

REPORT ON CORPORATE GOVERNANCE

(First 6 months of 2026)

Dear:

- State Securities Commission;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange.

- Name of company : WALL STREET SECURITIES CORPORATION
- Address of Head office : 9th floor, ICON4 building, No. 243A De La Thanh, Lang, Hanoi
- Telephone : 02439367083 Fax: 02439367082
- Email : info@wss.com.vn
- Charter capital : 503,000,000,000 (Five hundred and three billion dong)
- Stock symbol : WSS
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and General Director.
- The implementation of internal audit function: Implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings and resolutions of the General Meeting of Shareholders:

No.	Resolution/ Decision No.	Date	Content
1	25/2026/NQ- ĐHĐCĐ	29/04/2026	- Approved the Report on the activities of the Board of Directors for 2025, the 2025 operating results, and the business orientation for 2026. - Approved the Report on the activities of the Board of Management for 2025, the 2025 business performance, and the business orientation for 2026. - Approved the Report on the activities of the Supervisory Board for 2025. - Approved the audited Financial Statements for the fiscal year 2025. - Approved the Proposal on the appointment of the independent auditor for the audit of the 2026 Financial Statements. - Approved the Proposal on the remuneration of the Board of Directors and the Supervisory Board for 2026. - Approved the Proposal on the plan to increase the charter capital of Wall Street Securities Joint Stock Company through a private placement of shares.

II. Board of Directors

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1.	Mr. Nguyen Dinh Tu	Chairman of the board	April 28, 2023	
2.	Mr. Pham Duc Long	Vice president	April 28, 2023	
3.	Mr. Nguyen Viet Thang	Member	April 28, 2023	
4.	Mr. Nguyen Dang Truong	Member	April 28, 2023	
5.	Mr. Tran Anh Dung	Member	April 28, 2023	

2. Meetings of the Board of Directors:

No.	Board of Directors' members	Number of meetings attended by Board of Directors	Attendance rate	Reason for for absence
1.	Mr. Nguyen Dinh Tu	4/4	100%	
2.	Mr. Pham Duc Long	4/4	100%	
3.	Mr. Nguyen Viet Thang	4/4	100%	
4.	Mr. Nguyen Dang Truong	4/4	100%	
5.	Mr. Tran Anh Dung	4/4	100%	

3. Supervisory activities of the Board of Directors over the Executive Board:

Throughout 2025, the Chairman and the Standing Members of the Board of Directors maintained close oversight of the Executive Management's activities and provided timely and appropriate directives on matters concerning the Company's business operations and other relevant affairs through direct meetings, formal reports, and official correspondence.

4. Activities of the Board of Directors' subcommittees: None.

5. Resolutions/Decisions of the Board of Directors:

No.	Resolution/Decision No.	Date	Content	Approval rate
1	No. 05/2026/NQ-HĐQT	02/03/2026	Approved the appointment of personnel.	100%
2	No. 08/2026/NQ-HĐQT	03/03/2026	Approved the convening of the 2026 Annual General Meeting of Shareholders.	100%

3	No. 22/2026/NQ-HĐQT	06/04/2026	Approved the matters to be submitted to the 2026 Annual General Meeting of Shareholders for consideration and approval.	100%
4	No. 28/2026/NQ-HĐQT	15/06/2026	Approved the establishment of a Representative Office in Phu Tho Province.	100%

III. Board of Supervisors

1. Board of Supervisors

No.	Members of Board of Supervisors	Position	The date becoming to be the member of the Board of Supervisors	The date ceasing to be the member of the Board of Supervisors	Qualifications
1	Mr. Tran Dinh Tung	Prefect	April 28, 2023		Bachelor
2	Mr. Trinh Cong Thang	Commissioner	April 28, 2023		Bachelor

2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reason for absence
1	Mr. Tran Dinh Tung	2	2/2	100%	
2	Mr. Trinh Cong Thang	2	2/2	100%	

3. Supervisory activities of the Board of Supervisors towards the Board of Directors, Executive Board and shareholders

In 2026, the Supervisory Board conducted reviews of the 2025 financial statements as well as the Q1 and Q2/2026 financial statements. The Board also oversaw and monitored the activities of the Board of Directors and the Executive Management in implementing the Resolutions of the General Meeting of Shareholders and in complying with the applicable laws and regulations.

4. the coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:

The Supervisory Board closely coordinated with the Board of Directors, the Executive Management, and other management departments to strictly monitor the Company's compliance-related activities and ensure adherence to applicable laws and regulations.

