



Hai Phong, February 12th, 2026

No.: 01/2026/BC-CKHP

**REPORT ON BUSINESS PERFORMANCE IN 2025 AND BUSINESS PLAN
FOR 2026**

To: Shareholders of Hai Phong Securities Joint Stock Company

PART I: THE BUSINESS ENVIRONMENT IN 2025

I. Macroeconomic situation

In 2025, Vietnam's economy will operate against a backdrop of a global economy that continues to recover slowly, with many underlying uncertainties. Global growth has improved but is uneven; inflation, while under control, still puts pressure on production costs; and monetary policies in major economies remain cautious. Furthermore, geopolitical tensions, trade conflicts, and localized disruptions to supply chains continue to impact international trade and investment.

By the end of 2025, the Vietnamese economy will demonstrate resilient recovery, with average inflation controlled at 3.31%; exchange rates and interest rates will remain relatively stable, creating momentum for production, exports, and investment development. GDP in 2025 is estimated to reach US\$514 billion, a 5-rank increase to 32nd place globally; GDP per capita will reach approximately US\$5,026, 1.4 times higher than in 2020, placing Vietnam in the group of upper-middle-income countries, exceeding the set target.

In the foreign exchange market, exchange rates are affected by complex developments in the international market, the unpredictable monetary policy trajectory of the Fed, the trade and tariff policies of the US administration, and fluctuations in the USD in the international market. In this context, the State Bank of Vietnam manages the exchange rate flexibly, in accordance with market conditions, helping to absorb external shocks; simultaneously, it coordinates monetary policy tools, interest rates, VND liquidity, and foreign exchange intervention sales to stabilize the foreign exchange market and exchange rates, thereby contributing to macroeconomic stability and inflation control.

Accordingly, the VND has shown significantly better resilience. The USD/VND exchange rate at commercial banks closed at 26,377 VND/USD, an increase of over 3.2% compared to the beginning of the year, but still within the range set by the State Bank of Vietnam. This increase is 1.6 percentage points lower than in 2024, equivalent

to a decrease of about 33%, reflecting that exchange rate pressure has somewhat eased. This creates a solid foundation for the recovery of consumer confidence and promotes foreign direct investment in the next phase.

II. Vietnam stock market

2025 is considered a special year for the Vietnamese stock market, as many conflicting factors coexist. The market is being impacted by concerns about a global economic recession, trade tensions, and tariff policies, while also being supported by expectations of an upgrade in market status and positive growth prospects for the domestic economy. The VN-Index rose slightly by 3.2% in Q1 2025 with low liquidity. In Q2, the market experienced a sharp correction in April due to news of the US imposing retaliatory tariffs, but quickly recovered and ended the quarter with a 5.3% increase. The upward momentum intensified significantly in Q3, with the VN-Index closing at 1,661.7 points, a 20.75% increase compared to the previous quarter. This positive trend continued into Q4, pushing the index to a peak of 1,805.93 points on December 25, 2025, representing a 42.5% increase compared to the end of 2024.

A notable feature of the Vietnamese stock market in 2025 lies in its highly concentrated growth structure. Stocks within the Vingroup ecosystem have a significant influence on the VN-Index. This is followed by the positive impact from banking stocks. The market in 2025 will also witness a surge in liquidity. Market liquidity in 2025 is expected to reach unprecedented levels of activity. Statistics show that the average daily trading volume in 2025 will reach 1.1 billion securities, corresponding to an average daily trading value of VND 26,582 billion. Compared to 2024, liquidity in 2025 has increased by 36.9% in volume and 42.4% in value.

2025 marks a significant milestone for the Vietnamese stock market as the information technology system provided by KRX officially comes into operation and the market is upgraded to a secondary emerging market. Simultaneously, the legal framework continues to be refined towards international best practices, creating favorable conditions for foreign investment flows. Circular No. 68/2024/TT-BTC allows the application of a payment mechanism without requiring upfront margin for foreign institutional investors and implements a roadmap for disclosing information in English. Furthermore, Decree No. 245/2025/ND-CP amending Decree No. 155/2020/ND-CP adds many important regulations to simplify procedures, shorten the time for bringing newly offered securities into trading, and ensure the principle of foreign ownership ratios is consistent with the law and international commitments.

PART II: RESULTS OF ACTIVITIES IN 2025

I. Business results

By the end of 2025, HASECO's total revenue reached VND 86.31 billion, a 33.52% increase compared to 2024 and exceeding the planned target by more than 11%. Net profit after tax in 2025 reached VND 44.89 billion, more than 16 times higher than in 2024 and achieving 98.44% of the planned target. Accordingly, HASECO

successfully eliminated accumulated losses of nearly VND 36.3 billion. Specifically, at the beginning of 2025, HASECO was still carrying accumulated losses of VND 36.3 billion; however, by the end of the year, undistributed net profit after tax recorded a positive VND 8.6 billion as of December 31, 2025.

This result demonstrates HASECO's positive performance and clear growth momentum in 2025.

Target	To be implemented by 2025 (billion VND)	Plan 2025 (billion VND)	% Implement the plan
Total revenue	86.31	77.6	111.22%
Net profit after tax	44.89	45.6	98.44%

In 2025, the challenges and unpredictable developments in the market significantly impacted the Company's business performance. Against this backdrop, the Management Board consistently monitored, assessed, and made timely decisions and directives to achieve the business objectives set by the Shareholders' General Meeting on June 26, 2025, while simultaneously addressing the difficulties the Company encountered.

II. Main business activities:

1. Brokerage activities:

In 2025, HASECO's brokerage operations are expected to show positive improvement, driven by the clear recovery of the stock market and trading liquidity. Brokerage revenue in 2025 is projected to reach VND 11.7 billion, a 21% increase compared to 2024, reflecting the effectiveness of solutions to maintain and expand the customer base, as well as improved brokerage service quality. Alongside brokerage activities, interest income from loans and receivables, primarily from margin trading, is expected to continue its steady growth, reaching VND 14.5 billion compared to VND 12.8 billion in 2024.

In 2025, the Brokerage Department implemented various measures to boost brokerage activities and screen potential clients for follow-up. The company also focused on expanding and adding personnel to the individual client investment advisory segment, thereby improving the quality of advice, client accessibility, and investor support in the transaction decision-making process.

Furthermore, starting in December 2024, the management board and staff of various departments have upgraded the old trading systems from FreeWill and Innotech to a new system from Altisss High-Tech Company. This project is considered the fastest ever implemented and boasts many superior features, addressing limitations that the old system could not resolve.

2. Investment Activities

In 2025, HASECO will continue to implement investment activities in line with the company's functions, tasks, and business orientation, while fully complying with the regulations of state management agencies. Key tasks include preparing periodic reports as required, monitoring the margin portfolio, proposing a list of eligible securities, and removing codes that no longer meet the criteria as announced by the stock exchanges.

Investment activities during the year focused primarily on the portfolio of financial assets recognized through profit/loss (FVTPL). Total profit from FVTPL financial assets in 2025 reached VND 56.5 billion, a significant increase compared to VND 37.6 billion in 2024, reflecting a clear improvement in investment efficiency and the ability to grasp market developments.

Within the FVTPL profit structure, profits from the sale of FVTPL financial assets reached VND 42.9 billion, a significant increase compared to VND 10.1 billion in the previous year, demonstrating the effectiveness of the portfolio restructuring strategy and the timing of profit realization. In addition, profits from the revaluation of FVTPL financial assets recorded VND 13.6 billion, a decrease compared to VND 26.5 billion in 2024, reflecting greater caution in valuation and market price fluctuations during the year.

Investment activities in 2025 will be implemented with strict risk control, selecting assets with liquidity and prospects consistent with market developments, thereby making a significant contribution to improving the Company's overall business results. In 2026, HASECO strives to complete the registration procedures as a member trading in private corporate debt instruments and bonds.

3. Corporate financial advisory services

The Corporate Financial Advisory Department, reactivated in September 2024, has achieved positive results. In 2025, HASECO's financial advisory activities recorded revenue growth of VND 1.6 billion, a significant increase compared to VND 0.5 billion in 2024. This result reflects the improved service delivery capacity, the company's reputation in the market, and the increasing demand for advisory services from clients.

During the year, the Company successfully completed and fully executed all consulting contracts signed with clients, ensuring timely completion, quality of service, and compliance with relevant legal regulations. Consulting services continued to focus on areas such as stock offering consulting, listing consulting, corporate governance review, shareholder meeting organization, public tender offer consulting, and annual support in the securities sector. These services were further expanded to include centralized custody consulting, shareholder register management, mergers and acquisitions consulting, and corporate bond trading consulting, thereby diversifying revenue streams and enhancing the Company's competitiveness in the market.

Alongside its operational activities, the company continues to focus on training and enhancing the capabilities of its consulting team through internal training programs

and sending staff to participate in specialized securities training courses, contributing to improving the quality of consulting services in the medium and long term.

4. Funding activities

In 2025, HASECO restructured and put into operation its Capital Resources Department, which is responsible for managing, coordinating, and utilizing capital to support the company's business and investment activities. The establishment of this specialized department has contributed to enhancing proactive capital balancing and ensuring solvency at all times.

