

RESOLUTION
ANNUAL GENERAL MEETING OF SHAREHOLDERS 2026

- Pursuant to Enterprise Law No. 59/2020/QH14 dated June 17, 2020 and related amendments and supplements;
- Pursuant to Securities Law No. 54/2019/QH14 dated November 26, 2019 and related amendments and supplements;
- Pursuant to the Minutes of the 2026 Annual General Meeting of Shareholders of SBS Securities Joint Stock Company dated June 08, 2026.

RESOLVES:

Article 1: Approval of the Report on the activities of the board of directors in 2025 and Orientation for activities in 2026.

Article 2: Approval of the 2025 Performance Report and 2026 Business plan of the Board of Managements.

Article 3: Approval of the Activities Monitoring Report about perform duties, powers and examine financial situation in 2025 of the Board of Supervisors.

Article 4: Through Report No. 01/2026/TT-BKS on the Auditing Firm for the Company's Financial Statements and Financial Safety Ratio Report for the fiscal year 2026, the list of auditing firms is as follows:

1. EY Vietnam Company Limited
2. Vietnam Auditing and Valuation Company Limited (AVA)
3. MOORE AISC Auditing and Informatics Services Company Limited (AISC)
4. International Auditing and Valuation Company Limited
5. Other Auditing Companies that meet the conditions approved annually by the Ministry of Finance and/or the State Securities Commission for auditing public interest entities.

Article 5: Through Proposal No. 01/2026/TT-HĐQT on amending and supplementing the Company's Charter..

Article 6: Through Proposal No. 02/2026/TT-HĐQT on the implementation of securities transaction clearing and settlement services under the central counterparty clearing mechanism.

Article 7: Through Proposal No. 03/2026/TT-HĐQT regarding change of the Company name.

Article 8: Through Proposal No. 04/2026/TT-HĐQT regarding the personnel of the Board of Directors, specifically: approving the resignation of Ms. Nguyen Thi Hoai Thuong as a member of the Board of Directors, the resignation of Mr. Dinh Hoai Nam as an independent member of the Board of Directors, and electing Mr. Nguyen Trung Kien as a new member of the Board of Directors and Ms. Le Thi Tra as an independent member of the Board of Directors.



Article 9: Through Proposal No. 05/2026/TT-HĐQT regarding the issuance of shares to increase charter capital, as outlined in the proposal and attached plan..

Article 10: Terms of enforcement

This Resolution was passed by the General Meeting of Shareholders by the number of shares representing 100.00% of the voting shares at the General Meeting.

This Resolution takes effect from the date of signing. Members of the Board of Directors, members of the Supervisory Board and the Executive Board are responsible for implementing this Resolution.

Receipt

- Member of BODs
- Member of BOSSs,
- BOMs,
- Shareholders,
- Filing: BOD

**ON BEHALF OF THE GENERAL MEETING
OF SHAREHOLDERS**

CHAIRMAN



PHAN QUOC HUYNH

