

**REPORT ON CORPORATE GOVERNANCE
(Year 2025)**

Dear:

- State Securities Commission;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange.

- Name of company : WALL STREET SECURITIES CORPORATION
- Address of Head office : 9th floor, ICON4 building, No. 243A De La Thanh, Lang, Hanoi
- Telephone : 02439367083 Fax: 02439367082
- Email : info@wss.com.vn
- Charter capital : 503,000,000,000 (Five hundred and three billion dong)
- Stock symbol : WSS
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and General Director.
- The implementation of internal audit: Implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution/ Decision No.	Date	Content
1	01/2025/NQ- ĐHĐCĐ	25/04/2025	<ul style="list-style-type: none">- Approval of the Board of Directors' Report on Activities in 2024, business results for 2024, and orientations for 2025.- Approval of the Executive Board's Report on Activities in 2024, business performance in 2024, and business directions for 2025.- Approval of the Supervisory Board's Report on Activities in 2024.- Approval of the audited financial statements for the year 2024.- Approval of the proposal on the selection of the auditing firm for the 2025 financial statements.- Approval of the proposal on remuneration for the Board of Directors and the Supervisory Board in 2025.

			<ul style="list-style-type: none"> - Approval of the proposal on the capital increase plan of the Company. - Approval of the proposal on changing the financial year of the Company.
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II. Board of Directors

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1.	Mr. Nguyen Dinh Tu	Chairman of the board	April 28, 2023	
2.	Mr. Pham Duc Long	Vice president	April 28, 2023	
3.	Mr. Nguyen Viet Thang	Board Member	April 28, 2023	
4.	Mr. Nguyen Dang Truong	Board Member	April 28, 2023	
5.	Mr. Tran Anh Dung	Independent Director	April 28, 2023	

2. Meetings of the Board of Directors:

No.	Board of Directors' members	Number of meetings attended by Board of Directors	Attendance rate	Reason for absence
1.	Mr. Nguyen Dinh Tu	9/9	100%	
2.	Mr. Pham Duc Long	9/9	100%	
3.	Mr. Nguyen Viet Thang	9/9	100%	
4.	Mr. Nguyen Dang Truong	9/9	100%	
5.	Mr. Tran Anh Dung	9/9	100%	

3. Supervising the Board of Management by the Board of Directors:

In 2025, the Chairman of the Board of Directors and the Standing Members of the Board of Directors closely and regularly monitored the operations of the Executive Management and provided timely guidance on matters related to the Company's business activities as well as other operational aspects through direct meetings, reports, and official directives.

4. Activities of the Board of Directors' subcommittees: None.

5. Resolutions of the Board of Directors (*annual report*):

No.	Resolution/Decision No.	Date	Content	Approval rate
1	No. 01/2025/NQ-HĐQT	10/01/2025	Approval of the divestment of OTC shares	100%
2	No. 05/2025/NQ-HĐQT	06/03/2025	Approval of the organization of the 2025 Annual General Meeting of Shareholders	100%
3	No. 09/2025/NQ-HĐQT	04/04/2025	Approval of the matters submitted for consultation at the 2025 Annual General Meeting of Shareholders	100%
4	No. 12/2025/NQ-HĐQT	23/04/2025	Approval of the private placement plan for shares and submission to the General Meeting of Shareholders for ratification	100%
5	No. 14A/2025/NQ-HĐQT	16/05/2025	Approval of the bond purchase	100%
6	No. 17/2025/NQ-HĐQT	02/07/2025	Approval of the decision to suspend investments in bonds	100%
7	No. 17A/NQ-HĐQT	05/08/2025	Approval of the plan to restructure the Company's investment portfolio	100%
8	No. 19/QĐ-HĐQT	23/09/2025	Approval of personnel appointments in accordance with authority	100%
9	No. 22/2025/QĐ-HĐQT	22/10/2025	Approval of the transfer (sale) of the Company's shares in Hanoi Food Joint Stock Company	100%

III. Board of Supervisors (*annual report*)

1. Information about members of Board of Supervisors

No.	Members of Board of Supervisors	Position	The date becoming the member of the Board of Supervisors	Qualifications
1	Mr. Tran Dinh Tung	Prefect	April 28, 2023	Bachelor
2	Mr. Trinh Cong Thang	Commissioner	April 28, 2023	Bachelor

2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reason for absence
1	Mr. Tran Dinh Tung	4	4/4	100%	

2	Mr. Trinh Cong Thang	4	4/4	100%	
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3. Supervisory activities of the Board of Supervisors towards the Board of Directors, Executive Board and shareholders

In 2025, the Supervisory Board conducted reviews and examinations of the 2024 annual financial statements as well as the quarterly and semi-annual financial statements for 2025; and carried out supervision over the Board of Directors and the General Director in the implementation of the resolutions of the General Meeting of Shareholders and compliance with applicable laws and regulations.

