

**CTCP CHỨNG KHOÁN
HẢI PHÒNG
HAIPHONG SECURITIES
JOINT STOCK COMPANY**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Số/No.: 101/CBTT-CKHP
V/v: Công bố Nghị quyết, biên bản
họp ĐHĐCĐ thường niên 2026/
*Announcement of Resolution and
Minutes of the 2026 Annual
General Meeting of Shareholders.*

Hải Phòng, ngày 06 tháng 03 năm 2026
Hai Phong, March 06, 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE**

**Kính gửi: Ủy ban Chứng khoán Nhà nước/Sở Giao dịch Chứng khoán
Việt Nam/ Sở Giao dịch Chứng khoán Hà Nội
To: The State Securities Commission/ Vietnam Stock Exchange/ Hanoi
Stock Exchange**

1. Tên tổ chức/Name of organization: **CTCP Chứng khoán Hải Phòng/ Hai Phong
Securities Joint Stock Company**
- Mã chứng khoán/Mã thành viên/ Stock code/ Broker code: **HAC**
- Địa chỉ/Address: **Số 7, lô 28A, Lê Hồng Phong, Phường Gia Viên, TP Hải Phòng/ No.
7, Lot 28A, Le Hong Phong, Gia Viên Ward, Hai Phong City.**
- Điện thoại liên hệ/Tel: **02253.842335** Fax: **02253.746266**
- E-mail: **haseco@haseco.vn**

2. Nội dung thông tin công bố/Contents of disclosure:

**Nghị quyết, biên bản họp và các tài liệu kèm theo của Đại hội đồng cổ đông thường niên năm
2026 của Công ty Cổ phần Chứng khoán Hải Phòng.**

*Resolution, meeting minutes and accompanying documents of the 2026 Annual General
Meeting of Shareholders of Hai Phong Securities Joint Stock Company.*

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 06/03/2026
tại đường dẫn: <https://haseco.vn/taxqh/dai-hoi-co-dong/>

*This information was published on the company's website on 06/03/2026, as in the link:
<https://haseco.vn/taxqh/dai-hoi-co-dong/>*



Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Tài liệu đính kèm/Attached documents:

- Nghị quyết số 02/2026/NQ/ĐHĐCĐ-CKHP ngày 06/03/2026/ *Resolution No. 02/2026/NQ/ĐHĐCĐ-CKHP dated March 06, 2026.*
- Biên bản số 01/2026/BB/ĐHĐCĐ-CKHP ngày 06/03/2026/ *Minutes No. 01/2026/BB/ĐHĐCĐ-CKHP dated March 06, 2026.*
- Các tài liệu kèm theo của ĐHĐCĐ thường niên năm 2026/ *Attached documents of the 2026 Annual General Meeting of Shareholders.*

Đại diện tổ chức

Organization representative

Người được UQ CBTT

Person authorized to disclose information

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)

(Signature, full name, position, and seal)



Đoàn Thị Thúy



**CÔNG TY CỔ PHẦN
CHỨNG KHOÁN HẢI PHÒNG/
HAI PHONG SECURITIES JOINT
STOCK COMPANY**

Số: 02/2026/NQ/ĐHĐCĐ-CKHP
No.: 02/2026/NQ/ĐHĐCĐ-CKHP

**CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
SOCIALIST REPUBLIC OF VIETNAM**

Independence - Freedom - Happiness
Hải Phòng, ngày 06 tháng 03 năm 2026
Hai Phong, March 06, 2026