During the year, the Capital Management Division gradually implemented basic operations related to cash flow management, capital mobilization and utilization, and established and signed several credit line agreements with banks, thereby expanding access to capital and enhancing the Company's financial flexibility.

Capital raising activities in 2025 were organized cautiously, linked to risk management and efficient capital utilization. Proactive diversification of capital sources and control of capital costs have contributed positively to supporting core business activities, while improving the overall financial performance of the Company.

III. Other activities

1. Human Resources Management

Currently, HASECO has 48 employees.

The average monthly income for company employees in 2025 is expected to reach 15.3 million VND, while the average monthly income for management staff will reach 27.6 million VND.

The company is always committed to ensuring the rights of its employees through a policy of salaries, bonuses, allowances, and benefits tailored to each period, creating a stable working environment that helps employees feel secure, dedicated, and committed to the company in the long term.

Employee welfare programs, particularly those related to healthcare, have been given special attention to provide company employees with the best possible facilities for health care and maintenance.

The company prioritizes training and enhancing the knowledge and professional skills of its employees to meet their career development goals and the company's business growth. The company regularly facilitates and supports employees from various departments in participating in specialized training courses on securities and securities professionals organized by the State Securities Commission and training centers.

2. Capital management activities

In 2025, the company balanced its capital sources, ensuring good solvency and meeting the capital needs for investment, brokerage, and financial services activities.

The capital management activities are well-organized, and management is given careful attention, resulting in high efficiency in capital utilization and contributing to improved financial performance of the Company.

3. Information technology infrastructure

The core software system serving securities trading has been maintained to operate stably, adapting to changes in trading at both exchanges.

The advanced trading platform developed by Altisss High-Tech Company not only overcomes the limitations of the old platform but also integrates many superior features, significantly enhancing the trading experience for customers since its official launch on December 2nd, 2024, laying a solid foundation for the company's sustainable development strategy.

4. Management and administration

In 2025, close monitoring of high-risk operations will be implemented, ensuring compliance with procedures and regulations. Any errors will be detected and corrected promptly. Regular inspections of departments and branches will be conducted thoroughly, rigorously, and in a timely manner.

The company prepares and discloses information in a manner that is compliant with regulations, complete, and timely.

5. Other support activities

During the year, the finance and accounting department completed its assigned operational support and periodic reporting tasks, including ensuring bookkeeping conforms to current accounting standards, preparing and publishing required reports such as quarterly, semi-annual, and annual financial statements on time; and completing tax settlements.

PART III: PLAN FOR 2026

I. Forecast of the economy and stock market in 2026

Entering 2026, the global economy is projected to continue growing but still faces numerous risks, primarily stemming from trade tensions and protectionist tariff policies, especially from the United States, disrupting supply chains and increasing instability in the international investment environment.

In Vietnam, the government aims for GDP growth of around 10% in 2026, while international organizations such as the World Bank and IMF offer more cautious forecasts, projecting growth to range from 6% to 7.5%, reflecting the impact of the unstable global economic environment.

The Vietnamese stock market in 2026 is expected to continue developing in both size and quality. The successful implementation of the KRX system and the market upgrade from frontier to emerging market status will facilitate attracting foreign capital and enhance the country's financial standing. Furthermore, it is anticipated that in the

March 2026 review, Vietnam will be upgraded to a Tier 2 emerging market by FTSE, and will be included in emerging market indices.

Current market valuations are attractive, with the projected P/E ratio of the VN-Index in 2026 around the 10-year average. The Vietnamese stock market in 2026 is expected to offer many opportunities. At the beginning of 2026, market liquidity is expected to increase significantly, with the average daily trading value across the three exchanges rising sharply to 38.6 trillion VND/session. Increased capital flows are concentrated in large-cap stocks, especially state-owned enterprises in the banking, electricity, water, and oil and gas sectors.

II. Business plan for 2026

1. Plan targets for 2026

Based on the above positive factors, Hai Phong Securities Joint Stock Company sets the following targets for 2026:

No.	Target	To be implemented by 2025 (billion VND)	Plan 2026 (billion VND)
1	Total revenue	86.31	138.96
2	Total cost	41.42	59.64
3	Profit before tax	44.89	79.32

2. Main objectives

Operational objectives:

Brokerage Operations: The company aims to continue expanding its securities brokerage operations, increasing market share, and improving the quality of service for investors. In particular, HASECO will invest in developing digital technology platforms to optimize customer experience in trading, account opening, depositing, and withdrawing funds. Simultaneously, the company aims to automate trading processes, increasing convenience and security for individual and institutional investors.

Investment and Capital Activities: In January 2026, HASECO completed a capital increase of VND 1,000 billion through a private placement of shares. According to the plan, HASECO will use 50% for securities trading and 50% for margin lending. Based on this, the company will proactively seek new investment opportunities, particularly focusing on sectors and assets with stable profit potential that align with the company's risk appetite. In addition, HASECO will implement portfolio management measures, restructuring its portfolio to focus on shares of companies with strong financial foundations and high core value, in order to maximize profits and effectively control risk.

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Corporate Financial Advisory Services: The company aims to further develop its advisory services, focusing on HASECO's existing client base. In 2026, the company will strengthen its provision of corporate financial advisory services, including: securities offering advisory, mergers and acquisitions (M&A) advisory, and privatization and corporate restructuring advisory. The goal is to gradually establish HASECO's position in the advisory market while diversifying revenue streams beyond traditional brokerage activities.

Brand promotion and market development: HASECO will continue to build, strengthen, and affirm its brand as a reputable securities company in the market, providing a full range of high-quality financial services to comprehensively meet customer needs.

Management objectives:

HASECO continues to focus on improving the quality of corporate governance, ensuring that its operations comply with current legal regulations and advanced governance standards. The company will closely monitor the implementation of internal regulations, while updating and adjusting governance policies to suit the actual situation and newly issued regulations.

Simultaneously, the Company is committed to ensuring that regular and extraordinary information disclosures are carried out in accordance with legal regulations, thereby helping shareholders and investors access information transparently, fully, accurately, and promptly. HASECO recognizes this as a crucial foundation for enhancing its reputation in the market and strengthening the confidence of shareholders and investors in the Company's sustainable development.

The above is the report on business performance in 2025 and the business plan for 2026 of Hai Phong Securities Joint Stock Company. Respectfully submitted to the General Meeting of Shareholders!

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

ON BEHALF OF THE EXECUTIVE BOARD

GENERAL MANAGER



NINH LE SON HAI





Hai Phong, February 12th, 2026

No.: 02/2026/BC-CKHP

**REPORT ON THE ACTIVITIES OF THE BOARD OF DIRECTORS IN 2025
AND OPERATIONAL ORIENTATIONS FOR 2026**

To: Shareholders of Hai Phong Securities Joint Stock Company

In fulfilling the duties and powers of the Board of Directors as stipulated in the Company's Charter and the Enterprise Law, the Board of Directors ("BOD") of Hai Phong Securities Joint Stock Company ("Company/HASECO") hereby reports to the General Meeting of Shareholders ("GS") on the activities of the Board of Directors in 2025 and the operational orientation for 2026.

**I. OVERVIEW OF THE ECONOMIC SITUATION AND STOCK MARKET
IN 2025**

In 2025, the global economy is expected to maintain its recovery but still experience slower growth due to pressure from high interest rates and geopolitical instability, particularly in Europe and the Middle East, as well as trade tensions between the US and major countries. Global growth is estimated at around 3%, lower than the 3.2% recorded in 2024.

In Vietnam, the economy has demonstrated remarkable resilience, with average inflation controlled at 3.31%; exchange rates and interest rates are relatively stable, creating momentum for production, exports, and investment development. GDP is estimated to reach US\$514 billion in 2025, a jump of 5 places to 32nd in the world; GDP per capita is projected to reach approximately US\$5,026, 1.4 times higher than in 2020, placing Vietnam in the group of upper-middle-income countries, exceeding the set target.

2025 marks a significant milestone for the Vietnamese stock market as the information technology system provided by contractor KRX officially comes into operation and the market is upgraded to a secondary emerging market. Statistics show that the average trading volume in 2025 will reach 1.1 billion securities per day, corresponding to an average trading value of VND 26,582 billion per day. Compared to the results of 2024, liquidity in 2025 has increased by 36.9% in volume and 42.4% in value.

