |

2. Activities of the Extraordinary General Meeting of Shareholders for the year 2025

| No | Date | Contents |
|-----------|-------------|--|
| 1 | | Approval of the Rules of Procedure of the General Meeting of Shareholders. |
| 2 | | Approval of the Agenda of the General Meeting of Shareholders. |
| 3 | | Approval of the Board of Directors' Report on the change of the capital representative and the representative of TKV participating in the Board of Directors of Northern Coal Trading Joint Stock Company – Vinacomin for the fourth term (2022–2027). |
| 4 | | Approval of the Board of Directors' Proposal on the dismissal and supplementary election of a member of the Board of Directors for the fourth term (2022–2027) |
| 5 | | Approval of the nomination of the candidate for supplementary election to the Board of Directors – Mr. Tran Dao |
| 6 | | Approval of the Minutes and the Resolution of the General Meeting of Shareholders on the dismissal and supplementary election of a member of the Board of Directors. |

I. BOARD OF DIRECTORS:

1. Information on the Board of Directors (BOD) Members:

| No. | BOD's member | Position | Start/End date | |
|-----|--------------------|---------------------------|------------------|----------------|
| | | | Appointment date | Dismissal date |
| 1 | Mr Pham Tuan Ngoc | Chairman | 01/03/2020 | 24/11/2025 |
| 2 | Mr Tran Dao | Chairman | 24/11/2025 | |
| 3 | Mr Ngo Quang Trung | Member of BOD | 01/06/2018 | |
| 4 | Mr Nguyen Minh Hai | Member of BOD | 01/01/2007 | |
| 5 | Mr Pham Ngoc Bao | Member of BOD | 26/4/2022 | |
| 6 | Mr Dinh Cong Nga | Independent member of BOD | 26/4/2022 | |

2. Meetings of the BOD:

| No. | BOD's member | Number of Meetings attended | Attendance rate | Reasons for absence |
|-----|--------------------|-----------------------------|-----------------|--|
| 1 | Mr Pham Tuan Ngoc | 53/65 | 82% | Dismissal of a Board member effective November 24, 2025 |
| 2 | Mr Tran Dao | 12/65 | 18% | Supplementary election of a Board member effective November 24, 2025 |
| 3 | Mr Ngo Quang Trung | 65/65 | 100% | |
| 4 | Mr Nguyen Minh Hai | 65/65 | 100% | |
| 5 | Mr Pham Ngoc Bao | 65/65 | 100% | |
| 6 | Mr Dinh Cong Nga | 65/65 | 100% | |

3. Supervisor activities of the BOD over the Executive Board:

- The Company's Board of Directors comprises 2 out of 5 members who are involved in the Company's executive management, including the General Director and the Deputy General Director. The provision of information and reports to non-executive members has been carried out regularly and sufficiently, ensuring timely support for the Board of Directors' direction and supervision of the Management Board.

- The Board of Directors has closely monitored the operations of the General Director and the executive apparatus, and has promptly considered and resolved arising matters within its authority, thereby facilitating the General Director and the executive apparatus in the conduct of management activities.

- Through the supervision process, the Board of Directors concurred with the report on production and business performance in 2024 and the production and business plan for 2025 as presented by the Company's executive apparatus at the 2025 Annual General Meeting of Shareholders; acknowledged the efforts of the Management Board and the executive apparatus in strictly complying with the resolutions of the General Meeting of Shareholders, the resolutions and decisions of the Board of Directors, and applicable laws. The Management Board has demonstrated unity and proactiveness in providing timely information and reports,

and in flexibly adjusting the implementation of the production and business plan in line with actual market developments, thereby increasing profits and stabilizing employment and income for employees.

4. Activities of subcommittees under the BOD: Not available.

5. Resolutions/Decisions of the BOD:

| No. | Date | Contents | Approval rate |
|-----|-------|---|---------------|
| 1 | 06/01 | Approval of cash gifts for delegates attending the 2024 year-end review and 2025 task implementation conference. | 100% |
| 2 | 10/01 | Approval of financial support for disadvantaged households on the occasion of Lunar New Year At Ty 2025 in Phuong Liet Ward, Thanh Xuan District, Hanoi. | 100% |
| 3 | 15/01 | Approval of the provisional allocation of the salary fund as 2024 operating expenses of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 4 | 16/01 | Approval of financial support for retirees' clubs and retired former employees of the Company and the Vietnam Coal Supply Corporation. | 100% |
| 5 | 23/01 | Approval of financial support for the construction of 20 houses for families of revolutionary contributors, martyrs' relatives, and poor and near-poor households living in temporary or dilapidated housing in Hai Duong Province in 2025. | 100% |
| 6 | 12/02 | Approval of the appointment of the Company's governance officer concurrently serving as Company Secretary of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 7 | 14/02 | Approval of the notice on adjustment of the 2025 investment plan implementation phase of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 8 | 14/02 | Review and opinion on the merger of Vinh Phu Coal Trading Company into Hanoi Coal Trading Company under Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 9 | 14/02 | Review and opinion on personnel arrangements at Vinh Phu Coal Trading Company after the merger into Hanoi Coal Trading Company. | 100% |
| 10 | 21/02 | Review and opinion on the assignment of the Acting Director of the Company's branch in Quang Ninh. | 100% |
| 11 | 25/02 | Approval of the establishment of Phu Tho Coal Trading Station under Hanoi Coal Trading Company. | 100% |
| 12 | 28/02 | Approval for Mr. Ngo Quang Trung to undertake a business trip to Laos. | 100% |
| 13 | 03/03 | Approval of the organization of the International Women's Day event on March 8, 2025. | 100% |
| 14 | 10/03 | Approval of the announcement of key targets orienting the five-year plan for the period 2026–2030. | 100% |
| 15 | 10/03 | Approval of the convening of the 2025 General Meeting of Shareholders. | 100% |
| 16 | 12/03 | Approval of the list of athletes and budget for participation in TKV's 2025 traditional sports competitions. | 100% |
| 17 | 17/03 | Approval of the organization of the Company's 2025 Pickleball Tournament. | 100% |