**NGHỊ QUYẾT
RESOLUTION**

**ĐẠI HỘI ĐỒNG CỔ ĐÔNG THƯỜNG NIÊN NĂM 2026
2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS**

- Căn cứ Luật doanh nghiệp số 59/2020/QH14 ngày 17/06/2020 của Quốc hội nước Cộng hoà Xã hội Chủ nghĩa Việt Nam và các văn bản hướng dẫn thi hành, sửa đổi, bổ sung;
Pursuant to the Enterprise Law No. 59/2020/QH14 dated June 17, 2020 of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;
- Căn cứ Luật chứng khoán số 54/2019/QH14 ngày 26/11/2019 của Quốc hội nước Cộng hoà Xã hội Chủ nghĩa Việt Nam và các văn bản hướng dẫn thi hành, sửa đổi, bổ sung;
Pursuant to Securities Law No. 54/2019/QH14 dated November 26, 2019 of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;
- Căn cứ Điều lệ Công ty cổ phần Chứng khoán Hải Phòng;
Pursuant to the Charter of Hai Phong Securities Joint Stock Company;
- Căn cứ các báo cáo, tờ trình do Hội đồng quản trị đệ trình trước toàn thể Đại hội đồng cổ đông thường niên năm 2026;
Pursuant to the reports and proposals submitted by the Board of Directors to the 2025 Annual General Meeting of Shareholders;
- Căn cứ Biên bản kiểm phiếu bầu quyết số 01/2026/BBKP/ĐHĐCĐ-CKHP ngày 06/03/2026, Biên bản kiểm phiếu bầu cử số 02/2026/BBKP/ĐHĐCĐ-CKHP ngày 06/03/2026, Biên bản kiểm phiếu bầu cử số 03/2026/BBKP/ĐHĐCĐ-CKHP ngày 06/03/2026 và Biên bản họp số 01/2026/BB/ĐHĐCĐ-CKHP ngày 06/03/2026 của Đại hội đồng cổ đông thường niên năm 2026 Công ty cổ phần Chứng khoán Hải Phòng.
Pursuant to the Vote Counting Minutes No. 01/2026/BBKP/ĐHĐCĐ-CKHP dated March 06, 2026, the Election Vote Counting Minutes No. 02/2026/BBKP/ĐHĐCĐ-CKHP dated March 06, 2026, the Election Vote Counting Minutes No. 03/2026/BBKP/ĐHĐCĐ-CKHP dated March 06, 2026 and the Meeting Minutes No. 01/2026/BB/ĐHĐCĐ-CKHP dated March 06, 2026 of the 2025 Annual General Meeting of Shareholders of Hai Phong Securities Joint Stock Company.

Sau khi thảo luận, đóng góp ý kiến, Đại hội đồng cổ đông Công ty cổ phần Chứng khoán Hải Phòng tại cuộc họp thường niên năm 2026/ *After discussion and comments, the General Meeting of Shareholders of Hai Phong Securities Joint Stock Company at the 2026 Annual Meeting hereby:*

QUYẾT NGHỊ RESOLVES

Điều 1: Thông qua Báo cáo kết quả hoạt động kinh doanh năm 2025, kế hoạch hoạt động kinh doanh năm 2026 của Công ty số 01/2026/BC-CKHP ngày 12/02/2026.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 1: Approval of the report on business performance in 2025 and business plan for 2026 No. 01/2026/BC-CKHP dated 12/02/2026.

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 2: Thông qua Báo cáo hoạt động của Hội đồng quản trị (“HĐQT”) năm 2025 và định hướng hoạt động năm 2026 số 02/2026/BC-CKHP ngày 12/02/2026.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 2: Approval of the Report on the activities of the Board of Directors in 2025 and operational orientations for 2026 No. 02/2026/BC-CKHP dated 12/02/2026.

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 3: Thông qua Báo cáo hoạt động của Ủy ban Kiểm toán và thành viên độc lập HĐQT trong Ủy ban kiểm toán năm 2025 số 03/2026/BC-CKHP ngày 12/02/2026.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 3: Approval of the Report on the activities of the Audit Committee and the independent members of the Board of Directors serving on the Audit Committee No. 03/2026/BC-CKHP dated 12/02/2026.

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting

Điều 4: Thông qua Báo cáo số 16/2026/TT-CKHP ngày 03/03/2026 về việc Báo cáo tình hình sử dụng vốn, số tiền thu được từ đợt chào bán cổ phiếu riêng lẻ năm 2026 đã được kiểm toán

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 4: Approval of Report No. 16/2026/TT-CKHP dated 03/03/2026 regarding the

Audited Report on the Utilization of Proceeds from the 2026 Private Placement of Shares

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 5: Thông qua Tờ trình số 04/2026/TT-CKHP ngày 12/02/2026 v/v Thông qua Báo cáo tài chính năm 2025 đã kiểm toán.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 5: *Approval of Proposal No. 12/2026/TT-CKHP dated 12/02/2026 regarding the approval of the audited Financial Statements for the year 2025*