II. EVALUATION OF THE BOARD OF DIRECTORS' PERFORMANCE IN 2025

1. Personnel of the Board of Directors; overall assessment of the performance of the Board members.

1.1. Board of Directors members

The current Board of Directors of HASECO for the 2021-2026 term includes the following individuals:

No.	Full name	Position
1	Mr. Nguyen Tuan Anh	Chairman of the Board
2	Mr. Dao Le Huy	Board Member
3	Ms. Ngo Thi Song Ngan	Board Member

1.2. Overall assessment of the performance of the Board of Directors members.

The Board of Directors has effectively fulfilled its role in leading, directing, managing, and supervising the Company's business operations in accordance with the resolutions of the General Meeting of Shareholders and in compliance with the Articles of Association as well as the Company's governance regulations and internal rules. In addition, the Board of Directors has made efforts to improve the Company's governance capacity, comply with current regulations, and align with good governance practices recommended by international organizations and regulatory bodies. Specifically:

- The Board of Directors ensures that the number of Board meetings and the number of members convening the meetings meet the requirements for legality and effectiveness, defines the role of each Board member, and distinguishes between independent Board members and executive Board members to ensure the legal interests of shareholders are protected;
- The Board of Directors adopts resolutions consistent with the Company's vision and business strategy in each stage, ensuring benefits for customers, shareholders, and employees; respecting partners, working together to build a strong business community, thereby contributing value to society, and gradually fulfilling the Company's mission;
- The Board of Directors oversees the implementation of resolutions of the General Meeting of Shareholders and the Board of Directors, evaluates the effectiveness of the Company's resolution implementation; regularly reviews the Company's policies and regulations and promptly adjusts them to suit new situations or requirements;
- The Board of Directors provides direction, guidance, and regular supervision of the activities of the Management Board and the departments supporting the

Management Board, ensuring that operations are in accordance with the Company's regulations and policies.

2. Board Meetings and Resolutions

In 2024, the Board of Directors held 29 in-person meetings with full participation from all members. This allowed for timely monitoring of the company's business operations, management, and risk management, as well as supervision and guidance of the General Director and company managers in operational activities. It also enabled prompt action to address emerging issues, oversee risk management, and contribute to improving the efficiency of business operations. Board members consistently participated in Board meetings and actively researched and provided input on decisions and approvals with a high sense of responsibility.

No	Board Member	Number of Board of Directors meetings attended	Meeting attendance rate	Reasons for not attending the meeting.
1	Mr. Ninh Le Son Hai	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
2	Ms. Nguyen Thi Mai	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
3	Ms. Ly Thi Thu Ha	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
4	Mr. Chu Viet Ha	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
5	Mr. Le Ngoc Hai	6/29	100%	The resignation takes effect from June 26, 2025, so subsequent meetings will not be attended.
6	Mr. Dao Le Huy	23/29	100%	Attend Board of Directors meetings from June 26, 2025.
7	Ms. Ngo Thi Song Ngan	23/29	100%	Attend Board of Directors meetings from June 26, 2025.
8	Mr. Nguyen Tuan Anh	23/29	100%	Attend Board of Directors meetings from June 26, 2025.

(A summary table of the Board of Directors' resolutions and decisions for 2025 has been published in the Company's 2025 Corporate Governance Report.)

Overall, the Board of Directors' meetings were conducted in a thorough and lawful manner, achieving a high degree of consensus in deciding on the Company's policies, operational guidelines, and development strategies.

3. Information Disclosure Activities

The Board of Directors has also regularly urged, reminded, and checked the disclosure of information in accordance with regulations, ensuring that the information is disclosed fully, promptly, accurately, completely, openly, and transparently.

4. Results of monitoring of the CEO and other executives.

Based on the charter, policies, and procedures issued, the Board of Directors authorizes the Executive Board to manage the Company's daily business operations and implement decisions and strategies in accordance with the business plan and operational direction assigned by the Board of Directors and the General Meeting of Shareholders. Through a mechanism of inspection, supervision, and periodic quarterly reporting on business performance, the Company's daily operations, and ad hoc reports when requested, the Board of Directors assesses that the Executive Board has exercised its assigned rights and duties in accordance with current laws, the Company's charter, and the resolutions of the General Meeting of Shareholders.

In the context of a challenging market, the Board of Directors has demonstrated a high sense of responsibility, strictly adhering to legal regulations and company charter while maintaining flexibility in management and cautiously executing business plans. This has enabled the company to maintain its position as a standard and reliable investment financial institution, delivering financial health, prosperity, and sustainable development, and protecting the interests of its partners and customers.

5. Remuneration and expenses of the Board of Directors

In 2025, the Company's Board members received the following compensation:

No.	Full name	Position at the Company	Salary, bonuses, remuneration and other related benefits (VND)	Note
1	Mr. Ninh Le Son Hai	Chairman of the Board	723,588,148	Dismissal effective June 26, 2025
2	Ms. Nguyen Thi Mai	Executive Board Member	248,982,728	Dismissal effective June 26, 2025
3	Ms. Ly Thi Thu Ha	Non-executive board members	311,325,455	Dismissal effective June 26, 2025

No.	Full name	Position at the Company	Salary, bonuses, remuneration and other related benefits (VND)	Note
4	Mr. Chu Viet Ha	Non-executive board members	47,495,455	Dismissal effective June 26, 2025
5	Mr. Le Ngoc Hai	Non-executive board members	47,495,455	Dismissal effective June 26, 2025
6	Mr. Dao Le Huy	The Chairman of the Board is not an executive.	434,545,455	Appointment effective June 26, 2025
7	Ms. Ngo Thi Song Ngan	Executive Board Member	465,123,723	Appointment effective June 26, 2025
8	Mr. Nguyen Tuan Anh	Independent Board Member	409,498,036	Appointment effective June 26, 2025

Source: Company's 2025 Financial Report

6. Activities of the subcommittees of the Board of Directors

Based on the Shareholders' General Meeting Resolution No. 02/2025/NQ/ĐHĐCĐ-CKHP approving the change in the organizational structure and operation of Hai Phong Securities Joint Stock Company and the Board of Directors' Resolution No. 15.2/2025/NQ-CKHP dated June 26, 2025, the Company's Audit Committee (UBKT) was established. Accordingly, Mr. Nguyen Tuan Anh – Independent Member of the Board of Directors – was elected Chairman of the UBKT, and Mr. Dao Le Huy – Chairman of the Board of Directors – is a member of the UBKT. The UBKT has developed and finalized its operating regulations in accordance with legal regulations and the Company's internal procedures/regulations, which have been approved and promulgated by the Board of Directors under Resolution No. 17.1/2025/NQ-CKHP dated July 1, 2025.

7. Report on transactions between the Company, its subsidiaries, or companies in which the public company holds 50% or more of the charter capital, and members of the Board of Directors and their related parties; transactions between the Company and companies in which a member of the Board of Directors is a founding member or a business manager within the three years preceding the transaction: None

8. Report on the implementation of tasks assigned and authorized by the 2025 Congress.

Regarding the selection of an auditing firm for the 2025 financial statements.

The Board of Directors has selected BDO Auditing Company Limited as the auditor for the 2025 financial statements. BDO Auditing Company Limited meets the criteria outlined in the Proposal for the Selection of the Auditing Company for the 2025 Financial Statements, which was approved by the Annual General Meeting of Shareholders on June 26, 2025.

Regarding changes to the company's organizational structure and operations.

The Board of Directors established an Audit Committee reporting directly to the Board of Directors in accordance with Board Resolution No. 15.2/2025/NQ-CKHP dated June 26, 2025, and appointed relevant personnel as prescribed. The Board of Directors also approved and promulgated the Operating Regulations of the Audit Committee in accordance with legal regulations and the Company's internal procedures/regulations in accordance with Resolution No. 17.1/2025/NQ-CKHP dated July 1, 2025.

Regarding the Private Placement of Shares to Increase the Company's Charter Capital

On January 14, 2026, the Board of Directors completed the private placement of shares to increase the Company's charter capital, ensuring compliance with current laws and regulations and the authorization of the Board of Directors as approved in the General Meeting of Shareholders Proposal No. 12/2025/TT-CKHP dated June 23, 2025. The results of the offering are as follows:

- Total number of shares distributed: 100,000,000 shares, equivalent to 100% of the total number of shares offered.
- Selling price: 10,000 VND/share.
- Total proceeds from the offering: VND 1,000,000,000,000.
- Charter capital after the offering: VND 1,291,810,960,000

Based on the offering results, the Board of Directors has implemented the following actions following the offering as authorized by the General Meeting of Shareholders, specifically:

- Carry out the procedures for registering changes to the charter capital and amending the Business Registration Certificate with the Department of Planning and Investment of Hai Phong City, and revise the provisions related to the Charter Capital and Shares of the Company in the Articles of Association based on the actual results of the offering.

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- Adjust the Securities Registration Certificate at the Vietnam Securities Depository and Clearing Corporation for the entire number of common shares according to the results of the issuance/offering in accordance with the law.
- Complete all necessary procedures and tasks to amend the registration of additional shares at the Hanoi Stock Exchange for the entire quantity of common shares resulting from the issuance/offering in accordance with the law.

Other assigned tasks

The Board of Directors has directed and implemented all other tasks assigned by the General Meeting of Shareholders fully and in accordance with current laws and regulations.