| No. | Date | Contents | Approval rate |
|-----|-------|--|---------------|
| 18 | 18/03 | Approval of the provision for inventory devaluation in 2024 of the Company's executive office and its affiliated units. | 100% |
| 19 | 19/03 | Review and opinion on gift distribution to delegates and employees on the occasion of the 30th anniversary of the establishment of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 20 | 20/03 | Approval of medical examination and treatment in 2025 for retired former senior executives and managers of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 21 | 24/03 | Approval of the promulgation of the Regulation on occupational safety and hygiene of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 22 | 25/03 | Approval of the salary fund to be accounted for as operating expenses in 2024 of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 23 | 25/03 | Approval of the direction for implementation of Directive No. 93/CT-TKV dated March 5, 2025 and Directive No. 110/CT-TKV dated March 14, 2025 of the Members' Council. | 100% |
| 24 | 25/03 | Approval of the finalization of remuneration for the Board of Directors, Supervisory Board, Company Secretary, and the finalization of salary for the Chairman of the Trade Union in 2024. | 100% |
| 25 | 31/03 | Approval of the method and amount for inventory devaluation provision for 2024. | 100% |
| 26 | 01/04 | Approval of the estimated production and business results for Q1 and the development of the Q2/2025 plan. | 100% |
| 27 | 02/04 | Approval of the decision on receipt of compensation, support, and site clearance. | 100% |
| 28 | 21/04 | Approval for Mr. Ngo Quang Trung and Mr. Pham Ngoc Bao to undertake overseas sightseeing and tourism. | 100% |
| 29 | 23/04 | Discussion and approval of the plan to increase charter capital from owners' equity. | 100% |
| 30 | 28/04 | Approval of the dismissal of the Chief Accountant and assignment of an officer to be in charge of the Finance and Accounting Department. | 100% |
| 31 | 29/04 | Approval for Mr. Ngo Quang Trung and Mr. Pham Ngoc Bao to undertake a business trip to South Africa. | 100% |
| 32 | 13/05 | Approval of the organizational restructuring plan converting from a two-tier unit to a one-tier unit of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 33 | 19/05 | Approval of the reappointment of the Director of Hanoi Coal Trading Company. | 100% |
| 34 | 26/05 | Approval of bonus payment to the full-time Chairman of the Trade Union for 2024. | 100% |
| 35 | 28/05 | Approval of the appointment of the Director of Ha Bac Coal Trading Company. | 100% |
| 36 | 04/06 | Approval of the exercise of cash dividend payment for 2024. | 100% |
| 37 | 16/06 | Approval of the implementation of Official Letter No. 3456/TKV-DT dated June 13, 2025 on the adjustment of the 2025 investment phase. | 100% |
| 38 | 18/06 | Approval of the organizational arrangement and workforce staffing plan of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |

| No. | Date | Contents | Approval rate |
|-----|-------|--|---------------|
| 39 | 20/06 | Approval for Mr. Ngo Quang Trung to undertake a business trip to Germany. | 100% |
| 40 | 03/07 | Approval of the estimated production and business results for Q2/2025 and the development of the Q3/2025 plan. | 100% |
| 41 | 08/07 | Approval in principle of the extension of land use rights for the land plot at No. 75 Quang Trung Street, Ngoc Trao Ward, Thanh Hoa City. | 100% |
| 42 | 21/07 | Approval of the Regulation on investment and construction management of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 43 | 29/07 | Approval of the Regulation on the organization and operation of legal affairs within Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 44 | 04/08 | Approval of the decision to develop the investment plan for 2026 and the period 2026–2030 of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 45 | 05/08 | Approval of the plan for liquidation and disposal of coal remaining on the sunk vessel Cong Thanh 07 in Ha Tinh sea area. | 100% |
| 46 | 06/08 | Approval of the plan for financial contribution to the 2025 National Mining Science and Technology Conference. | 100% |
| 47 | 08/08 | Approval of the 2025 overseas travel program for employees of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 48 | 18/09 | Approval of the organization of the Vietnamese Women’s Day event on October 20, 2025. | 100% |
| 49 | 30/09 | Approval of the performance review and the estimated production and business results for Q3/2025; development of the Q4/2025 plan. | 100% |
| 50 | 07/10 | Approval of the convening of an Extraordinary General Meeting of Shareholders in 2025 for the dismissal and supplementary election of Board members at TKV’s proposal. | 100% |
| 51 | 09/10 | Approval of financial support for employees’ families suffering property damage caused by Typhoons No. 10 (Bualoi) and No. 11 (Matmo). | 100% |
| 52 | 28/10 | Approval of the update and adjustment of the 2026 investment plan following review. | 100% |
| 53 | 17/11 | Approval of the adjustment of the 2025 investment plan. | 100% |
| 54 | 24/11 | Approval of the election of Mr. Tran Dao as Chairman of the Board of Directors for the fourth term (2022–2027). | 100% |
| 55 | 26/11 | Approval of the assignment of duties to members of the Board of Directors. | 100% |
| 56 | 05/12 | Approval of gift distribution to delegates attending the 2025 production and business review conference and the 2026 Employees’ Conference. | 100% |
| 57 | 11/12 | Approval of the establishment of the Southern Coal Trading Station under Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 58 | 15/12 | Approval of changes to business lines in the Enterprise Registration Certificate. | 100% |
| 59 | 16/12 | Approval of the outline and cost estimate for preparatory works of the Quang Tri coal transshipment, processing, and trading project. | 100% |