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 6: Thông qua Tờ trình số 05/2026/TT-CKHP ngày 12/02/2026 v/v Thông qua Phương án phân phối lợi nhuận năm 2025, kế hoạch chi trả cổ tức năm 2026.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 6: *Approval of Proposal No. 05/2026/TT-CKHP dated 12/02/2026 regarding the approval of the profit distribution plan for 2025 and the dividend payment plan for 2026..*

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 7: Thông qua Tờ trình số 06/2026/TT-CKHP ngày 12/02/2026 v/v lựa chọn đơn vị kiểm toán Báo cáo tài chính năm 2026.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 7: *Approval of Proposal No. 06/2026/TT-CKHP dated 12/02/2026 regarding the selection of the auditor for the Financial Statements for the year 2026.*

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 8: Thông qua Tờ trình số 07/2026/TT-CKHP ngày 03/03/2026 v/v thù lao Hội đồng quản trị, Ban kiểm soát và Ủy Ban kiểm toán.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 8: *Approval of Proposal No. 07/2026/TT-CKHP dated 03/03/2026 regarding Remuneration of the Board of Directors, the Board of Supervisors and the Audit Committee*



The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 9: Thông qua Tờ trình số 08/2026/TT-CKHP ngày 12/02/2026 v/v thay đổi ngành, nghề kinh doanh của Công ty Cổ phần Chứng khoán Hải Phòng

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 9: Approval of the Proposal No. 08/2026/TT-CKHP dated 12/02/2026 regarding Approval of the amendment to the business lines of Hai Phong Securities Joint Stock Company.

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 10: Thông qua Tờ trình số 15/2026/TT-CKHP ngày 03/03/2026 về việc thay đổi chức danh người đại diện theo pháp luật.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 10: Approval of the Proposal No. 15/2026/TT-CKHP dated 03/03/2026 regarding the change of the title of the legal representative.

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 11: Thông qua Tờ trình số 09/2026/TT-CKHP ngày 03/03/2026 về việc sửa đổi Điều lệ Công ty.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 11: Approval of Proposal No. 09/2026/TT-CKHP dated 03/03/2026 regarding on the Amendment of the Company's Charter.

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 12: Thông qua Tờ trình số 10/2026/TT-CKHP ngày 03/03/2026 v/v thực hiện hoạt động kinh doanh và dịch vụ bù trừ, thanh toán giao dịch chứng khoán phái sinh.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 12: Approval of Proposal No. 10/2026/TT-CKHP dated 03/03/2026 regarding on the implementation of business activities and clearing and settlement services for derivatives securities trading

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 13: Thông qua Tờ trình số 11/2026/TT-CKHP ngày 12/02/2026 v/v niêm yết cổ phiếu Công ty Cổ phần Chứng khoán Hải Phòng.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 13: Approval of Proposal No. 11/2026/TT-CKHP dated 12/02/2026 regarding the plan to list the shares of Hai Phong Securities Joint Stock Company

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 14: Thông qua Tờ trình số 12/2026/TT-CKHP ngày 12/02/2026 v/v Chủ trương thực hiện các hợp đồng, giao dịch giữa Công ty với các Bên liên quan và phê duyệt các hợp đồng, giao dịch lớn.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 14: Approval of Proposal No. 12/2026/TT-CKHP dated 12/02/2026 regarding the policy for entering into contracts and transactions between the Company and related parties and the approval of major contracts and transactions.

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 15: Thông qua Tờ trình số 13/2026/TT-CKHP ngày 12/02/2026 v/v bầu thành viên Hội đồng quản trị nhiệm kỳ 2026–2031.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 15: Approval of Proposal No. 13/2026/TT-CKHP dated 12/02/2026 regarding the election of members of the Board of Directors for the term 2026–2031

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 16: Thông qua Tờ trình số 14/2026/TT-CKHP ngày 03/03/2026 v/v thông qua danh sách ứng viên để bầu thành viên Hội đồng quản trị nhiệm kỳ 2026–2031.

Đại hội đồng cổ đông đã biểu quyết với tỷ lệ tán thành đạt 99,99% tổng số cổ phiếu biểu quyết tại Đại hội.

Article 16: Approval of Proposal No. 14/2026/TT-CKHP dated 03/03/2026 regarding the Approval of the List of Candidates for Election of Members of the Board of Directors for the 2026–2031 Term.

The General Meeting of Shareholders voted with an approval rate of 99.99% of the total voting shares present at the Meeting.