4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:

The Supervisory Board coordinated with the Board of Directors, the General Director and relevant management departments to closely supervise the implementation of legal compliance activities within the Company.

5. Other activities of the Board of Supervisors: None.

IV. Board of Management

No	Members of Board of Management	Date of birth	Qualifications	Date of appointment / dismissal of members of the Board of Management
1	Mr. Nguyen Dang Truong	October 7, 1981	Master of Business, Certificate in Management Practice	October 5, 2017
2	Mr. Nguyen Viet Thang	September 19, 1984	Bachelor of Finance, PTTC Practice Certificate	May 17, 2013

V. Chief Accountant

Name	Date of birth	Professional qualifications	Date of appointment/ dismissal
Ms. Vu Thi Yen	November 18, 1983	Bachelor of Economics	05/09/2022

VI. Training courses on corporate governance:

Corporate governance training programs attended by members of the Board of Directors, members of the Supervisory Board, the Chief Executive Officer, other management personnel, and the Company Secretary in accordance with corporate governance regulations: None.

VII. The list of affiliated persons of the public company (annual report) and transactions of affiliated persons of the Company)

1. The list of affiliated persons of the Company: As specified in Appendix I attached to this report.

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:

No	Name	Relationship with the Company	No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
01	Tran Dinh Tung	Head of Supervisory Board			19/02/2025	This transaction is not subject to approval by the GMS or BOD in accordance with regulations	Execution of the sale of 500,000 shares with a total transaction value of VND 5 billion	

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None.

4. Transactions between the Company and other objects

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): None.

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO: None.

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: None.

VIII. Share transactions of internal persons and their affiliated persons (Annual report)

1. Transactions of internal persons and affiliated persons with shares of the Company

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Nguyen Dinh Tu		Chairman of the Board			11,300,000	22.47%	
	Nguyen Van Thu							

	Nguyen Anh Tam							Inf or ma tio n not pro vid ed
	Nguyen Dinh Bach					1,000,000	1.99%	
	Nguyen Dinh Viet					15,000,000	29.82%	
2	Pham Duc Long		Vice President. Member of Board of Directors					
	Nguyen Thi Thanh Tuyen							
	Pham Quang Hung							
	Pham Thi Quynh Trang							
	Pham Thi Hong Khanh							
	Pham Thi Hong Ngan							
3	Nguyen Viet Thang		Member of Board of Directors and Deputy General Director					
	Nguyen Thi Tuyet							
	Nguyen Viet Hien							
4	Nguyen Dang Truong		TV. Board of Directors and General Director					

	Nguyen Dang Huy							
	Nguyen Thi Dung							
	Nguyen Thi Trang							
5	Tran Anh Dung		Member of Board of Directors			10,000	0.01%	
	Tran Thi Thu Ha							
	Tran Huy Binh							
	Tran Ha My							
6	Tran Dinh Tung		Head of the Supervisory Board					
	Duong Thi Thu Huong					5,000	0.01%	
	Tran Thi Thu Hang							
	Tran Dinh Minh							
	Tran Dinh Tinh							
	Tran Thi Luong							
	Tran Dinh Tuong							
	Tran Dinh Vinh							

	Dinh Thi Vinh							
7	Trinh Cong Thang		Member of Supervisors					
	Hoang Thi Hue							
	Trinh Thai Ha							
	Trinh Hoang Ha							
	Trinh Thi Thanh							Information not provided
	Trinh Cong Ghi							Information not provided
	Trinh Thi Nho							Information not provided
	Trinh Cong Kha							Information not provided
8	Vu Thi Yen		Chief Accountant					

	Vu Duong Thu							
	Vu Thi Hai							
	Vu Van Tuan							
	Vu Xuan Thuong							
	Vu Minh Thanh							
	Vu Thi Tam							
	Vu Xuan Vu							
	Vu Thi Bao Trang							
	Vu Thanh Thao							
09	Education Finance Investment Joint Stock Company							
10	Hanoi Food Joint Stock Company							

11	Duc Giang Corporation			0100101403	59 Duc Giang Street, Viet Hung, Hanoi			
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2. Transactions of internal persons and affiliated persons with shares of the company:

No	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Tran Dinh Tung	Head of the Supervisory Board	509.000	1,01%	9.000	0,02%	Reduce ownership ratio

IX. Other matters to note: None.

Recipient:

- State Securities Commission, Stock Exchange;
- Save VT.