| No. | Date | Contents | Approval rate |
|-----|-------|--|---------------|
| 60 | 18/12 | Approval of authorization for the General Director to execute contracts arising from production and business activities in 2026. | 100% |
| 61 | 22/12 | Approval of the reorganization of second-tier units under Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 62 | 26/12 | Approval of the 2026 investment plan. | 100% |
| 63 | 30/12 | Approval of the appointment of the auditing firm for 2026. | 100% |
| 64 | 30/12 | Approval of the Operation Regulation of Bac Trung Bo Company – a branch of Northern Coal Trading Joint Stock Company – Vinacomin. | 100% |
| 65 | 31/12 | Approval of the review of production and business performance for Q4 and the year 2025; approval of key provisional targets for the 2026 production and business plan and development of the Q1/2026 plan. | 100% |

III. BOARD OF SUPERVISORS/ AUDIT COMMITTEE

1. Information about members of Board of Supervisors or Audit Committee (BKS):

| No. | Members of Board of Supervisors | Position | The date becoming/ceasing to be the member of the Board of Supervisor | Qualification |
|-----|---------------------------------|------------|---|--|
| 1 | Dang Thi Hai Ha | BOS leader | 26/04/2016 | Bachelor of Accounting and BA at National Economics University |
| 2 | Cao Thi Nhung | Member | 01/12/2017 | Bachelor of Business Administration |
| 3 | Bui Thi Phuong Thao | Member | 26/04/2016 | Bachelor of Business Accounting, Masters of Economic |

2. Meetings of Board of Supervisors or Audit Committee

| No | Members of Board of Supervisors | Number of meetings attended | Attendance rate | Voting rate | Reasons for absence |
|----|---------------------------------|-----------------------------|-----------------|-------------|---------------------|
| 1 | Dang Thi Hai Ha | 13 | 100% | 100% | |
| 2 | Cao Thi Nhung | 13 | 100% | 100% | |
| 3 | Bui Thi Phuong Thao | 13 | 100% | 100% | |

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors or Audit Committee:

The Supervisory Board has operated in strict accordance with the items approved at the 2024 and 2025 Annual General Meetings of Shareholders, as well as the provisions set forth in the Company's Charter and the law:

- Monitor the implementation of the production and business plans..
- Monitor the management and utilization of state capital and state assets within the Company.
- Monitor the results of the company's production and business activities.

- Monitor compliance with laws on investment, management, and utilization of state capital in the enterprise, as well as the promulgation and implementation of the enterprise's financial management regulations.

- Monitor the implementation of wage policies, remuneration, bonuses, responsibility allowances, and other benefits for employees, enterprise managers, controllers, and representatives of the enterprise's share capital in accordance with the Labor Code.

4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers

- The Supervisory Board receives support from the Board of Directors, the CEO, and the company's management team in carrying out its duties; it participates in meetings of the Board of Directors, the Executive Board, and other company meetings (when invited) with a spirit of responsibility, constructiveness, and cooperation.

- It proactively coordinates with the company's specialized departments and its branches/affiliated units in implementing its tasks.

- It regularly maintains communication between the members of the Supervisory Board and the Board of Directors, the Executive Board, and the company's shareholders.