5. Other activities of the Board of Directors: None.

IV. Executive Board

No	Members of Board of Management	Date of birth	Qualifications	Date of appointment of Executive Board members	Date of dismissal of Executive Board members
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1	Mr. Nguyen Dang Truong	October 7, 1981	Master of Business, Certificate in Management Practice	October 5, 2017	
2	Mr. Nguyen Viet Thang	September 19, 1984	Bachelor of Finance, PTTC Practice Certificate	May 17, 2013	
3	Mr. Nguyen Tien Dat	March 23, 1990	Bachelor of Finance, PTTC Practice Certificate	March 02, 2026	

V. Chief Accountant

Full name	Date of birth	Professional qualifications	Date of appointment	Dismissal Date
Ms. Vu Thi Yen	November 18, 1983	Bachelor of Economics	05/09/2022	

VI. Training courses on corporate governance:

Corporate governance training programs attended by members of the Board of Directors, members of the Supervisory Board, the Chief Executive Officer, other management personnel, and the Company Secretary in accordance with corporate governance regulations: None.

VII. The list of affiliated persons of the public company (Annual report) and transactions of affiliated persons of the Company

- 1. List of related persons of the company:** As specified in Appendix I attached to this report.
- 2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:** None.
- 3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power:** None.
- 4. Transactions between the Company and other objects**
 - 4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, General Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting):** None.
 - 4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, General Director and other managers as a member of Board of Directors, General Director:** None.
 - 4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, General Director and other managers:** None.

VIII. Share transactions of internal persons and their affiliated persons (Annual report)

1. List of insiders and related persons of insiders

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
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1	Nguyen Dinh Tu		Chairman of the Board			11,300,000	22.47%	
	Nguyen Van Thu							
	Nguyen Anh Tam							Information not provided
	Nguyen Dinh Bach					1,000,000	1.99%	
	Nguyen Dinh Viet					15,000,000	29.82%	
2	Pham Duc Long		Vice President. Member of Board of Directors					
	Nguyen Thi Thanh Tuyen							
	Pham Quang Hung							
	Pham Thi Quynh Trang							
	Pham Thi Hong Khanh							
	Pham Thi Hong Ngan							
3	Nguyen Viet Thang		Member of Board of Directors and Deputy General Director					
	Nguyen Thi Tuyet							

	Nguyen Viet Hien							
4	Nguyen Dang Truong		TV. Board of Directors and General Director					
	Nguyen Dang Huy							
	Nguyen Thi Dung							
	Nguyen Thi Trang							
5	Tran Anh Dung		Member of Board of Directors			10,000	0.01%	
	Tran Thi Thu Ha							
	Tran Huy Binh							
	Tran Ha My							
6	Nguyen Tien Dat		Deputy General Director					
	Nguyen Van Lich							
	Tran Thi Thu							
	Pham Thi Ngoan							
	Nguyen Viet Hung							

	Nguyen Phuc An							
7	Tran Dinh Tung		Head of the Supervisory Board					
	Duong Thi Thu Huong					5,000	0.01%	
	Tran Thi Thu Hang							
	Tran Dinh Minh							
	Tran Dinh Tinh							
	Tran Thi Luong							
	Tran Dinh Tuong							
	Tran Dinh Vinh							
	Dinh Thi Vinh							
8	Trinh Cong Thang		Member of Supervisors					
	Hoang Thi Hue							
	Trinh Thai Ha							
	Trinh Hoang Ha							

	Trinh Thi Thanh							Inf or ma tio n not pro vid ed
	Trinh Cong Ghi							Inf or ma tio n not pro vid ed
	Trinh Thi Nho							Inf or ma tio n not pro vid ed
	Trinh Cong Kha							Inf or ma tio n not pro vid ed
9	Vu Thi Yen		Chief Accountant					
	Vu Duong Thu							
	Vu Thi Hai							
	Vu Van Tuan							

	Vu Xuan Thuong			036081014798, 10/07/2021, Hanoi Police Department	14- M0 Group 37 Hoang Mai, Hanoi			
	Vu Minh Thanh			036090010247, May 10, 2022, Department of Administrative Police on Social Order	14- M0 Group 37 Hoang Mai, Hanoi			
	Vu Thi Tam			036186000134, April 29, 2022, Department of Administrative Police on Social Order	14- M0 Group 37 Hoang Mai, Hanoi			
	Vu Xuan Vu			Under 14 years old (not yet available)	14- M0 Group 37 Hoang Mai, Hanoi			
	Vu Thi Bao Trang			Under 14 years old (not yet available)	14- M0 Group 37 Hoang Mai, Hanoi			
	Vu Thanh Thao			Under 14 years old (not yet available)	14- M0 Group 37 Hoang Mai, Hanoi			
10	Education Finance Investment Joint Stock Company			0102556300	No. 81 Tran Hung Dao Street, Cua Nam Ward, Hanoi City			
11	Duc Giang Corporation			0100101403	59 Duc Giang Street, Viet Hung, Hanoi			

2. Transactions of internal persons and affiliated persons with shares of the company: None.

IX. Other matters to note: None.

Recipient:

- State Securities Commission, Stock Exchange;
- Save VT.