III. REPORT ON BUSINESS PERFORMANCE IN 2025

At the end of fiscal year 2025, the Company's financial and business performance showed the following results:

Unit of measurement: VND

Target	2024	2025	percentage increase/decrease
Total asset value	277,809,765,185	401,523,512,705	44.53%
Net revenue	62,551,409,334	85,530,488,932	36.74%
Profit from business operations	3,194,675,955	44,560,822,251	1294.85%
Other profits	-434,059,565	326,559,576	-175.23%
Profit before tax	2,760,616,390	44,887,381,827	1525.99%
Net profit after tax	2,759,140,030	44,887,381,827	1526.86%
Dividend payout ratio	No payment	No payment	N/A

Source: Company's audited financial statements for 2025

By the end of 2025, HASECO's total revenue reached VND 86.31 billion, a 33.52% increase compared to 2024 and exceeding the planned target by more than 11%. Net profit after tax in 2025 reached VND 44.89 billion, more than 16 times higher than in 2024 and achieving 98.44% of the planned target. This result demonstrates HASECO's positive operational efficiency and clear growth momentum in 2025.

Some key financial performance indicators for the Company in 2025:

Target	2024	2025	percentage increase/decrease
1. Solvency ratio			
+ Short-term liquidity ratio Current assets/Current liabilities	21.98	4.30	-80.42%
+ Quick Ratio $\frac{\text{Current assets} - \text{Inventory}}{\text{Short-term debt}}$	21.98	4.30	-80.42%
2. Capital structure indicators			
+ Debt/Total Assets Ratio	0.04	0.23	419.23%
+ Debt-to-equity ratio	0.05	0.29	541.99%

Source: HASECO

Overall, in 2025, the Company's solvency indicators showed adjustments compared to 2024, with a significant decrease in the current ratio and quick ratio. This was primarily due to an increase in short-term debt to meet capital needs for business operations, investment, and expansion of core service areas. Despite this, liquidity indicators remained above the safety threshold, demonstrating the Company's continued ability to meet short-term financial obligations.

The capital structure in 2025 will shift towards greater flexibility, as evidenced by the increase in debt-to-asset and debt-to-equity ratios compared to 2024. The judicious use of financial leverage helps the Company optimize its financial resources, improve capital efficiency, and create room for business growth in subsequent periods. Despite the increase in debt, the Company's capital structure remains tightly controlled and has not placed significant pressure on overall financial stability.

IV. ORIENTATION FOR IMPLEMENTING THE 2026 PLAN

Entering 2026, amidst an economic and stock market environment with many opportunities for recovery but still harboring uncertainties, the Company's Board of Directors has identified key operational directions that need to focus on core tasks to maintain sustainable growth and enhance competitiveness in the market.

Specifically, the Board of Directors unanimously agreed to prioritize the implementation of the following tasks:

- We will continue to develop our brokerage operations by strongly applying technology to enhance user experience and support customers in online

transactions such as opening accounts, transferring funds, placing orders, and managing investment portfolios conveniently and efficiently.

- Enhancing Margin Lending Capacity and Capital Market Operations: In January 2026, HASECO completed a capital increase of VND 1,000 billion through a private placement of shares. According to the plan, HASECO will use 50% for securities proprietary trading and 50% for margin lending. Based on this, the company will continue to strengthen the scale and efficiency of its capital resources to support margin lending activities and implement effective investment operations in the capital market. Simultaneously, it will actively research and seize new investment opportunities to increase capital utilization efficiency.
- Strengthening oversight and improving the efficiency of core business operations, including proprietary trading, brokerage, advisory, and investment. The Board of Directors will direct the implementation of rigorous internal control measures to ensure efficient, safe, and compliant operations.
- Enhancing the capacity of managers and the quality of the workforce: Improving recruitment and compensation policies to attract and retain talent; strengthening training and development of management skills to effectively implement business strategies.
- Restructuring resources effectively, reorganizing personnel, and allocating business capital in line with strategic direction will optimize work performance and operating costs.
- Boost investment in digital technology platforms, gradually transition to a digitalized business model, and meet market development trends and modern management requirements.

The above is the content of the Board of Directors' activity report for 2025 and operational orientation for 2026 of Hai Phong Securities Joint Stock Company. Respectfully submitted to the General Meeting of Shareholders!

The Board of Directors of the Company looks forward to receiving constructive feedback from shareholders attending the General Meeting so that the Board of Directors can operate even more effectively.

Best regards./.

Recipient:

- General Shareholders' Meeting

- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF BOARD OF DIRECTORS**





Hai Phong, February 12th, 2026

No.: 03/2026/BC-CKHP

**REPORT ON THE ACTIVITIES OF THE AUDIT COMMITTEE AND THE
INDEPENDENT MEMBERS OF THE BOARD OF DIRECTORS SERVING
ON THE AUDIT COMMITTEE IN 2025**

To: Shareholders of Hai Phong Securities Joint Stock Company

Based on the duties and powers of independent members of the Board of Directors ("BOD") and the Audit Committee as stipulated in the Charter of Hai Phong Securities Joint Stock Company ("Company/HASECO"), and the Regulations on the Operation of the Audit Committee ("ABC"), the independent member of the Board of Directors hereby reports to the General Meeting of Shareholders ("GSH") of Hai Phong Securities Joint Stock Company on the performance of the ABC and the independent member of the BOD within the ABC as follows:

I. Activities of the Audit Committee and independent board members on the Audit Committee in 2025

1. Personnel of the Inspection Committee

The current Inspection Committee of HASECO for the 2021-2026 term includes the following members:

No.	Full name	Position
1	Mr. Nguyen Tuan Anh	Chairman of the Inspection Committee
2	Mr. Dao Le Huy	Member of the Inspection Committee

2. Meetings of the Inspection Committee

Audit Committee Meetings:

No.	Content	Day	Result
1	The relevant matters fall under the jurisdiction of the Inspection Committee. - The company's business performance results for the first nine months of 2025.	October 16, 2025	Through 100%

	- An evaluation of the company's accounting practices. - Evaluate the performance of the Board of Directors ("BOD") and the General Management Board ("GMB") in operational management. - Other matters fall under the jurisdiction of the Inspection Committee.		
2	The relevant matters fall under the jurisdiction of the Inspection Committee. - An evaluation of the company's accounting practices. - Evaluate the performance of the Board of Directors and the General Management Board in the operation of the company. - Other matters fall under the jurisdiction of the Inspection Committee.	December 31, 2025	Through 100%

3. Remuneration, operating expenses, and benefits of the audit committee.

Board members who are also members of the Supervisory Committee receive remuneration based on their position as a Board Member. The remuneration for Board Members is detailed in the Company's audited financial statements for 2024.

4. This report assesses transactions between the Company, its subsidiaries, or companies in which the public company holds a controlling stake of 50% or more of the charter capital, and members of the Board of Directors, General Director, other executives of the enterprise, and related parties of those entities; and transactions between the Company and other companies in which members of the Board of Directors, General Director, or other executives of the enterprise are founding members or managers of the enterprise during the three years preceding the transaction.

Do not have.

5. Self-assessment report on the performance of independent members of the Board of Directors.

In 2025, the independent members of HASECO's Board of Directors fully performed their roles and responsibilities as stipulated by law, the Company Charter, and internal governance regulations, ensuring transparency, objectivity, and a balance of interests for shareholders and stakeholders.

Independent members of the Board of Directors fully participate in all Board meetings, review documents, and provide independent input on important issues, particularly development strategy, business plans, risk management, and transactions that may involve conflicts of interest.

II. Results of monitoring the Company's financial statements, operational performance, and financial situation:

In 2025, the Audit Committee fully performed its supervisory functions as stipulated by law, the Company Charter, and the Audit Committee's operating regulations, focusing on matters related to the Company's financial statements, operational performance, and financial situation.

Regarding the preparation and publication of financial statements, the Audit Committee has overseen the preparation of the Company's periodic financial statements and the 2025 annual financial statements, ensuring that the preparation and presentation of financial statements are in accordance with Vietnamese accounting standards, the corporate accounting system, and relevant legal regulations. The financial statements are prepared fully, truthfully, and reasonably, accurately reflecting the Company's financial situation, operating results, and cash flows during the reporting period. Based on this, the Audit Committee unanimously assesses that the Company's 2025 financial statements have been prepared and audited in accordance with legal regulations, and no material misstatements affecting the truthfulness and reasonableness of the financial statements have been detected.

Regarding its operational status, the Company's activities in 2024 complied with all legal regulations and its Articles of Association. The Company adhered well to regulations on information disclosure for securities companies and is also a company registered for trading on the Hanoi Stock Exchange.

Regarding the financial situation, the company's total revenue reached VND 86.31 billion, an increase of 33.52% compared to 2024 and exceeding the planned target by more than 11%. Net profit after tax in 2025 reached VND 44.89 billion, an increase of more than 16 times compared to 2024 and achieving 98.44% of the planned target.

III. Results of monitoring the internal control system, risk management, and legal compliance:

Regarding the internal control system, the Audit Committee has overseen the establishment, operation, and maintenance of the internal control system within the

Company. Through this oversight, the Audit Committee found that the Company's internal control system is appropriately established to the scale and specific characteristics of a securities company's operations, and that the internal procedures and regulations issued are relatively complete and strictly implemented in practice.