5. Other activities of the Board of Supervisors and Audit Committee: Non

IV. BOARD OF MANAGEMENT

| No. | Member of Board of Management | Date of Birth | Qualifications | Date of appointment/dismissal of members of the Board of Management |
|-----|---|---------------|---|---|
| 1 | Ngô Quang Trung General Director | 03/10/1971 | Economics and Business Administration Engineer | 01/06/2018 |
| 2 | Nguyễn Minh Hải Deputy General Director | 13/01/1972 | Bachelor of Economic in Accounting, Master of Business Administration | 26/04/2016 |
| 3 | Nguyễn Thành Nam Deputy General Director | 27/9/1974 | Bachelor of Economics, Foreign Languages, and Political Science | 17/02/2023 |
| 4 | Hoàng Minh Long Deputy General Director | 26/02/1979 | Mining Engineer, Master of Political Science | 16/4/2024 |

V. HEAD OF FINANCE AND ACCOUNTING DEPARTMENT

| Name | Date of Birth | Qualifications | Date of appointment/dimissal |
|----------------|---------------|--|------------------------------|
| Tran Thi Duong | 24/03/1990 | Master's Degree in Finance and Banking | 20/08/2025 |

VI. CORPORATE GOVERNANCE TRAINING:

Members of the Board of Directors, Supervisory Board, and the Company's Executive Board have participated in training courses provided by the Vietnam Coal and Mineral Industries Group – Vinacomin.

VII. THE LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY

1. The list of affiliated persons of the Company.

| No. | Name | Securities trading account | Position at the Company | NSH No. *, date of issue, place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the Company |
|-----|-----------------|----------------------------|----------------------------------|---|---|--|--|--|-------------------------------|
| 1 | Pham Tuan Ngoc | | Chairman | 034068000051 Issue Date 10/6/2014 at Police Department of Social Affairs and Humanities Management | No 157 Nguyen Ngoc Nai Str, Phuong Liet Ward, Hanoi | 01/03/2020 | 24/11/2025 | Dismissal pursuant to the Resolution of the General Meeting of Shareholders | Internal member |
| 2 | Tran Dao | | Chairman | 022076000425 Issue Date 11/8/2021 at Police Department of Social Affairs and Humanities Management | Group 15, Area 2B, Ha Long Ward, Quang Ninh Province. | 24/11/2025 | | Supplementary election pursuant to the Resolution of the General Meeting of Shareholders | Internal member |
| 3 | Ngo Quang Trung | | Member of the Board of Directors | 040071034067 Issue Date: 23/06/2021 at Police Department of Social Affairs and Humanities Management | Ad 9-37 Anh Dao, Vinhomes Riverside, Phuc Loi Ward, Hanoi | 01/06/2018 | | | Internal member |
| | | | General Director | | | | | | |
| 4 | Nguyen Minh Hai | | Member of the Board of Directors | 031072009037 Issue Date: 13/4/2021 at Hanoi city's public security | Room. 306 - VP 2, peninsula LinhDam, Hoang Liet Ward, Hanoi | 01/01/2007 | | | Internal member |
| | | | Deputy General Director | | | | | | |
| | | | Information Disclosure Officer | | | | | | |

| No. | Name | Securities trading account | Position at the Company | NSH No.*, date of issue, place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the Company |
|-----|------------------|----------------------------|---|---|---|--|--|--------|-------------------------------|
| 5 | Phạm Ngọc Bao | | Member of the Board of Directors | 022067000017 Issue Date: 10/7/2021 at Police Department of Social Affairs and Humanities Management | No 47A Alley 121 Thai Ha Str, Dong Da Ward Hanoi | 26/4/2022 | | | Internal member |
| 6 | Đinh Công Nga | | Directly Subordinate Member of the Board of Directors | 001053008893 Issue Date: 24/7/2021 at Police Department of Social Affairs and Humanities Management | No 11, Phan Dinh Giot Str, Phuong Liet Ward, Hanoi | 26/4/2022 | | | Internal member |
| 7 | Nguyễn Thanh Nam | | Deputy General Director | 022074002881 Issue Date: 15/4/2021 at Police Department of Social Affairs and Humanities Management | Cluster 5, Tu Liem Ward, Hanoi | 17/02/2023 | | | Internal member |
| 8 | Hoàng Minh Long | | Deputy General Director | 022079004442 Issue Date: 10/8/2021 at Police Department of Social Affairs and Humanities Management | Cluster 4, 4B Hong Hai Area, Ha Long Ward, Quang Ninh | 16/4/2024 | | | Internal member |
| 9 | Trần Thị Dương | | In Charge of Accounting Department | 040190015689 Issue Date: 03/09/2024 at Police Department of Social Affairs and Humanities Management | Apartment 3012, Block C, Vinaconex 2 Building, Kim Van Kim Lu Urban Area, Dinh Cong Ward, Hanoi City, Vietnam | 28/05/2025 | | App | Internal member |

| No. | Name | Securities trading account | Position at the Company | NSH No.*, date of issue, place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the Company |
|-----|---------------------|----------------------------|--|---|--|--|--|--------|-------------------------------|
| 10 | Đặng Thị Hai Ha | | Chief of the Supervisory Board | 001171021575 Issue Date: 14/01/2020 at Police Department of Social Affairs and Humanities Management | Alley 1 Phan Dinh Giot Str, Phuong Liet Ward, Hanoi | 01/01/2007 | | | Internal member |
| 11 | Cao Thi Nhung | | Member of the Supervisory Board | 030182015606 Issue Date: 19/08/2021 at Police Department of Social Affairs and Humanities Management | Phap Van Urban Area, Yen So Ward, Hanoi City | 01/12/2017 | | | Internal member |
| 12 | Bui Thi Phuong Thao | | Member of the Supervisory Board | 022188006711 Issue Date: 10/04/2021 at Police Department of Social Affairs and Humanities Management | Apartment 502, Building CT1-2, Me Tri Ha Urban Area, Tu Liem Ward, Hanoi City, Vietnam | 26/04/2016 | | | Internal member |
| 13 | Nguyen Thanh Hung | | Person in charge of corporate governance – Company Secretary | 030083000697 Issue Date: 13/05/2024 at Police Department of Social Affairs and Humanities Management | No. 02/207, Le Loi Street, Gia Vien Ward, Hai Phong City, Vietnam | 19/02/2025 | | App | Internal member |
| 14 | Tran Minh Tam | | Head of Internal Audit | 034181001150 Issue Date: 25/04/2021 at Police Department of Social Affairs and Humanities Management | No. 8, Alley 1, Phan Dinh Giot Street, Phuong Liet Ward, Hanoi City, Vietnam | 01/4/2021 | | | Internal member |