Điều 17: Thông qua kết quả bầu thành viên Hội đồng quản trị Công ty cổ phần Chứng



khoán Hải Phòng nhiệm kỳ 2026 - 2031

- Kết quả bầu thành viên Hội đồng quản trị Công ty cổ phần Chứng khoán Hải Phòng nhiệm kỳ 2026 - 2031:

STT	Họ và tên	Số phiếu bầu	Kết quả
1	Ông Nguyễn Tuấn Anh	125.026.926	Trúng cử
2	Ông Ninh Lê Sơn Hải	122.925.575	Trúng cử
3	Bà Ngô Thị Song Ngân	122.779.575	Trúng cử

Căn cứ kết quả kiểm phiếu, Quy chế đề cử, ứng cử và bầu cử thành viên Hội đồng quản trị nhiệm kỳ 2026 - 2031, Quy chế làm việc và biểu quyết của ĐHĐCĐ thường niên năm 2026 và Điều lệ Công ty, Ông Nguyễn Tuấn Anh, Ông Ninh Lê Sơn Hải và Bà Ngô Thị Song Ngân đã trúng cử là Thành viên Hội đồng quản trị Công ty Cổ phần Chứng khoán Hải Phòng nhiệm kỳ 2026 - 2031.

Article 17: *Approval of the Results of the Election of Members to the Board of Directors of Hai Phong Securities Joint Stock Company for the 2026 – 2031 Term*

- *Result of the election of an independent member of the Board of Directors of Hai Phong Securities Joint Stock Company for the 2026 – 2031 term:*

No.	Full Name	Number of Votes	Result
1	Mr. Nguyen Tuan Anh	125,026,926	Elected
2	Mr. Ninh Le Son Hai	122,925,575	Elected
3	Mrs. Ngo Thi Song Ngan	122,779,575	Elected

Pursuant to the vote counting results, the Regulations on nomination, candidacy, and election of members of the Board of Directors for the 2026 – 2031 term, the Working and Voting Regulations of the 2026 Annual General Meeting of Shareholders, and the Company's Charter, Mr. Nguyen Tuan Anh Mr. Ninh Le Son Hai and Mrs. Ngo Thi Song Ngan has been elected as members of the Board of Directors of Hai Phong Securities Joint Stock Company for the 2026 – 2031 term.

Điều 18: Thông qua kết quả bầu thành viên độc lập Hội đồng quản trị Công ty cổ phần Chứng khoán Hải Phòng nhiệm kỳ 2026 - 2031

- Kết quả bầu thành viên độc lập Hội đồng quản trị Công ty cổ phần Chứng khoán Hải Phòng nhiệm kỳ 2026 - 2031:

STT	Họ và tên	Số phiếu bầu	Kết quả
1	Ông Nguyễn Phi Long	123.348.972	Trúng cử
2	Ông Nguyễn Bằng Khánh	122.843.979	Trúng cử

Căn cứ kết quả kiểm phiếu, Quy chế đề cử, ứng cử và bầu cử thành viên Hội đồng quản trị nhiệm kỳ 2026 - 2031, Quy chế làm việc và biểu quyết của ĐHĐCĐ thường niên năm 2026 và Điều lệ Công ty, Ông Nguyễn Bằng Khánh và Ông Nguyễn Phi Long đã trúng cử là Thành viên độc lập Hội đồng quản trị Công ty Cổ phần Chứng khoán Hải Phòng nhiệm kỳ 2026 - 2031.

Article 18: *Approval of the Results of the Election of Members to the Board of Directors of Hai Phong Securities Joint Stock Company for the 2026 – 2031 Term*

- *Result of the election of an independent member of the Board of Directors of Hai Phong Securities Joint Stock Company for the 2026 – 2031 term:*

No.	Full Name	Number of Votes	Result
1	Mr. Nguyen Bang Khanh	123,348,972	Elected
2	Mr. Nguyen Phi Long	123,348,972	Elected

Pursuant to the vote counting results, the Regulations on nomination, candidacy, and additional election of members of the Board of Directors for the 2026 – 2031 term, the Working and Voting Regulations of the 2026 Annual General Meeting of Shareholders, and the Company's Charter, Mr. Nguyen Bang Khanh and Mr. Nguyen Phi Long has been elected as an independent member of the Board of Directors of Hai Phong Securities Joint Stock Company for the 2026 – 2031 term.