Regarding risk management, the Audit Committee has monitored and supervised the identification, assessment, and control of risks arising in the Company's operations, particularly those related to securities trading, finance, accounting, and legal compliance. The Company has implemented appropriate risk management measures, ensuring financial safety and stable, continuous operation.

Regarding legal compliance, the Audit Committee monitored the Company's adherence to regulations on securities and the securities market, the Enterprise Law, implementing guidelines, and internal regulations. The monitoring results showed that in 2025, the Company fully complied with current legal regulations, with no material violations affecting its operations and reputation.

Based on the monitoring results, the Audit Committee assessed that in 2025, the Company's financial reporting and disclosure, internal control system, risk management, and legal compliance were fully implemented, in accordance with regulations, and consistent with the requirements of public company governance.

IV. The Audit Committee's oversight activities over the Board of Directors, the General Management Board, and shareholders.

In 2025, the Audit Committee performed its supervisory function over the activities of the Board of Directors and the General Management Board in accordance with the law, the Company Charter, and the resolutions of the General Meeting of Shareholders.

The Audit Committee has overseen the management, operation, and implementation of the 2025 operational and profit plans; and has monitored the implementation of resolutions of the General Shareholders' Meeting and the Board of Directors, ensuring that the Company's activities are carried out in accordance with the established guidelines and legal regulations.

In addition, the Audit Committee oversees the issuance of resolutions and decisions by the Board of Directors and the General Management Board, ensuring they are within the proper authority, follow the correct procedures, and are consistent with the Company's Charter and internal regulations.

The Audit Committee also oversees the Company's financial, accounting, and financial reporting activities; reviews the interim financial statements and the 2025 annual financial statements, ensuring their legality, reasonableness, accuracy, and prudence as required.

In addition, the Audit Committee has monitored the operational situation at the Head Office and its subsidiaries, thereby assessing compliance with internal regulations

and relevant laws, contributing to ensuring the legitimate rights and interests of shareholders.

V. Coordination of activities between the Audit Committee and the Board of Directors, the General Management Board, management staff, and shareholders.

In 2025, the Audit Committee worked closely with the Board of Directors, the Executive Management Board, relevant managers, and shareholders within the framework of its assigned functions and duties.

The Audit Committee is invited to attend several meetings of the Board of Directors, providing input on matters within its oversight scope, thereby contributing to improving the effectiveness of the Company's governance and operations.

The Board of Directors and functional departments have facilitated and provided complete and timely information and documents necessary for the Audit Committee's supervisory activities. Throughout the performance of its duties, the Audit Committee has consistently collaborated effectively with members of the Board of Directors, the Executive Board, and relevant management personnel, ensuring the proper fulfillment of its supervisory functions as stipulated.

The Board of Directors, the Audit Committee, and the General Management Board operate independently according to their functions, but always maintain close coordination, fully exercising their rights and obligations with a sense of responsibility, honesty, and diligence, for the legitimate and long-term interests of the Company and its shareholders, while complying with the Company's Charter, internal regulations, and applicable laws.

Regarding shareholders, in 2025, the Audit Committee did not receive any suggestions or complaints from shareholders in accordance with the Company's Articles of Association and applicable laws.

VI. Projected operational plan for 2026

In 2026, the Audit Committee plans to focus on the following key tasks:

- To examine the reasonableness, legality, honesty, and degree of prudence in the management and operation of the company's business and in the preparation of its financial statements.
- Review quarterly financial statements, annual financial statements, and business performance reports of the Company; check compliance with accounting standards in reporting periods and reconcile data when necessary.
- Review accounting books, vouchers, and documents related to financial and accounting matters whenever deemed necessary, or at the request of the General Meeting of Shareholders, the Board of Directors, or shareholders/groups of shareholders as stipulated.

- Examine and report on the issues that require examination, ensuring that objective and complete information is provided to the Board of Directors and shareholders.
- Participate in providing feedback to improve the company's governance system, including regulations, procedures, and operating mechanisms, in order to enhance transparency, efficiency, and conformity with best practices for publicly traded companies.
- Fully exercise all rights and fulfill all obligations as stipulated in the Enterprise Law, the Securities Law, the Company Charter, and the resolutions of the General Meeting of Shareholders.

The above is the content of the Report on the activities of the Audit Committee and independent members of the Board of Directors in the Audit Committee for the year 2025 of Hai Phong Securities Joint Stock Company. Respectfully submitted to the General Meeting of Shareholders!

Best regards./.

Recipient:

- *General Shareholders' Meeting;*
- *Archived: A&HR D.*

**ON BEHALF OF THE AUDIT COMMITTEE
CHAIRMAN OF THE AUDIT COMMITTEE**





Hai Phong, March 03, 2026

No: 16/2026/TT-CKHP

**AUDITED REPORT ON THE UTILIZATION OF PROCEEDS FROM THE
2026 PRIVATE PLACEMENT OF SHARES**

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *Government Decree 155/2020/ND-CP dated December 31, 2020, provides detailed regulations for the implementation of several articles of the Securities Law;*
- *Government Decree 245/2025/ND-CP dated September 11, 2025, amends and supplements several articles of Decree 155/2020/ND-CP;*
- *The equity statement for the financial period from July 1, 2025 to January 27, 2026 has been audited by BDO Audit Company Limited.*
- *Resolution No. 18/2026/NQ-CKHP dated 03/03/2026 of the Board of Directors of Hai Phong Securities Joint Stock Company on the adjustment and supplementation of documents for the 2026 Annual General Meeting of Shareholders..*

Pursuant to the provisions of the Securities Law regarding reporting and disclosure of information on the use of capital and proceeds from the offering, the Board of Directors hereby publishes the audited Report on the Use of Capital Produced from the Company's 2026 Private Placement of Shares.

Audited report on the utilization of proceeds have been posted on the Company's website at the following link: <https://haseco.vn/> under the Information Disclosure section.

Best regards./.

Recipient:

- *General Shareholders' Meeting;*
- *Archived: A&HR D.*

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF
DIRECTORS**



NGUYEN TUAN ANH



Hai Phong, February 12, 2026

No: 04/2026/TT-CKHP

PROPOSAL

Re: Proposal for approval of the audited Financial Statements for the year 2025

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *The 2025 financial report of Hai Phong Securities Joint Stock Company has been audited by BDO Auditing Company Limited.*
- *Resolution No. 14.1/2026/NQ-CKHP dated February 12, 2026, of the Board of Directors of Hai Phong Securities Joint Stock Company on the Implementation of the 2026 Annual General Meeting of Shareholders.*

The Board of Directors of Hai Phong Securities Joint Stock Company ("Board of Directors") respectfully submits to the General Meeting of Shareholders for consideration and approval the audited financial statements for 2025 as follows:

The 2025 financial report was audited by BDO Auditing Company Limited in accordance with the State's prescribed standards. This report has been disclosed as required and is also posted on the website at <https://haseco.vn/>.

I. Some key financial indicators in the 2025 Financial Report

Unit: VND

Business performance indicators	2025
Operating revenue	85,530,488,932
Financial operating revenue	452,284,616
Other income	328,190,341

Business performance indicators	2025
Profit before tax	44,887,381,827
Net profit after tax	44,887,381,827

Asset	December 31, 2025
Current assets	391.303.031.786
Financial assets	389,176,162,738
Other current assets	2,126,869,048
Long-term assets	10,220,480,919
Long-term financial assets	0
Fixed assets	3,327,623,350
Other long-term assets	6,892,857,569
Total assets	401,523,512,705

Funding	December 31, 2025
Liabilities	90,945,017,628
Short-term liabilities	90,945,017,628
Long-term liabilities	0
Equity	310,578,495,077
Total capital	401,523,512,705

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II. Audit opinion on the 2025 financial statements.

Auditor's opinion on the 2025 financial statements of Hai Phong Securities Joint Stock Company:

In our opinion, the accompanying financial statements fairly and reasonably reflect, in all material respects, the financial position of Hai Phong Securities Joint Stock Company as of December 31, 2025, as well as its business results, cash flow, and changes in equity for the fiscal year ending on the same date, in accordance with the Accounting Standards and Accounting Regulations applicable to securities companies as stipulated in Circular No. 210/2014/TT-BTC dated December 30, 2014, issued by the Ministry of

Finance on Accounting Guidance for Securities Companies, Circular No. 334/2016/TT-BTC dated December 27, 2016, issued by the Ministry of Finance on amending, supplementing, and replacing Appendix 02 and Appendix 04 of Circular 210/2014/TT-BTC, and other relevant legal regulations concerning the preparation and presentation of reports. finance.

We respectfully submit this to the General Meeting of Shareholders for consideration and approval.