2. Transactions between the Company and its related parties; or between the Company and major shareholders, insiders, or related persons of insiders:

The Board of Directors approved the implementation of contracts and transactions between the Company and Vietnam National Coal and Mineral Industries Group (TKV), its subsidiaries and affiliated units; and the implementation of contracts and transactions between the Company and enterprises related to the Company's managers, including Vinacomin Inspection Joint Stock Company and Cam Pha Coal Trading Joint Stock Company – Vinacomin.

The above-mentioned enterprises are related parties of the Company's managers, as Mr. Tran Dao – Chairman of the Company's Board of Directors – concurrently holds the position of Chairman at these enterprises.

3. Transactions between the Company's insiders or their related persons and the Company's subsidiaries or entities under the Company's control: Non

4. Transactions between the Company and other parties: Non

VIII. SHARE TRANSACTIONS BY INSIDERS AND THEIR RELATED PERSONS

1. List of Insiders and Their Related Persons: See Attached Appendix

2. Transactions by Insiders and Their Related Persons Involving the Company's Shares:

Non

IX. OTHER ISSUES TO BE NOTED: Non

Recipients:

- As above;
- Board of Directors (BOD), Supervisory Board (e-copy);
- Deputy General Directors (e-copy);
- Chief Accountant (e-copy);
- Archived at Office, Company Secretary.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



Tran Dao

CORPORATE GOVERNANCE INFORMATION FOR THE YEAR 2025

Company name: Vinacomin - Northern Coal Trading Joint Stock Company

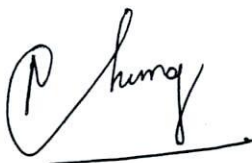
Stock code: TMB

| No. | Evaluation criteria | 2025 | |
|-----|---|---------------------------|---|
| | | Answer | Provide additional information (if any) |
| 1 | State shareholder ownership ratio (%) | 67,44 | |
| 2 | Company Model (1 or 2) - 1: According to Point a, Clause 1, Article 137 of the Law on Enterprise 2020 - 2: According to Point b Clause 1 Article 137 of the Law on Enterprises 2020 | 1 | |
| 3 | Number of legal representatives of the company | 1 | |
| 4 | Charter amended according to the Law on Enterprises 2020 (Yes/No)? | Yes | |
| 5 | The company has an internal regulation on corporate governance according to the Law on Enterprises 2020 and Decree 155/2020/ND-CP | Yes | |
| 6 | Date of Annual / Extraordinary General Meeting of Shareholders | 28/04/2025; 24/11/2025 | |
| 7 | Date of posting documents of the General Meeting of Shareholders during the reporting period | 04/04/2024; 07/10/2025 | |
| 8 | Date of announcement of Resolution and Minutes of the General Meeting of Shareholders | 28/04/2025; 24/11/2025 | |
| 9 | How many times did the company successfully organize the General Meeting of Shareholders (1/2/3) | 1 | |
| 10 | Has the company been sued regarding the organization of the General Meeting of Shareholders, Resolution of the General Meeting of Shareholders? (Yes/No) | No | |
| 11 | Number of times the Stock Exchange has reminded or noted regarding the organization and disclosure of information about the General Meeting of Shareholders | No | |
| 12 | Number of times the State Securities Commission has reminded or noted regarding the organization and disclosure of information about the General Meeting of Shareholders | No | |
| 13 | Number of Board Members | 5 | |
| 14 | Number of Independent Board Members | 1 | |
| 15 | Chairman of the Board concurrently holds the position of General Director/Director (Yes/No) | No | |
| 16 | Number of Board meetings | 65 | |
| 17 | Sub-committees under the Board of Directors (Yes/No) | No | |
| 18 | Name of subcommittees under the Board of Directors | No | |
| 19 | Does the company have an Independent Board Member in charge of salary, bonus and personnel issues (Yes/No) | No | |
| 20 | Does the company appoint a person in charge of Corporate Governance | Yes | |