FOR THE CHAIRMAN OF THE BOARD

VICE CHAIRMAN



PHAM DUC LONG

APPENDIX I

List of affiliated persons of the company

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card	Add	The starting point of affiliated persons	The ending point of affiliated persons	Reason	Relationship with the company	Note
1	Nguyen Dinh Tu		Chairman of the Board			December 20, 2007				
	Nguyen Van Thu					December 20, 2007			Older brother	
	Nguyen Anh Tam					December 20, 2007			younger brother	Information not provided
	Nguyen Dinh Bach					December 20, 2007			Boy	
	Nguyen Dinh Viet					December 20, 2007			Boy	
2	Pham Duc Long		Vice President Member of Board of Directors			December 20, 2007				

	Nguyen Thi Thanh Tuyen					December 20, 2007			Wife	
	Pham Quang Hung					December 20, 2007			Boy	
	Pham Thi Quynh Trang					December 20, 2007			Daughter	
	Pham Thi Hong Khanh					December 20, 2007			younger brother	
	Pham Thi Hong Ngan					December 20, 2007			younger brother	
3	Nguyen Viet Thang		Member of Board of Directors and Deputy General Director			May 17, 2013				
	Nguyen Thi Tuyet					May 17, 2013			Mom	
	Nguyen Viet Hien					May 17, 2013			younger brother	
4	Nguyen Dang Truong		Member of Board of Directors and Gener			October 5, 2017				

			al Direct or							
	Nguyen Dang Huy					October 5, 2017			Dad	
	Nguyen Thi Dung					October 5, 2017			Mom	
	Nguyen Thi Trang					October 5, 2017			younger brother	
5	Tran Anh Dung		Memb er of Board of Direct ors			April 28, 2023				
	Tran Thi Thu Ha					April 28, 2023			Wife	
	Tran Huy Binh					April 28, 2023			biological child	
	Tran Ha My					April 28, 2023			biological child	
6	Nguyen Tien Dat		Deput y Gener al Direct or			02/03/202 6				
	Nguyen Van Lich					02/03/202 6			Dad	

	Tran Thi Thu					02/03/2026			Mom	
	Pham Thi Ngoan					02/03/2026			Wife	
	Nguyen Viet Hung					02/03/2026			biological child	
	Nguyen Phuc An					02/03/2026			biological child	
7	Tran Dinh Tung		Member of Supervisors			December 20, 2007				
	Duong Thi Thu Huong					December 20, 2007			Wife	

	Tran Thi Thu Hang					December 20, 2007			biological child	
	Tran Dinh Minh					December 20, 2007			biological child	
	Tran Dinh Tinh					December 20, 2007			brother	
	Tran Thi Luong					December 20, 2007			Sister	
	Tran Dinh Tuong					December 20, 2007			younger brother	
	Tran Dinh Vinh					December 20, 2007			younger brother	
	Tran Thi Vinh					December 20, 2007			younger brother	
8	Trinh Cong Thang		Member of Supervisors			April 27, 2013				
	Hoang Thi Hue					April 27, 2013			Wife	
	Trinh Thai Ha					April 27, 2013			biological child	
	Trinh Hoang Ha					April 27, 2013			biological child	

	Trinh Thi Thanh					April 27, 2013			younger brother	Information not provided
	Trinh Cong Ghi					April 27, 2013			younger brother	Information not provided
	Trinh Thi Nho					April 27, 2013			younger brother	Information not provided
	Trinh Cong Kha					April 27, 2013			younger brother	Information not provided
9	Vu Thi Yen		Chief Accountant			05/09/2023				
	Vu Duong Thu					05/09/2023			biological father	
	Vu Thi Hai					05/09/2023			biological mother	
	Vu Van Tuan					05/09/2023			Husband	
	Vu Xuan Thuong					05/09/2023			Older brother	
	Vu Minh Thanh					05/09/2023			Younger brother	
	Vu Thi Tam					05/09/2023			Younger sister	