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS**





Hai Phong, February 12, 2026

No: 05/2026/TT-CKHP

PROPOSAL

Re: Proposal for approval of the profit distribution plan for 2025 and the dividend payment plan for 2026

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *The 2025 financial report of Hai Phong Securities Joint Stock Company has been audited by BDO Auditing Company Limited.*
- *Articles of Association of Hai Phong Securities Joint Stock Company;*
- *Resolution No. 14.1/2026/NQ-CKHP dated February 12, 2026, of the Board of Directors of Hai Phong Securities Joint Stock Company on the Implementation of the 2026 Annual General Meeting of Shareholders.*

The Board of Directors of Hai Phong Securities Joint Stock Company (BOD) respectfully submits to the General Meeting of Shareholders for consideration and approval the profit distribution plan for 2025 and the dividend payment plan for 2026 as follows:

I. Profit distribution in 2025

The undistributed after-tax profit on the audited financial statements for 2025 is VND 8,594,626,367. In order to continue implementing the sustainable development strategy, expanding market share, and enhancing the brand position in the stock market, the Company's Board of Directors submits to the General Meeting of Shareholders for consideration and approval the retention of the accumulated undistributed profit on the company's financial statements for 2025 to supplement working capital, serve investment activities, develop technological infrastructure, expand core business operations, and strengthen the Company's financial capacity.



II. Dividend payment plan for 2026

In 2026, in order to focus financial resources on investment plans, meet capital needs for margin lending, financial asset investment, and improve the quality of advisory and brokerage services, the Company will not pay dividends in cash or shares.

We respectfully submit this to the General Meeting of Shareholders for consideration and approval.

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS**



NGUYEN TUAN ANH





Hai Phong, February 12, 2026

No: 06/2026/TT-CKHP

PROPOSAL

***Re: Proposal on the selection of the auditor for the Financial
Statements for the year 2026***

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *The 2025 financial report of Hai Phong Securities Joint Stock Company has been audited by BDO Auditing Company Limited.*
- *Resolution No. 14.1/2026/NQ-CKHP dated February 12, 2026, of the Board of Directors of Hai Phong Securities Joint Stock Company on the Implementation of the 2026 Annual General Meeting of Shareholders.*

To ensure compliance with accounting standards and the principles of transparency and openness in the Company's financial statements, the Board of Directors of Hai Phong Securities Joint Stock Company (BOD) respectfully submits to the General Meeting of Shareholders for consideration and approval the selection of an auditing and review firm for the Company's financial statements for the year 2026 as follows:

I. Criteria for selecting an auditing firm:

We propose that the General Meeting of Shareholders approve the criteria for selecting the auditing firm:

- As an independent auditing firm with functions as prescribed by law and approved by the State Securities Commission to audit public interest entities in the securities sector in 2026;
- Meeting the Company's requirements regarding the scope, schedule, and quality of the audit;
- The team of auditors is highly qualified and experienced;



- The audit fee is determined based on the quality of the audit and the scope of the audit, taking into account the fee quotations submitted.

II. Proposed audit firm

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval the criteria for selecting an auditing firm and authorizes the Board of Directors to select an independent auditing firm. This firm will conduct the audit and review of the 2026 financial statements and perform operational checks of the company (if any), meeting the above criteria, ensuring quality, efficiency, and the most appropriate cost.

Simultaneously, the General Meeting of Shareholders authorized the Board of Directors to select a replacement auditing firm in the event that the selected annual auditing firm ceases to review and audit the Company's financial statements, in order to ensure that the interim reviewed financial statements and the annual audited financial statements are published fully and on time as required by law. The replacement auditing firm must also meet all the criteria stated in Section 1.

We respectfully submit this to the General Meeting of Shareholders for consideration and approval.

Best regards./.

Recipient:

- *General Shareholders' Meeting;*
- *Archived: A&HR D.*

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS**



NGUYEN TUAN ANH





Hai Phong, March 03, 2026

No: 07/2026/TT-CKHP

PROPOSAL

***Re: Remuneration of the Board of Directors, the Board of Supervisors
and the Audit Committee***

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *The 2025 financial report of Hai Phong Securities Joint Stock Company has been audited by BDO Auditing Company Limited.*
- *Resolution No. 18/2026/NQ-CKHP dated 03/03/2026 of the Board of Directors of Hai Phong Securities Joint Stock Company on the adjustment and supplementation of documents for the 2026 Annual General Meeting of Shareholders.*
- *The actual situation.*

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval the remuneration and expenses for the Board of Directors and the Supervisory Board for 2025, and the plan for remuneration and expenses for the Board of Directors and the Audit Committee for 2026, specifically as follows:

I. Remuneration for the Board of Directors and Supervisory Board in 2025:

1. Compensation and expenses for members of the Board of Directors:

In 2025, the Company's Board members received the following compensation:

No.	Board Member	Position	Remuneration, bonuses and other related benefits (VND)
1	Mr. Ninh Le Son Hai	Chairman of the Board Dismissal effective June 26, 2025	71,243,182



No.	Board Member	Position	Remuneration, bonuses and other related benefits (VND)
2	Ms. Nguyen Thi Mai	Board Member Dismissal effective June 26, 2025	47,495,455
3	Ms. Ly Thi Thu Ha	Board Member Dismissal effective June 26, 2025	47,495,455
4	Mr. Chu Viet Ha	Board Member Dismissal effective June 26, 2025	47,495,455
5	Mr. Le Ngoc Hai	Board Member Dismissal effective June 26, 2025	47,495,455
6	Mr. Dao Le Huy	Board Member Appointment effective June 26, 2025	434,545,455
7	Mr. Nguyen Tuan Anh	Independent Board Member Appointment effective June 26, 2025	409,498,036
8	Ms. Ngo Thi Song Ngan	Board Member Appointment effective June 26, 2025	30,681,818

The total amount of remuneration, bonuses, and other related benefits paid to the Board of Directors in 2025 was VND 1,135,950,311.

2. Remuneration for members of the Supervisory Board:

In 2025, the members of the Company's Supervisory Board received the following remuneration:

No.	Supervisory Board Member	Position	Remuneration, bonuses and other related benefits (VND)
1	Mr. Pham Minh Hieu	Head of the Supervisory Board Dismissal effective June 26, 2025	29,700,000
2	Mr. Nguyen Trung Kien	Supervisory Board Member Dismissal effective June 26, 2025	29,700,000
3	Mr. Le Tuan	Supervisory Board Member Dismissal effective June 26, 2025	29,700,000

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The total amount of remuneration, bonuses, and other related benefits paid to the Supervisory Board in 2025 was VND 89,100,000.

3. Remuneration for members of the Audit Committee:

The Audit Committee will not receive remuneration in 2025 because the members of the Board of Directors also hold positions on the Audit Committee.

II. Board of Directors Compensation and Expense Plan for 2026:

1. Remuneration of Board of Directors members:

The total remuneration for members of the Board of Directors, including the remuneration of the Chairman of the Board of Directors, independent members of the Board of Directors, and other members of the Board of Directors, is: VND 3,000,000,000 per year.

The General Meeting of Shareholders authorizes the Board of Directors to determine the remuneration for each member based on consensus, ensuring compliance with current laws and the Company's Articles of Association.

2. Remuneration of members of the Audit Committee reporting to the Board of Directors

The Audit Committee will not receive remuneration in 2026 because the members of the Board of Directors also hold positions on the Audit Committee.

The remuneration paid to Board members is pre-tax. Board members are responsible for declaring and paying personal income tax in accordance with the law. Board members may receive additional bonuses if they exceed the after-tax profit target set by the Annual General Meeting of Shareholders, with the bonus amount based on legal regulations and approved by the General Meeting of Shareholders.

We respectfully submit this to the General Meeting of Shareholders for consideration and approval.

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS**



NGUYEN TUAN ANH



Hai Phong, February 12, 2026

No: 08/2026/TT-CKHP

PROPOSAL

***Re: Proposal for approval of the amendment to the business lines of
Hai Phong Securities Joint Stock Company***

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;
- Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.
- Articles of Association of Hai Phong Securities Joint Stock Company;
- Resolution No. 14.1/2026/NQ-CKHP dated February 12, 2026, of the Board of Directors of Hai Phong Securities Joint Stock Company on the Implementation of the 2026 Annual General Meeting of Shareholders.

Currently, Hai Phong Securities Joint Stock Company ("the Company") has fully met the conditions to perform securities underwriting services as prescribed by securities law.

Based on that, the Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval the change of the Company's business lines and activities, specifically as follows:

Add Underwriting services to the Company's business lines:

No.	The name of the business sector/profession has been added.	Industry code	Main business activities (If the newly added business activity is the main business activity, then...) (Mark with an X to select one of the listed occupations/professions)
1	Commodity and securities contract brokers Details: Securities brokerage; securities trading; securities investment advisory; securities underwriting.	6612	X

At the same time, the Board of Directors is authorized to carry out the necessary procedures at the competent State agencies, ensuring compliance with current legal regulations.

We respectfully submit this to the General Meeting of Shareholders for consideration and approval.

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS**





Hai Phong, March 3, 2026

No: 15/2026/TT-CKHP

PROPOSAL

Re: The change of the legal representative of the Company

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Based on Circular No. 121/2020/TT-BTC dated December 31, 2020, of the Ministry of Finance regulating the operations of securities companies;*
- *Articles of Association of Hai Phong Securities Joint Stock Company;*
- *Resolution No. 18/2026/NQ-CKHP dated 03/03/2026 of the Board of Directors of Hai Phong Securities Joint Stock Company on the adjustment and supplementation of documents for the 2026 Annual General Meeting of Shareholders.*

In order to achieve the business objectives and meet the management and operational needs of the Company, the Board of Directors respectfully submits to the General Meeting of Shareholders for approval the change of the title of the Company's legal representative , specifically:

- Title before change: The legal representative was the Chairman of the Board of Directors.