| No. | Evaluation criteria | 2025 | |
|-----|---|--------|---|
| | | Answer | Provide additional information (if any) |
| 21 | Does the Head of the Supervisory Board work full-time at the company? | Yes | |
| 22 | Number of Supervisory Board Members | 3 | |
| 23 | Number of supervisors who are related to members of the Board of Directors, Director/General Director, and other managers (list the names of related supervisors, if any) | No | |
| 24 | Number of supervisors holding management positions (list the names and positions, if any) | No | |
| 25 | Number of supervisors who are in the accounting and finance department or employees of the auditing company that audits the company within 3 years before being elected to the Supervisory Board (Yes/No) | No | |
| 26 | Number of Supervisory Board meetings | 13 | |
| 27 | Does the company have an audit committee (Yes/No) | No | |
| 28 | Is the audit committee under the Board of Directors? | No | |
| 29 | Number of Audit Committee members | No | |
| 30 | Number of Audit Committee meetings | No | |
| 31 | Does the company's website provide full information to shareholders as required? (Yes/No) | Yes | |
| 32 | Does the company pay dividends within 6 months from the end of the Annual General Meeting of Shareholders (Yes/No) | Yes | |
| 33 | Has the company been reminded or noted by the Stock Exchange or the State Securities Commission regarding transactions with related parties? (Yes/No) | No | |

Preparer



Nguyen Thanh Hung

Information Disclosure Officer



Nguyen Minh Hai

LIST OF INSIDERS AND PERSONS/ORGANIZATIONS RELATED TO INSIDERS

Stock Code:

TMB

Company Name:

CTCP Kinh doanh than miền Bắc-Vinacomin

Record Date: 27/01/2025

| No. | Stock Code | Full Name | Position at the Company | Relationship with the Insider | Type of Identity Document | Identity Document | Date of Issue |
|------|------------|----------------------|--|-------------------------------|---------------------------|-------------------|---------------|
| | | Insider | | | | | |
| 1 | | Tran Dao | Chairman of the Board of Directors | | CCCD | | |
| 1.1 | | Đỗ Thị Hật | | Biological Mother | CCCD | | |
| 1.2 | | Lại Thị Hằng | | Wife | CCCD | | |
| 1.3 | | Trần Đức | | Biological Child | CCCD | | |
| 1.4 | | Trần Quang | | Biological Child | CCCD | | |
| 1.5 | | Trần Thị Hiền | | Sibling | CCCD | | |
| 1.6 | | Trần Đại Nghĩa | | Sibling | CCCD | | |
| 1.7 | | Đặng Thế Vân | | Brother-in-law | CCCD | | |
| 1.8 | | Nguyễn Thị Thương Hà | | Sister in - law | CCCD | | |
| 2 | | Ngo Quang Trung | General Director – Member of the Board of Directors | | CCCD | | |
| 2.1 | | Ly Thi Cu | | Biological Mother | CCCD | | |
| 2.2 | | Duong Thuy Linh | | Wife | CCCD | | |
| 2.3 | | Ngo Thuy Anh | | Biological Child | | | |
| 2.4 | | Ngo Anh Thu | | Biological Child | | | |
| 2.5 | | Ngo Linh Anh | | Biological Child | | | |
| 2.6 | | Ngo Thi Mai Chi | | Sibling | CCCD | | |
| 2.7 | | Ngo Thu Trang | | Sibling | CCCD | | |
| 2.8 | | Nguyen Truong Son | | Brother-in-law | CCCD | | |
| 2.9 | | Hoang Thanh Tung | | Brother-in-law | CCCD | | |
| 2.10 | | Dương Mạnh Hùng | | Farther-in-law | CCCD | | |
| 2.11 | | Nguyễn Thị Minh Tiến | | Mother-in-law | CCCD | | |
| 3 | | Nguyen Minh Hai | Deputy General Director – Member of the Board of Directors | | CCCD | | |
| 3.1 | | Ngo Thi Kim Dung | | Wife | CCCD | | |
| 3.2 | | Nguyen Tung Duong | | Biological Child | CCCD | | |
| 3.3 | | Nguyen Tuan Minh | | Biological Child | CCCD | | |
| 3.4 | | Nguyen Thi Thu Ha | | Sibling | CCCD | | |
| 3.5 | | Ngô Tiến Dũng | | Farther-in-law | CCCD | | |
| 3.6 | | Nguyễn Thị Nhật | | Mother-in-law | CCCD | | |