FOR THE CHAIRMAN OF THE BOARD

VICE CHAIRMAN



PHAM DUC LONG

APPENDIX I

List of affiliated persons of the company

N o.	Name of organiza tion/indi vidual	Securitie s trading account (ifany)	Position at the Compan y (if any)	No.*, date of issue, place of issue	Address	Time of starting to be affiliate d person	Time of endin g to be affilia ted perso n	Reaso ns	Relat ionshi p with the Comp any	Note
1	Nguyen Dinh Tu		Chairm an of the Board			Decem ber 20, 2007				
	Nguyen Van Thu					Decemb er 20, 2007			Older brother	
	Nguyen Anh Tam					Decemb er 20, 2007			younge r brother	Informat ion not provide d
	Nguyen Dinh Bach					Decemb er 20, 2007			Boy	
	Nguyen Dinh Viet					Decemb er 20, 2007			Boy	
2	Pham Duc Long		Vice Preside nt Member of Board of Director s			Decem ber 20, 2007				
	Nguyen Thi					Decemb er 20, 2007			Wife	

	Thanh Tuyen									
	Pham Quang Hung					December 20, 2007			Boy	
	Pham Thi Quynh Trang					December 20, 2007			Daughter	
	Pham Thi Hong Khanh					December 20, 2007			younger brother	
	Pham Thi Hong Ngan					December 20, 2007			younger brother	
3	Nguyen Viet Thang		Member of Board of Directors and Deputy General Director			May 17, 2013				
	Nguyen Thi Tuyet					May 17, 2013			Mom	
	Nguyen Viet Hien					May 17, 2013			younger brother	
4	Nguyen Dang Truong		Member of Board of Directors and General Director			October 5, 2017				
	Nguyen Dang Huy					October 5, 2017			Dad	

	Nguyen Thi Dung					October 5, 2017			Mom	
	Nguyen Thi Trang					October 5, 2017			younger brother	
5	Tran Anh Dung		Member of Board of Directors			April 28, 2023				
	Tran Thi Thu Ha					April 28, 2023			Wife	
	Tran Huy Binh					April 28, 2023			biological child	
	Tran Ha My					April 28, 2023			biological child	
6	Tran Dinh Tung		Member of Supervisors			December 20, 2007				
	Duong Thi Thu Huong					December 20, 2007			Wife	
	Tran Thi Thu Hang					December 20, 2007			biological child	
	Tran Dinh Minh					December 20, 2007			biological child	
	Tran Dinh Tinh					December 20, 2007			brother	

	Tran Thi Luong					Decemb er 20, 2007			Sister	
	Tran Dinh Tuong					Decemb er 20, 2007			younge r brother	
	Tran Dinh Vinh					Decemb er 20, 2007			younge r brother	
	Tran Thi Vinh					Decemb er 20, 2007			younge r brother	
7	Trinh Cong Thang		Member of Supervi sors			April 27, 2013				
	Hoang Thi Hue					April 27, 2013			Wife	
	Trinh Thai Ha					April 27, 2013			biologi cal child	
	Trinh Hoang Ha					April 27, 2013			biologi cal child	
	Trinh Thi Thanh					April 27, 2013			younge r brother	Informat ion not provide d
	Trinh Cong Ghi					April 27, 2013			younge r brother	Informat ion not provide d
	Trinh Thi Nho					April 27, 2013			younge r brother	Informat ion not provide d
	Trinh Cong Kha					April 27, 2013			younge r brother	Informat ion not provide d

8	Vu Thi Yen		Chief Accountant			05/09/2023				
	Vu Duong Thu					05/09/2023			biological father	
	Vu Thi Hai					05/09/2023			biological mother	
	Vu Van Tuan					05/09/2023			Husband	
	Vu Xuan Thuong					05/09/2023			Older brother	
	Vu Minh Thanh					05/09/2023			Younger brother	
	Vu Thi Tam					05/09/2023			Younger sister	
	Vu Xuan Vu					05/09/2023			Boy	
	Vu Thi Bao Trang					05/09/2023			Daughter	
	Vu Thanh Thao					05/09/2023			Daughter	

9	Education Finance Investment Joint Stock Company									
10	Hanoi Food Joint Stock Company									
11	Duc Giang Corporation									