- Title changed: The legal representative is now the General Director.

At the same time, the Board of Directors is authorized to perform the following tasks:

- Amend and supplement the Company's Charter regarding the Legal Representative with the contents approved in the Proposal;

- Perform the necessary procedures within your functions and authority to implement the work contents outlined in this Proposal and related or arising issues, including but not limited to finalizing and signing necessary procedural documents with



state agencies and/or other relevant parties (if any) as prescribed.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval .

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF
DIRECTORS**



NGUYEN TUAN ANH





Hai Phong, March 03, 2026

No: 09/2026/TT-CKHP

PROPOSAL

Re: The amendment of the Company's Charter

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Articles of Association of Hai Phong Securities Joint Stock Company;*
- *Resolution No. 18/2026/NQ-CKHP dated 03/03/2026 of the Board of Directors of Hai Phong Securities Joint Stock Company on the adjustment and supplementation of documents for the 2026 Annual General Meeting of Shareholders.*

The Board of Directors of Hai Phong Securities Joint Stock Company (BOD) respectfully submits to the General Meeting of Shareholders for consideration and approval the following amendments to the Company's Charter:

1. Content to be edited:

T T	Clause	Contents of the Charter dated January 19, 2026	Content after revision	Reason for revision
1	Clause 3, Article 2	3. Registered office of the Company: - Head office address: 7, Lot 28A, Le Hong Phong Street, Dong Khe Ward, Ngo Quyen District, Hai Phong City - Phone: (84.0225) 3842335; Fax: (84.0225) 3746266 - Website: www.haseco.vn	3. Registered office of the Company: - Head office address: <u>No. 7, Lot 28A, Le Hong Phong Street, Gia Vien Ward, Hai Phong City, Vietnam</u> - Phone: (84.0225) 3842335; Fax: (84.0225) 3746266 - Website: www.haseco.vn - Email: haseco@haseco.vn	Adjusting the company's head office address due to changes in administrative boundaries



T T	Clause	Contents of the Charter dated January 19, 2026	Content after revision	Reason for revision
		- Email: haseco@haseco.vn		
2	Clause 2, Article 3	2. The company has 01 legal representative. The legal representative is the Chairman of the Board of Directors	2. The company has 01 legal representative. The legal representative is <u>the General Director</u>	
3	Point h, Clause 2, Article 27	h) Through purchase, sale, loan, and other contracts and transactions with a value of 35% or more of the total asset value recorded in the Company's most recent financial statement, and contracts and transactions falling under the authority of the General Meeting of Shareholders as stipulated in point d, clause 2, Article 138, clauses 1 and 3, Article 167 of the Enterprise Law;	h) Through purchase, sale, loan, and other contracts and transactions with a value of 35% or more of the total asset value recorded in the Company's most recent financial statement, <u>excluding</u> contracts and transactions under the authority of the General Meeting of Shareholders as stipulated in point d, clause 2, Article 138, and clauses 1 and 3, Article 167 of the Enterprise Law;	Adjustments to comply with the provisions of the Enterprise Law.

(The full text of the revised Charter is attached.)

2. The General Meeting of Shareholders authorizes and assigns the Board of Directors to decide and implement specific matters related to amending and supplementing the Charter as stated in Section 1 above, in accordance with the provisions of law and guidance of competent State agencies (if any).

3. These charters shall take effect from the date of signing and promulgation by the legal representative of Hai Phong Securities Joint Stock Company.

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF
DIRECTORS**



NGUYEN TUAN ANH



Hai Phong, March 3, 2026

No: 10/2026/TT-CKHP

PROPOSAL

Re: Proposal on the implementation of business activities and clearing and settlement services for derivatives securities trading

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *Decree No. 158/2020/ND-CP dated December 31, 2020 of the Government of the Socialist Republic of Vietnam on Derivatives Securities and the Derivatives Securities Market and guiding documents for its implementation, amendment, and supplementation;*
- *Articles of Association of Hai Phong Securities Joint Stock Company;*
- *Resolution No. 18/2026/NQ-CKHP dated 03/03/2026 of the Board of Directors of Hai Phong Securities Joint Stock Company on the adjustment and supplementation of documents for the 2026 Annual General Meeting of Shareholders.*

Currently, the legal framework for derivative securities is governed by Decree No. 158/2020/ND-CP dated December 31, 2020, amended and supplemented by Decree No. 306/2025/ND-CP dated November 25, 2025, and other relevant securities laws. The law requires that securities companies wishing to conduct business and provide clearing and settlement services for derivative securities transactions must obtain approval from their General Meeting of Shareholders.

The implementation of activities related to the derivatives market will help enhance the competitiveness and expand the Company's business operations through the diversification of products and services offered to customers. Therefore, the Board of Directors respectfully submits this proposal to the General Meeting of Shareholders for consideration and approval:

1. Through conducting derivative securities business operations and providing clearing and settlement services for derivative securities transactions, the Company includes :



- Derivative securities trading activities include:
 - + Derivatives brokerage;
 - + And/or investment advice on derivative securities;
 - + And/or proprietary trading in derivative securities.
- Activities related to providing clearing and settlement services for derivative securities transactions: Direct clearing members.
- Other services related to derivative securities trading include providing derivative clearing and settlement services in accordance with legal regulations.

2. Authorize the Board of Directors:

Authorize the Board of Directors to decide and carry out all necessary related tasks for implementation. The business activities and participation in the derivatives market mentioned in Section 1 of this Proposal include, but are not limited to, the following:

- Prepare the necessary conditions as required by law for implementation. the activities mentioned above;
- Decide on the appropriate time to implement the above activities;
- Direct/authorize the legal representative/general director to carry out the procedures for obtaining approval and registration with competent state agencies in accordance with the law;
- Amendments and additions to the Company's Charter are intended to update the Company's business operations after changes occur and to obtain the approval of competent State authorities (if prescribed by law and/or if necessary);
- Implement reporting, information disclosure, and related procedures as required by law.

We respectfully submit this to the General Meeting of Shareholders for consideration and approval.

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS



NGUYEN TUAN ANH





Hai Phong, February 12, 2026

No: 11/2026/TT-CKHP

PROPOSAL

***Re: Proposal on the plan to list the shares of Hai Phong Securities
Joint Stock Company***

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *Articles of Association of Hai Phong Securities Joint Stock Company;*
- *Resolution No. 14.1/2026/NQ-CKHP dated February 12, 2026, of the Board of Directors of Hai Phong Securities Joint Stock Company on the Implementation of the 2026 Annual General Meeting of Shareholders.*

The Board of Directors of Hai Phong Securities Joint Stock Company (BOD) respectfully submits to the General Meeting of Shareholders for consideration and approval the listing of shares of Hai Phong Securities Joint Stock Company with the following content:

1. Listing all shares of Hai Phong Securities Joint Stock Company (Stock Code: HAC) currently traded on the Upcom trading system for unlisted securities at the Ho Chi Minh City Stock Exchange (HOSE)/Hanoi Stock Exchange (HNX) (after approval by the State management agencies).
2. Authorize/Delegate to the Board of Directors to decide on the selection of either the Ho Chi Minh City Stock Exchange or the Hanoi Stock Exchange for the registration and listing of shares; organize the implementation of all procedures and sign all documents related to the delisting and listing of shares as prescribed, including but not limited to the following tasks:
 - Decision to sign the relevant documents to proceed with the stock listing ;



- Decision to amend, supplement, and explain all contents in accordance with the law related to the posting (including decisions on contents not presented in this submission, if any);
- The decision on the listing price and timing of the stock listing should be in accordance with market conditions and legal regulations;
- Decision on the timing and procedures for delisting Hai Phong Securities Joint Stock Company shares from the Upcom trading system after the listing approval decision at the Stock Exchange;
- To decide on other arising issues to complete the listing of the Company's shares.

The Board of Directors will report on the implementation results at the next Annual General Meeting of Shareholders.

We respectfully submit this to the General Meeting of Shareholders for consideration and approval.

Best regards./.

Recipient:

- *General Shareholders' Meeting;*
- *Archived: A&HR D.*

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS**



NGUYEN TUAN ANH





Hai Phong, January 12, 2026

No: 12/2026/TT-CKHP

PROPOSAL

Re: Proposal on the policy for entering into contracts and transactions between the Company and related parties and the approval of major contracts and transactions

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020, and its guiding documents, amendments, and supplements;*
- *The Law on Securities No. 54/2019/QH14 passed by the National Assembly on November 26, 2019, and its guiding documents, amendments, and supplements;*
- *Resolution No. 14.1/2026/NQ-CKHP dated 12/02/2026 of the Board of Directors of Hai Phong Securities Joint Stock Company regarding the implementation and organization of the 2026 Annual General Meeting of Shareholders;*
- *The Charter of Hai Phong Securities Joint Stock Company.*

To be proactive in business operations and ensure the completion of the set plan, the Board of Directors respectfully submits to the 2026 Annual General Meeting of Shareholders for approval of the policy of implementing contracts and transactions in the period of 2026-2027 as follows:

- 1. Through investment and sale of assets with a value of 35% or more of the total asset value recorded in the Company's most recent financial report.**

1.1. Transaction content:

- a. Transactions in investing in bonds, certificates of deposit and other valuable papers;
- b. Asset sales transactions;
- c. Other transactions in accordance with the law.