Điều 19: Nghị quyết này đã được Đại hội đồng cổ đông Công ty cổ phần Chứng khoán Hải Phòng thông qua tại cuộc họp Đại hội đồng cổ đông thường niên năm 2026, tổ chức ngày 06/03/2026. Tất cả các cổ đông của Công ty cổ phần Chứng khoán Hải Phòng, các thành viên HĐQT, Ban Tổng Giám đốc và toàn thể CBNV Công ty cổ phần Chứng khoán Hải Phòng có trách nhiệm thi hành Nghị quyết này.

Article 19: *This Resolution was approved by the General Meeting of Shareholders of Hai Phong Securities Joint Stock Company at the 2026 Annual General Meeting of Shareholders held on March 06, 2026. All shareholders, members of the Board of Directors, the Board of Supervisors, the Management Board, and all employees of Hai Phong Securities Joint Stock Company shall be responsible for implementing this Resolution.*

Nơi nhận/ Recipients:

- Cổ đông/ Shareholders;
- CBNV Công ty/ Company employees;
- UBCK NN/ SSC, các sở GD&ĐT/ The Stock Exchanges;
- Lưu: P.HCNS/ Archived: A&HR D.

TM. ĐẠI HỘI ĐỒNG CỔ ĐÔNG
CHỦ TỌA
ON BEHALF OF THE GENERAL MEETING OF
SHAREHOLDERS
CHAIRPERSON



NGUYỄN TUẤN ANH

MEETING MINUTES
ANNUAL GENERAL MEETING OF SHAREHOLDERS 2026

Company Name: Hai Phong Securities Joint Stock Company.

Headquarters: No. 7, Lot 28A, Le Hong Phong Street, Gia Vien Ward, Hai Phong City, Vietnam

Establishment and operation license No. 119/GP-UBCK issued by the Chairman of the State Securities Commission on November 23, 2015.

The 2026 Annual General Meeting of Shareholders of Hai Phong Securities Joint Stock Company will be held at Head office of Hai Phong Securities Joint Stock Company – No. 7, Lot 28A, Le Hong Phong Street, Gia Vien Ward, Hai Phong City, Vietnam.

The congress opened at 1:35 PM on March 6, 2026.

I. PARTICIPANTS

1. The meeting was chaired by Mr. Nguyen Tuan Anh - Chairman of the Board of Directors of the Company.
2. Members of the Board of Directors, General Management Board Directors and employees of the Company.
3. The Company's shareholders are listed in the consolidated list of securities holders of Hai Phong Securities Joint Stock Company, prepared by VSDC, as of the final registration date of February 10, 2026.

II. CONFERENCE PROCESS

1. **Opening of the congress and adoption of the program, working regulations, and voting procedures of the congress.**

To ensure the meeting proceeded in accordance with regulations, at 1:35 PM, Mr. Pham Anh Dung – Head of the Shareholder Eligibility Verification Committee – read the Report on the Verification of Shareholder Eligibility to attend the 2026 Annual General Meeting of Shareholders, with the participation of 46 shareholders and authorized representatives. 123,531,082 shares, representing 95.63 % of the total voting shares at the General Meeting.

Based on the Enterprise Law and the Charter of Hai Phong Securities Joint Stock Company, the 2026 Annual General Meeting of Shareholders of Hai Phong Securities Joint Stock Company, with the above-mentioned participants, is valid and in accordance with the law.

On behalf of the Organizing Committee, Ms. Le Thi Mai Anh announced the reasons for opening the Congress and introduced the Presidium, which includes:

Mr. Nguyen Tuan Anh - Chairman

Mr. Ninh Le Son Hai - Member

Ms. Ngo Thi Song Ngan - Member

The delegation was unanimously approved by the General Meeting with a voting percentage of 100% of the total number of votes cast by shareholders attending the meeting.

After being unanimously approved by the Congress, the Presidium began its work.

To assist the Congress in recording the content and proceedings of the Congress, as well as in conducting the vote counting, the Presidium nominates the Secretary and the Vote Counting Committee as follows:

- Secretary:

Ms. Doan Thi Thuy - Secretary of the Congress

The Secretary was unanimously approved by the General Meeting with a 100 % approval rate of the total votes cast by shareholders attending the meeting.

After being unanimously approved by the Congress, the Secretariat began its work.