| No. | Stock Code | Full Name | Position at the Company | Relationship with the Insider | Type of Identity Document | Identity Document | Date of Issue |
|------|------------|----------------------|----------------------------------|-------------------------------|---------------------------|-------------------|---------------|
| 4 | | Pham Ngoc Bao | Member of the Board of Directors | | CCCD | | |
| 4.1 | | Nguyen Thi Thu Hang | | Wife | CCCD | | |
| 4.2 | | Pham Ngoc Thu Trang | | Biological Child | CCCD | | |
| 4.3 | | Pham Viet Hoang | | Biological Child | CCCD | | |
| 4.4 | | Dao Thanh Tung | | Son-in-law | CCCD | | |
| 4.5 | | Nguyen Viet Hoe | | Farther-in-law | CCCD | | |
| 4.6 | | Vu Thi Thoa | | Mother-in-law | CCCD | | |
| 5 | | Dinh Cong Nga | Member of the Board of Directors | | CCCD | | |
| 5.1 | | Do Thi Lieu | | Wife | CCCD | | |
| 5.2 | | Dinh Thi Hai Van | | Biological Child | CCCD | | |
| 5.3 | | Dinh Hoang Anh | | Biological Child | CCCD | | |
| 5.4 | | Dinh Thi Thuy | | Biological Child | CCCD | | |
| 5.5 | | Dinh Cong Phan | | Sibling | CCCD | | |
| 5.6 | | Dinh Thi Phuong | | Sibling | CCCD | | |
| 5.7 | | Dinh Thi Huong | | Sibling | CCCD | | |
| 5.8 | | Nguyen Thi Nguyet | | Sister-in-law | CCCD | | |
| 5.9 | | Luu Ba Tien | | Brother-in-law | CCCD | | |
| 5.10 | | Nguyen Thi Dung | | Mother-in-law | CCCD | | |
| 6 | | Nguyen Thanh Nam | Deputy General Director | | CCCD | | |
| 6.1 | | Tran Thi Thuy An | | Biological Mother | CCCD | | |
| 6.2 | | Ngo Thi Thu Thuy | | Wife | CCCD | | |
| 6.3 | | Nguyen Thanh Long | | Biological Child | CCCD | | |
| 6.4 | | Nguyen Thanh Hung | | Biological Child | CCCD | | |
| 6.5 | | Nguyen Thi Bich Thuy | | Sibling | CCCD | | |
| 6.6 | | Ngo Van Troi | | Farther-in-law | CCCD | | |
| 6.7 | | Vu Thi Sanh | | Mother-in-law | CCCD | | |
| 6.8 | | Nguyen Dai Hue | | Brother-in-law | CCCD | | |
| 7 | | Hoang Minh Long | Deputy General Director | | CCCD | | |
| 7.1 | | Nguyen Thi Dinh | | Biological Mother | CCCD | | |
| 7.2 | | Ha Thi Huyen Phuong | | Wife | CCCD | | |
| 7.3 | | Hoang Ha Duy | | Biological Child | CCCD | | |
| 7.4 | | Hoang Ha Phuong Uyen | | Biological Child | | | |
| 7.5 | | Hoang Gia Khanh | | Biological Child | | | |
| 7.6 | | Hoang Minh Thai | | Sibling | CCCD | | |
| 7.7 | | Hoang Minh Phu | | Sibling | CCCD | | |
| 7.8 | | Ha Van Sinh | | Farther-in-law | CCCD | | |
| 7.9 | | Chu Thi Dai | | Mother-in-law | CCCD | | |
| 7.10 | | Pham Thuy Hang | | Sister-in-law | CCCD | | |
| 7.11 | | Tran Thi Minh | | Sister-in-law | CCCD | | |
| 8 | | Tran Thi Duong | Head of Finance and Accounting | | CCCD | | |
| 8.1 | | Nguyen Hoang Diep | | Husband | CCCD | | |
| 8.2 | | Nguyen Thuy Van | | Biological Child | | | |
| | | Nguyen Lam Nhat | | Biological Child | | | |

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| No. | Stock Code | Full Name | Position at the Company | Relationship with the Insider | Type of Identity Document | Identity Document | Date of Issue |
|------|------------|---------------------|------------------------------------|-------------------------------|---------------------------|-------------------|---------------|
| 8.3 | | Nguyen Thi Nga | | Biological Mother | CCCD | | |
| 8.4 | | Tran Thi Thuy | | Sibling | CCCD | | |
| 8.5 | | Tran Thi Hien | | Sibling | CCCD | | |
| 8.6 | | Tran Thi Duyen | | Sibling | CCCD | | |
| 8.7 | | Tran Thi Quynh | | Sibling | CCCD | | |
| 8.8 | | Tran Thi Diep | | Sibling | CCCD | | |
| 8.9 | | Tran Thi Linh | | Sibling | CCCD | | |
| 8.10 | | Nguyen Hoang Dao | | Farther-in-law | CCCD | | |
| 8.11 | | Nguyen Thi Dieu | | Mother-in-law | CCCD | | |
| 8.12 | | Ho Trong Huyen | | Brother-in-law | CCCD | | |
| 8.13 | | Nguyen Phuc Quang | | Brother-in-law | CCCD | | |
| 8.14 | | Vu Manh Hung | | Brother-in-law | CCCD | | |
| 8.15 | | Nguyen Canh Phuc | | Brother-in-law | CCCD | | |
| 9 | | Dang Thi Hai Ha | Head of the Supervisory Board | | CCCD | | |
| 9.1 | | Dang Dinh Giang | | Biological Father | CCCD | | |
| 9.2 | | Ngo Thi Hoi | | Biological Mother | CCCD | | |
| 9.3 | | Hoang Quoc Trung | | Husband | CCCD | | |
| 9.4 | | Hoang Ha Vy | | Biological Child | CCCD | | |
| 9.5 | | Dang Thi Hong Ha | | Sibling | CCCD | | |
| 9.6 | | Dang Viet Ha | | Sibling | CCCD | | |
| 9.7 | | Le Tien Dong | | Brother-in-law | CCCD | | |
| 9.8 | | Nguyen Thi Thuy Van | | Sister-in-law | CCCD | | |
| 10 | | Cao Thi Nhung | Member of the Supervisory Board | | CCCD | | |
| 10.1 | | Nguyen Van Manh | | Husband | CCCD | | |
| 10.2 | | Nguyen Minh Tu | | Biological Child | CCCD | | |
| 10.3 | | Nguyen Quy Duc | | Biological Child | | | |
| 10.4 | | Cao Van Tien | | Biological Father | CCCD | | |
| 10.5 | | Nguyen Thi Nhat | | Biological Mother | CCCD | | |
| 10.6 | | Cao Van Tinh | | Sibling | CCCD | | |
| 10.7 | | Nguyen Văn Minh | | Farther-in-law | CCCD | | |
| 10.8 | | Nguyen Thi Thang | | Mother-in-law | CCCD | | |
| 11 | | Bui Thi Phuong Thao | Member of the Supervisory Board | | CCCD | | |
| 11.1 | | Nguyen Van Giang | | Husband | CCCD | | |
| 11.2 | | Nguyen Quang Minh | | Biological Child | | | |
| 11.3 | | Nguyen Minh Anh | | Biological Child | | | |
| 11.4 | | Bui Quang Bay | | Biological Father | CCCD | | |
| 11.5 | | Le Thi Thanh Huong | | Biological Mother | CCCD | | |
| 11.6 | | Bui Phuong Cuc | | Sibling | CCCD | | |
| 11.7 | | Dang Van Bac | | Brother-in-law | CCCD | | |
| 11.8 | | Nguyen Van Tho | | Farther-in-law | CCCD | | |
| 11.9 | | Nguyen Thi Giap | | Mother-in-law | CCCD | | |
| 12 | | Nguyen Thanh Hung | Corporate Governance Officer – SCT | | CCCD | | |