1.2. Trading partner: According to attached Appendix 01

- 2. Through the signing and execution of contracts , loan transactions, and asset sales with a value greater than 10% of the total asset value recorded in the most recent financial report between the company and shareholders owning**

51% or more of the total number of voting shares or related persons of such shareholders.

2.1. Transaction content:

- a. Loan transactions;
- b. Asset sales transactions;
- c. Other transactions in accordance with the law.

2.2. Transaction partner: Shareholder owning 51% or more of total voting shares or related person of that shareholder

3. Approval of the execution and performance of contracts and transactions with a value of 35% or more, or transactions that result in the total value of transactions arising within a 12-month period (from the date of the first transaction following the approval of this proposal by the General Meeting of Shareholders) reaching 35% or more of the total asset value recorded in the Company's latest financial statements.

3.1. Transactions with Related Parties include but are not limited to :

- a. Transactions of purchase and sale of goods and provision of services;
- b. Loan, lending, guarantee, pledge and mortgage transactions based on optimizing cash flow and financial activities of the parties;
- c. Transactions in investing in bonds, certificates of deposit and other valuable papers;
- d. Other transactions in accordance with the law.

3.2. Transaction Counterparties:

- The Parties involved in the transaction include:
 - a. Shareholders, authorized representatives of shareholders being organizations owning more than 10% of the total common shares of the company and their related persons;
 - b. Board members, General Directors, other managers and their related persons;
 - c. Enterprises whose members of the Board of Directors, General Director and other managers of the Company must declare according to the provisions of Clause 2, Article 164 of the Law on Enterprises 2020.
- In addition to the Related Parties identified as prescribed in Clause 3.2 of this Article in each period, other Related Parties and subjects performing transactions are approved according to the list in Appendix 01 attached.

4. Principles of transaction execution

- Comply with legal regulations and the Company's internal management system.
- Optimize capital efficiency and company business operations.

- Ensure compliance with the specific conditions specified in Sections 1, 2, 3 of this Proposal.
 - Control transaction risks while ensuring the Company's interests.
 - Comply with reporting regime according to legal regulations and internal regulations of the Company.
- 5. Implementation period:** From the effective date of the Resolution of the 2026 Annual General Meeting of Shareholders until the issuance date of the Resolution of the 2027 Annual General Meeting of Shareholders.
- 6. Assignment and Delegation to the Company's Board of Directors**
- Approve the above transactions according to the policy approved by the General Meeting of Shareholders in this Proposal.
 - Direct and organize the implementation of approved contracts and transactions. The Board of Directors is decentralized and re-authorized in accordance with the law and internal regulations of the Company.

Respectfully submit to the General Meeting of Shareholders for consideration and approval!

Recipient:

- General Shareholders' Meeting
- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF BOARD OF DIRECTORS**



NGUYEN TUAN ANH

APPENDIX 01: TRANSACTION ENTITIES

No	Trading Partner
1	Vietnam Joint Stock Commercial Bank for Industry and Trade- Vietinbank
2	Bank for Investment and Development of Vietnam- BIDV
3	Vietnam Bank for Agriculture and Rural Development - Agribank
4	Joint Stock Commercial Bank for Foreign Trade of Vietnam -Vietcombank
5	Vietnam Export-Import Commercial Bank - Eximbank
6	Vietnam Thuong Tin Commercial Joint Stock Bank - Vietbank
7	Southeast Asia Commercial Joint Stock Bank- SeABank
8	Viet A Commercial Joint Stock Bank - VAB
9	Vietnam Maritime Commercial Joint Stock Bank - MSB
10	Indovina Bank (Vietnam-Italy Joint Venture Bank) - Indovina
11	Vietnam Technological and Commercial Joint Stock Bank - Techcombank
12	National Citizen Commercial Joint Stock Bank - NCB
13	Nam A Commercial Joint Stock Bank – NAB
14	An Binh Commercial Joint Stock Bank– ABBank
15	Ho Chi Minh City Development Joint Stock Commercial Bank– HDBank
16	Viet Capital Commercial Joint Stock Bank - BVBank
17	Bao Viet Commercial Joint Stock Bank – BaoVietBank
18	Fortune Vietnam Commercial Joint Stock Bank – LPB
19	Saigon – Hanoi Commercial Joint Stock Bank – SHB
20	Military Commercial Joint Stock Bank – MB Bank
21	Saigon Thuong Tin Commercial Bank – Sacombank
22	Tien Phong Commercial Joint Stock Bank – TPBank
23	First Commercial Bank (Taiwan) – FCB Taiwan
24	E.Sun Commercial Bank (Taiwan) – Esun
25	Vietnam Prosperity Joint Stock Commercial Bank – VPB
26	Vietnam International Commercial Joint Stock Bank – VIB
27	Orient Commercial Joint Stock Bank – OCB
28	Asia Commercial Joint Stock Bank – ACB
29	Prosperity & Growth Bank (PG Bank) – PGBank

T. Á. HC. NI. HP.

30	Modern Vietnam Limited-Company Bank – MV Bank
31	Kien Long Commercial Joint Stock Bank - KLB
32	Thien Viet Securities Joint Stock Company
33	Nhat Viet Securities Joint Stock Company
34	Electricity Finance Corporation – EVNFinance
35	VPBank Finance Company Limited - FE Credit
36	MB Shinsei Finance Limited Liability Company
37	Home Credit Vietnam Finance Company Limited
38	VietCredit Finance Joint Stock Company
39	HD Saison Finance Co., Ltd
40	VietinBank Securities Joint Stock Company
41	Vietcombank Securities Joint Stock Company
42	BSC Securities Joint Stock Company
43	And banks, securities companies, financial institutions, and other entities





Hai Phong, February 12, 2026

No: 13/2026/TT-CKHP

PROPOSAL

***Re: Proposal on the election of members of the Board of Directors
for the term 2026 – 2031***

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *Articles of Association of Hai Phong Securities Joint Stock Company;*
- *Resolution No. 14.1/2026/NQ-CKHP dated February 12, 2026, of the Board of Directors of Hai Phong Securities Joint Stock Company on the Implementation of the 2026 Annual General Meeting of Shareholders.*

The term of the current Board of Directors of Hai Phong Securities Joint Stock Company ("HASECO") is 5 years (2021-2026) and will end after the 2026 Annual General Meeting of Shareholders elects the Board of Directors for the 2026-2031 term. Therefore, the Board of Directors respectfully submits to the General Meeting of Shareholders for approval the election of members of the Board of Directors for the 2026-2031 term as follows:

- Number of Board of Directors members to be elected: 3 members
- Number of independent board members to be elected: 2 members
- Term of office for Board members and independent Board members: 5-year term (2026 – 2031)

The election of Board members and independent Board members will be conducted in accordance with the Regulations on Nomination, Candidacy and Election of Board Members for the 2026-2031 term and other relevant legal provisions.



The list of candidates for the election of independent members of the Board of Directors for the 2026-2031 term has been compiled and published in accordance with regulations.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHALF OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF
DIRECTORS**



NGUYEN TUAN ANH





Hai Phong, March 03, 2026

No: 14/2026/TT-CKHP

PROPOSAL

***Re: Proposal for approval of the list of candidates for election of members
of the Board of Directors for the term 2026–2031***

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Regulations on nomination, candidacy, and election of members of the Board of Directors for the term 2026 – 2031;*
- *Articles of Association of Hai Phong Securities Joint Stock Company;*
- *Nomination and candidacy documents for members of the Board of Directors of Hai Phong Securities Joint Stock Company for the term 2026-2031;*
- *Resolution No. 18/2026/NQ-CKHP dated 03/03/2026 of the Board of Directors of Hai Phong Securities Joint Stock Company on the amendment and supplementation of the documents of the 2026 Annual General Meeting of Shareholders.*

The Board of Directors of the Company respectfully submits to the General Meeting of Shareholders (GSM) for consideration and approval the list of candidates for election to the Board of Directors for the term 2026 – 2031 (*CVs attached*). Specifically as follows:

The list of candidates for the Board of Directors for the 2026-2031 term includes:

- Mr. Nguyen Tuan Anh;
- Mr. Ninh Le Son Hai;
- Mrs. Ngo Thi Song Ngan

The list of candidates for independent board members for the 2026-2031 term includes:

- Mr. Nguyen Phi Long;
- Mr. Nguyen Bang Khanh.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval./.

Thank you very much!

Recipient:

- *General Shareholders' Meeting;*
- *Archived: A&HR D.*

ON BEHALF OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS



NGUYEN TUAN ANH