- Vote Counting Committee:

Mr. Pham Anh Dung Prefect

Ms. Vu Thi Thu Huyen Member

Ms. Nguyen Thi Thu Duong Member

Mr. Mai Xuan San Member

Ms. Pham Minh Thu Member

The Vote Counting Committee was unanimously approved by the General Meeting with a 100% approval rate of the total number of votes cast by shareholders attending the meeting.

After being unanimously approved by the Congress, the Vote Counting Committee began its work.

At the opening of the Congress, Mr. Nguyen Tuan Anh On behalf of the Presidium, I would like to solicit the opinions of the General Meeting to approve the Work Program of the Annual General Meeting of Shareholders in 2026.

The Congress voted to approve the Congress's work program with a 100% approval rate.

Next, Ms. Vu Thi Thu Huyen read the Rules of Procedure and Voting of the General Meeting and the Rules for Nomination, Candidacy and Election of Board Members for the 2026-2031 term.

Ms. Vu Thi Thu Huyen The General Assembly will seek the opinion of the delegates to approve the Rules of Procedure and Voting of the General Assembly and the Rules for

Nomination, Candidacy and Election of Board of Directors members for the term 2026-2031 .

100 % approval rate , and also voted to approve the Regulations on Nomination, Candidacy and Election of Board Members for the 2026-2031 term of the General Meeting with a 100 % approval rate .

2. Contents of the congress

2.1. Reports and presentations at the congress

After being unanimously approved by the Congress, the Congress heard reports on each item in the Congress program :

No.	Presenter	Content
1	Mr. Ninh Le Son Hai	Report on business performance in 2025 and business plan for 2026
2	Mr. Nguyen Tuan Anh	Report on the activities of the Board of Directors in 2025 and operational direction for 2026
3		Report on the activities of the Audit Committee and independent members of the Board of Directors in the Audit Committee in 2025
4		The audited report on the use of funds and proceeds from the 2026 private placement of shares has been submitted.
5	Mr. Ninh Le Son Hai	Proposal for approval of the audited financial statements for 2025.
6		Proposal for approval of the profit distribution plan for 2025 and the dividend payment plan for 2026.
7		Proposal for the selection of an audit firm for the 2026 financial statements.
8		Report on remuneration for the Board of Directors, Supervisory Board, and Audit Committee
9		Report on the change of business lines and activities of Hai Phong Securities Joint Stock Company
10		Report on the change of title of legal representative

11		Proposal for amending the Company's Articles of Association
12	Ms. Ngo Thi Song Ngan	Report on the implementation of business and services for clearing and settling derivative securities transactions.
13		Proposal for the listing of shares of Hai Phong Securities Joint Stock Company
14		Report on the policy for implementing contracts and transactions between the Company and related parties, and approval of major contracts and transactions.
15		Proposal for the election of Board of Directors members for the 2026-2031 term.
16	Mr. Ninh Le Son Hai	Proposal to approve the list of candidates for election to the Board of Directors for the term 2026-2031

Ms. Le Thi Mai Anh announced the nomination and candidacy documents for Board Member positions.

As of 5 PM on March 5, 2026, the Board of Directors had received 5 nomination applications.

The list of candidates for the Board of Directors for the 2026-2031 term includes:

- Mr. Nguyen Tuan Anh
- Mr. Ninh Le Son Hai
- Ms. Ngo Thi Song Ngan

The list of candidates for independent board members for the 2026-2031 term includes:

- Mr. Nguyen Phi Long
- Mr. Nguyen Bang Khanh

2.2. Voting

Ms. Le Thi Mai Anh guided the voting process and conducted the voting at the Congress.

Delegates cast their votes into ballot boxes placed at the Congress.

2.3 Congress Discussion

Question:

Shareholder Vo Ta Quyen -Code: 6294

Question 1: With a projected 77% increase in pre-tax profit in 2025, where does this profit growth come from, and what contingency plans does the company have in place if market conditions are unfavorable? Does the company plan to raise capital in the near future?

Question 2: Could the company's management provide more details about the timing of the stock exchange listing?

Question 3: Could the company's leadership elaborate on strengthening cooperation with credit institutions?

The Presidium responded:

On behalf of the Presidium, Mr. Ninh Le Son Hai - General Director, responded:

The Board of Directors and the Company's leadership would like to express their sincere gratitude for the questions and contributions from the delegates and shareholders who have always been supportive and interested in the Company's development.