| No. | Stock Code | Full Name | Position at the Company | Relationship with the Insider | Type of Identity Document | Identity Document | Date of Issue |
|-------|------------|---|-------------------------|---|---------------------------|-------------------|---------------|
| 12.1 | | Nguyen Hung Vy | | Biological Father | CCCD | | |
| 12.2 | | Ha Thi Cap | | Biological Mother | CCCD | | |
| 12.3 | | Nguyen Hong Phuc | | Sibling | CCCD | | |
| 12.4 | | Nguyen Xuan Viet | | Sibling | CCCD | | |
| 12.5 | | Nguyen Duc Huyen | | Sibling | CCCD | | |
| 12.6 | | Nguyen Thi Hoai | | Sibling | CCCD | | |
| 12.7 | | Nguyen Thi Hien | | Sibling | CCCD | | |
| 12.8 | | Nguyen Thi Hue | | Sibling | CCCD | | |
| 12.9 | | Bui Phuong Huyen | | Wife | CCCD | | |
| 12.10 | | Nguyen Phuong Ha Linh | | Biological Child | CCCD | | |
| 12.11 | | Nguyen Minh Hai | | Biological Child | CCCD | | |
| 12.12 | | Nguyen Thi Hoan | | Mother-in-law | CCCD | | |
| 12.13 | | Mai Thi Tuyet | | Sister-in-law | CCCD | | |
| 12.14 | | Tran Thi Huong | | Sister-in-law | CCCD | | |
| 12.15 | | Nguyen Thuy Linh | | Sister-in-law | CCCD | | |
| 12.16 | | Bui Van Tran | | Brother-in-law | CCCD | | |
| 13 | | Tran Minh Tam | Head of Internal Audit | | CCCD | | |
| 13.1 | | Tran Duc Thuan | | Biological Father | CCCD | | |
| 13.2 | | Nguyen Thi Tuoi | | Biological Mother | CCCD | | |
| 13.3 | | Vu Khanh Linh | | Biological Child | CCCD | | |
| 13.4 | | Vu Hong Khoa | | Biological Child | CCCD | | |
| 13.5 | | Tran Minh Ngan | | Sibling | CCCD | | |
| 13.6 | | Tran Khanh Duy | | Brother-in-law | CCCD | | |
| | | Organization related to an insider | | | | | |
| 1 | | Vietnam National Coal – Mineral Industries Group (Vinacomin – TKV) and its subsidiaries and affiliated units. | | Mr. Tran Dao, Chairman of the Board of Directors, is the representative of TKV's capital contribution in the Company | | | |
| 2 | | Inspection Joint Stock Company - Vinacomin | | Mr. Tran Dao, Chairman of the Board of Directors of the Company, concurrently holds the position of Chairman of the Board of Directors of this company. | | | |

| No. | Stock Code | Full Name | Position at the Company | Relationship with the Insider | Type of Identity Document | Identity Document | Date of Issue |
|-----|------------|--|-------------------------|---|---------------------------|-------------------|---------------|
| 3 | | Cam Pha Coal Trading Joint Stock Company – Vinacomin | | Mr. Tran Dao, Chairman of the Board of Directors of the Company, concurrently holds the position of Chairman of the Board of Directors of this company. | | | |
| | | | | | | | |

PREPARED BY



NGUYEN THANH HUNG

INFORMATION DISCLOSURE OFFICER



NGUYEN MINH HAI