Currently, due to the volatile market conditions, the Company is quite cautious in its proprietary trading activities. The main driving force for development will be brokerage and margin lending. In the near future, the Company will expand into new business areas: registering as a debt instrument member, a private bond member, and trading in derivative securities.

Listing time: The company's target listing year is 2027.

In the past and in the future, the Company will continue to strengthen cooperation with credit institutions to optimize funding sources and create momentum for the development of the Company's business operations.

The company has no plans to raise capital in the near future.

Mr. Nguyen Tuan Anh, Chairman of the Board of Directors, continued to answer further:

Regarding margin lending activities, the Company has a risk control process in place: selecting loan portfolios, loan ratios, stop-loss prices, etc., ensuring that margin lending operations are safe and efficient. Therefore, shareholders can rest assured about the Company's margin lending activities.

III. VOTING RESULTS

Following the report at the General Meeting, at 3:36 PM on March 6, 2026, the General Meeting of Shareholders of Hai Phong Securities Joint Stock Company, with 64 shareholders and authorized representatives present, representing 123,580,616 shares, concluded. With 95.67% of the Company's total voting shares participating in the vote, the General Meeting of Shareholders proceeded to vote on each item, and then counted the votes with the following results:

1. Report on business performance in 2025 and business plan for 2026

No.	Content	Number of votes	Number of representative shares	Voting ratio
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1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

2. Report on the activities of the Board of Directors in 2025 and operational direction for 2026

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

3. Report on the activities of the Audit Committee and independent members of the Board of Directors in the Audit Committee in 2025

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%

1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

4. The audited report on the use of capital and proceeds from the 2026 private placement of shares is available.

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

5. Proposal for approval of the audited financial statements for 2025.

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%

2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

6. Proposal for approval of the profit distribution plan for 2025 and the dividend payment plan for 2026.

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

7. Proposal for the selection of an audit firm for the 2026 financial statements.

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%

Total number of registered voters attending the meeting	38	123,580,616	100%
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The percentage of votes in favor was 99.99%.

8. Report on remuneration for the Board of Directors, Supervisory Board, and Audit Committee

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

Voting percentage in favor: 99.99%

9. Report on the change of business lines and activities of Hai Phong Securities Joint Stock Company

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

10. Report on the change of title of legal representative

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

11. Proposal for amending the Company's Articles of Association

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	27	123,542,839	99.9694%
1.1	<i>Number of votes in favor</i>	26	123,542,539	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	10	37,677	0.0305%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

12. Report on the implementation of business and services for clearing and settling derivative securities transactions.

No.	Content	Number of votes	Number of representative shares	Voting ratio
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1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

Voting percentage in favor: 99.99%

13. Proposal for the listing of shares of Hai Phong Securities Joint Stock Company

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

14. Report on the policy for implementing contracts and transactions between the Company and related parties, and approval of major contracts and transactions.

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%

1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

The percentage of votes in favor was 99.99%.

15. Proposal for the election of Board of Directors members for the 2026-2031 term.

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%
3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

Voting percentage in favor: 99.99%

16. Proposal to approve the list of candidates for election to the Board of Directors for the term 2026-2031

No.	Content	Number of votes	Number of representative shares	Voting ratio
1	The number of valid receipts received includes:	28	123,542,999	99.9696%
1.1	<i>Number of votes in favor</i>	27	123,542,699	99.9998%
1.2	<i>Number of dissenting votes</i>	0	0	0
1.3	<i>No votes</i>	1	300	0.0002%
2	The receipt number is invalid.	1	100	0.0001%

3	Number of abstentions	9	37,517	0.0304%
Total number of registered voters attending the meeting		38	123,580,616	100%

Voting percentage in favor: 99.99%

IV. VOTING ISSUES APPROVED BY THE GENERAL MEETING OF SHAREHOLDERS

Based on the vote count results, the 2026 Annual General Meeting of Shareholders unanimously approved the following:

1. Report on business performance in 2025 and business plan for 2026
2. Report on the activities of the Board of Directors in 2025 and operational direction for 2026
3. Report on the activities of the Audit Committee and independent members of the Board of Directors in the Audit Committee in 2025
4. The audited report on the use of capital and proceeds from the 2026 private placement of shares is available.
5. Proposal for approval of the audited financial statements for 2025.
6. Proposal for approval of the profit distribution plan for 2025 and the dividend payment plan for 2026.
7. Proposal for the selection of an audit firm for the 2026 financial statements.
8. Report on remuneration for the Board of Directors, Supervisory Board, and Audit Committee
9. Report on the change of business lines and activities of Hai Phong Securities Joint Stock Company
10. Report on the change of title of legal representative
11. Proposal for amending the Company's Articles of Association
12. Report on the implementation of business and services for clearing and settling derivative securities transactions.
13. Proposal for the listing of shares of Hai Phong Securities Joint Stock Company
14. Report on the policy for implementing contracts and transactions between the Company and related parties, and approval of major contracts and transactions.
15. Proposal for the election of Board of Directors members for the 2026-2031 term.
16. Proposal to approve the list of candidates for election to the Board of Directors for the term 2026-2031

V. GUIDELINES FOR ELECTION AND BALLOT COUNTING OF BOARD OF DIRECTORS

1. Election guidelines:

Mr. Pham Anh Dung - Head of the Vote Counting Committee - guided the election and conducted the election proceedings at the Congress.

2. Election results

Results of the vote count for the Board of Directors members:

No.	Full name	Number of votes
1	Mr. Nguyen Tuan Anh	125,026,926
2	Mr. Ninh Le Son Hai	122,925,575
3	Ms. Ngo Thi Song Ngan	122,779,575

Based on the vote count results, the Regulations on Nomination, Candidacy and Election of Board Members for the 2026-2031 Term, the Rules of Procedure and Voting of the 2026 Annual General Meeting of Shareholders, and the Company Charter, the following individuals have been elected as members of the Board of Directors for the 2026-2031 term, in descending order of the number of votes received:

No.	Full name	Number of votes	Result
1	Mr. Nguyen Tuan Anh	125,026,926	Elected
2	Mr. Ninh Le Son Hai	122,925,575	Elected
3	Ms. Ngo Thi Song Ngan	122,779,575	Elected

The term of office for the elected Board Member is 2026-2031.

Results of the vote for independent members of the Board of Directors:

No.	Full name	Number of votes
1	Mr. Nguyen Phi Long	123,348,972
2	Mr. Nguyen Bang Khanh	122,843,979

Based on the vote count results, the Regulations on Nomination, Candidacy and Election of Board Members for the 2026-2031 Term, the Rules of Procedure and Voting of the 2026 Annual General Meeting of Shareholders, and the Company's Charter, the following individuals have been elected as independent members of the Board of Directors for the 2026-2031 term:

No.	Full name	Number of votes	Result
1	Mr. Nguyen Phi Long	123,348,972	Elected
2	Mr. Nguyen Bang Khanh	122,843,979	Elected

The term of office for the elected independent member of the Board of Directors is 2026-2031.

VI. NEW BOARD MEMBERS INTRODUCED AT GENERAL MEETING

Members of the Board of Directors for the 2026-2031 term were introduced to the General Shareholders' Meeting. Mr. Nguyen Tuan Anh, on behalf of the Presidium, offered congratulations and presented flowers.

VII. ADMISSION OF RESOLUTIONS AND MINUTES OF THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS AND CLOSING OF THE MEETING

- Ms. Doan Thi Thuy – Secretary of the meeting – read the Minutes of the Annual General Meeting of Shareholders 2026.
- The General Meeting voted to approve the Minutes of the 2026 Annual General Meeting of Shareholders with the following percentages:

Total number of votes:

- Agree: 100 %
- Disagree : 0 %
- No comment: 0 %

- Ms. Doan Thi Thuy – Secretary of the meeting – read the Resolution of the Annual General Meeting of Shareholders 2026.
- The General Meeting voted to approve the Resolution of the 2026 Annual General Meeting of Shareholders with the following percentages:

Total number of votes:

- Agree: 100 %
- Disagree: 0 %
- No comment: 0 %

Mr. Nguyen Tuan Anh delivered the closing remarks at the Congress.

The 2026 Annual General Meeting of Shareholders of Hai Phong Securities Joint Stock Company concluded at 5:30 PM on the same day.

MEETING SECRETARY



DOAN THI THUY

**ON BEHALF OF PRESIDENTIAL
BOARD
PRESIDE**



NGUYEN TUAN ANH